

1 **Extract of *Mimosa tenuiflora* and *Quercus robur* as potential eco-**  
2 **friendly management tool against *Sclerotinia sclerotiorum* in *Lactuca***  
3 ***sativa* enhancing the natural plant defences**

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5 **Eugenio Llorens\* • María Mateu\* • Ana I González-Hernández • Carlos Agustí-**  
6 **Brisach • Pilar García-Agustín • Leonor Lapeña • Begonya Vicedo**

7 Eugenio Llorens\* • María Mateu\* • Ana I González-Hernández • Pilar García-Agustín •  
8 Leonor Lapeña • Begonya Vicedo

9 \*These authors contributed equally to this work and are co-first authors.

10 E. Llorens, ORCID: 0000-0003-1931-1071

11 A.I. González-Hernández, ORCID: 0000-0003-4023-8649

12

13 Grupo de Bioquímica y Biotecnología, Departamento de Ciencias Agrarias y del Medio  
14 Natural, Universitat Jaume I de Castellón, Avenida de Vicent Sos Baynat, s/n, 12071  
15 Castellón de la Plana, Spain.

16 e-mail: [ellorens@uji.es](mailto:ellorens@uji.es)

17 Carlos Agustí-Brisach

18 C. Agustí-Brisach, ORCID: 0000-0003-1709-1140

19 Grupo Patología Agroforestal, Departamento de Agronomía, ETSIAM, Universidad de  
20 Córdoba, Campus de Rabanales, Edif. C4, 14071 Córdoba, Spain.

## 21 **Abstract**

22 The effectiveness of some plant extracts to protect against pests enhancing the natural  
23 defensive responses of the plant has been reported. Plant extract of *Mimosa tenuiflora*  
24 and *Quercus robur* has promising potential to reduce the incidence of a wide range of  
25 phytopathogenic fungi due to its antimicrobial compounds. In this study, we aimed to  
26 elucidate the effectiveness and mode of action of this mix extract in *Lactuca sativa* against  
27 *Sclerotinia sclerotiorum*. To achieve this objective, 4 weeks old lettuce plants of cv.  
28 Romana were treated with 2 cc l<sup>-1</sup> of the plant extract either by soil drench or foliar  
29 applications 72 hours before the inoculation. The treatments were able to significantly  
30 reduce the progression of the pathogen, decreasing the diameter of the infection by 32%  
31 and 17% in foliar and soil drench application, respectively. Moreover, the results showed  
32 significantly higher levels of hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>) as well as callose deposition in  
33 plants treated and inoculated compared with non-treated plants. However, no direct effect  
34 on the fungus growth was observed *in vitro* suggesting that foliar and root treatments with  
35 *M. tenuiflora* and *Q. robur* extract significantly reduce the infection of *S. sclerotiorum* in  
36 leaves of lettuce, through the strengthening of the wall mediated by the deposition of  
37 callose and the release of H<sub>2</sub>O<sub>2</sub>. The fact that the treatment enhances different processes  
38 involved in plant innate defense indicates that this treatment is acting as a resistant  
39 inducer, and could be effective against different microorganisms.

40 **Keywords** *Lactuca sativa* • Natural extract • Plant immune system • *Sclerotinia*  
41 *sclerotiorum*

42

## 43 **Introduction**

44 *Sclerotinia sclerotiorum* ((Lib.) W. Phillips), the causal agent of white mold (Sclerotinia),  
45 is a necrotrophic fungus considered as one of the most harmful pathogens in plants. This  
46 fungus can attack 400 plant species including many economically important crops either  
47 woody or herbaceous plants (Azevedo et al. 2016). *Sclerotinia scleriotorum* is present in  
48 more than 90 countries all over the world producing damages worth several hundred  
49 million dollars (Saharan and Mehta 2008a). This pathogen can be disseminated through  
50 the adhesion to seeds, agricultural machinery and animals or humans (Saharan 2008).  
51 Thanks to its capacity to form aggregations of fungal tissue called sclerotia, which are  
52 responsible for the long-term survival of the pathogen, are able to produce viable  
53 inoculum to infect after years (Azevedo et al. 2016).

54 Sclerotia are able to germinate in two ways, by producing mycelium or apothecia,  
55 a structure formed by spore reproductive cells (Saharan and Mehta 2008b). The ability of  
56 *S. sclerotiorum* to penetrate a host depends on factors such as the type of inoculum, the  
57 nutritional status of the fungus, the type of host and the environment. The ascospores,  
58 released by the apothecium developed from sclerotia of the soil, can be disseminated by  
59 the wind and are capable of infecting the crops through the leaves (Purdy 1979).

60 Ascospores of *S. sclerotiorum* infect upper parts of plants through the cuticle and  
61 open stomata, developing a mycelium or penetrating directly into the host causing  
62 infection. Moreover, the fungus is able to release substances such as oxalic acid, which  
63 destabilize the cells and facilitate the infection (Davidson et al. 2016). Lesions appear as  
64 water-soaked spots that grow irregularly thought the leaves provoking damages similar  
65 to desiccated plant tissues (Heffer 2007). On the other hand, *S. sclerotiorum* is also able  
66 to infect plants through the stem. The sclerotia produce hyphae which grow through the  
67 organic matter present in the soil and invading the plants present on it (Purdy 1979). This  
68 type of infection can lead diseases such as the ‘drop’ disease of lettuce caused by *S. minor*.

69 After the infection of the roots, the fungus attacks the crown and the stem; plants show a  
70 soft watery decay, which produces a collapse of the leaves and finally provokes the plant  
71 death (Heffer 2007). Occasionally, the infection is missed until the stem and roots have  
72 been completely invaded.

73 Nowadays, there are no widespread resistant lettuce cultivars, for this reason, the  
74 control of Sclerotinia disease depends mainly on fungicides, which target the airborne  
75 ascospores (Clarkson et al. 2014). However, the efficacy of chemical treatments to control  
76 the fungus has decreased over the years due to the development of fungicide-insensitive  
77 strains and the rapid degradation of fungicides in the soil (Klose et al. 2010). Moreover,  
78 some authors reported that the degradation of the fungicides increased with time and  
79 application frequency, suggesting that the repeated application would benefit the  
80 populations of degrading microorganisms in the soils (Klose et al. 2010; McDonald et al.  
81 2006). For these reasons, it is necessary the development of new approaches for the  
82 control of Sclerotinia based on the sustainable management of crops and environmental  
83 friendly compounds.

84 In the recent years, the use of alternative control methods such as Bioactive plant  
85 compounds or resistance inducers, either synthetic chemicals or natural compounds, have  
86 been studied with promising results (Llorens et al. 2017). Many plant extracts have the  
87 potential for use in pest management since they are biodegradable, they possess a broad  
88 spectrum of activity and there is no resistance in pathogens (da Cruz Cabral et al. 2013).  
89 The main ability of the plant extracts to protect against pathogens due to its antimicrobial  
90 capacity provided by the composition of secondary metabolites such as alkaloids,  
91 flavonoids, glycosides, saponins, and terpenoids (Gahukar 2012; Peter Gillitzer et al.  
92 2012; Sales et al. 2016). However, several plant extracts have been reported as inducers  
93 of plant defense protecting crops from pests, displaying low or no toxicity against the

94 pathogens (Burketova et al. 2015). These extracts have the ability to induce a response  
95 on the plants that results in an effective tolerance against the infection. The response can  
96 include several physical and biochemical changes such as callose deposition, activation  
97 of salicylic (SA) and/or jasmonic acid (JA) pathways or synthesis of defence-related  
98 enzymes. The ability of the plant to protect itself against the attack relies on the effective  
99 activation of these defensive mechanisms (Llorens et al. 2017). Previous studies showed  
100 that extracts of *Azadirachta indica* leaves are effective as an antimicrobial compound  
101 against bacterial and fungal pathogens such as against *Pyricularia oryzae* in rice  
102 (Amadioha 2000) or against *Xanthomonas campestris* pv. *vesicatoria* in tomato and  
103 pepper (Abbasi et al. 2003). Moreover, *A. indica* possess effectivity as a resistance-  
104 inducing compound which provides control in barley against *Drechslera graminea*  
105 related to elevated activities of phenylalanine ammonia lyase and accumulation of  
106 phenolic compounds (Paul and Sharma 2002).

107 *Mimosa tenuiflora* and *Quercus robur* extracts have been previously displayed  
108 strong antimicrobial activity *in vitro* and against human pathogens (Andrenšek et al.  
109 2004; de Souza Araújo et al. 2018; Padilha et al. 2010). Due to this fact, the search for  
110 new compounds based on *M. tenuiflora* and *Q. robur* extracts and its possible effect as  
111 plant resistance inductor would provide a useful alternative to control pathogens such as  
112 *Sclerotinia*. However, the possible application against plant pathogens as well as its  
113 compatibility and lack of phytotoxicity in plants have not been studied yet. For these  
114 reasons, the main goal of this study was to elucidate the efficacy of *M. tenuiflora* and *Q.*  
115 *robur* extracts in lettuce (*Lactuca sativa*) against *S. sclerotiorum* and its possible role as  
116 an inducer of plant immune system. In order to ascertain the mechanism of action of the  
117 treatment, infection development, physiological parameters, and several parameters  
118 involved in plant immune system were monitored.

## 119 **Materials and Methods**

120 Plant extract and fungal isolate

121 Formulation of plant extract mix of *Mimosa tenuiflora* and *Quercus robur* was provided  
122 by the company Idai Nature S.L. (La Pobla de Vallbona, Valencia, Spain). *Sclerotinia*  
123 *sclerotiorum* isolate SsUJI-1-2016 obtained from lettuces showing characteristic  
124 symptoms of the disease were used in this study. Prior to use., the specie of the isolate  
125 was characterized morphologically with a microscope, using the dichotomous keys  
126 determined by Kohn (1979) for sclerotia-producing cultures grown in PDA. This fungal  
127 isolate is maintained in the collection of the Department of Agricultural and  
128 Environmental Sciences of Universitat Jaume I (UJI) of Castellon (Spain).

129 Effect of the *Mimosa tenuiflora* and *Quercus robur* extract on mycelial growth and  
130 sclerotia germination

131 In order to analyse the direct effect of the treatment on the pathogen, *in vitro* tests were  
132 conducted. *Sclerotinia sclerotiorum* isolate SsUJI-1-2016 was grown in potato dextrose  
133 agar (PDA; Scharlau Microbiology, Sentmenat, Spain) for 3 days at 25°C in darkness.  
134 Four mycelial plugs (4-mm in diameter) obtained from the margin of actively growing  
135 colonies were used to inoculate 100 ml Erlenmeyer flask containing 25 ml of sterile  
136 Potato Dextrose Broth (PDB, Scharlab S.L, Barcelona, Spain). Inoculated flasks were  
137 incubated at 25°C and shaken at 180 rpm (AG-200-A; JP Selecta) for 24 h. Subsequently,  
138 the plant extract mix was added at 0.14µl ml<sup>-1</sup> (C1) following manufacturer's  
139 recommendation for field applications. Additionally, a lesser dose of the plant extract  
140 (0.07 µl ml<sup>-1</sup>; C2) was also evaluated for comparative purposes. Flasks filled only with  
141 PDB and inoculated with *S. sclerotiorum* isolate SsUJI-1-2016 as described above were  
142 used as control (Ctr). Control flasks were incubated and shaken as described above. After

143 inoculation, flasks were incubated for 96 h at the same temperature and shaking  
144 conditions described above. After then, developed mycelium was filtered through sterile  
145 laboratory filter paper and dried in oven (Digiheat; JP selecta) at 60°C for 24h.  
146 Subsequently, dry mycelial weigh of each treatment was obtained. There were five  
147 replicated PDB flasks per treatment (C1, C2 and control), and the experiment was  
148 conducted three times.

149         Concerning the study on the effect of the treatments on sclerotia germination, the  
150 experiment was conducted following the protocol described by Ortega-Aguilar et al.  
151 (2011). To this end, 10 sclerotia collected from 1-month-old colonies of *S. sclerotiorum*  
152 isolate SsUJI-1-2016 grown on PDA at 25°C in darkness were treated by dipping for 30  
153 min in a plant extract solution at 0.14µl ml<sup>-1</sup> of plant extract (C1 treatment) in sterile  
154 distilled water (SDW). 10 additional sclerotia were treated by dipping them in SDW as  
155 control treatment. After dipping, sclerotia were removed from the solution treatment,  
156 plated onto PDA and incubated at 25°C in darkness for 72 h. The experiment was repeated  
157 three times.

158 The effect of plant extract on sclerotia germination was assesses analysing the growth of  
159 the mycelium from the sclerotia on PDA. The largest and smallest diameters of the  
160 colonies developed from sclerotia were measured using a digital scale ruler and averaged.  
161 According to this parameter, the percentage of sclerotia germination for each treatment  
162 (C1 and control) was estimated according to the following rating scale: 0 = No  
163 germination; 1 = Germination lower than 0.5 mm; 2 = Germination between 0.5 and 1  
164 mm; 3 = Germination between 1 and 2 mm; 4 = Germination around 2 mm with  
165 development of mycelia; and 5 = massive germination.

166 Effect of *Mimosa tenuiflora* and *Quercus robur* extract on plant growth and plant immune  
167 system

168 *Plant material, treatments, and fungal inoculation*

169 Four weeks-old lettuce plants of cv. Romana obtained from a commercial nursery were  
170 transplanted into polypropylene pots filled with a mix of sterilized peat moss and perlite  
171 (4:1, vol:vol). The plants were kept in a greenhouse at an average temperature of 27 and  
172 19°C along day and night periods, respectively, and relative humidity (RH) around 70%.  
173 These same conditions were subsequently maintained along the trial.

174 The following six treatments were evaluated: untreated and non-inoculated  
175 control (Negative control, Ctr); untreated and inoculated control (Positive control, Ctr  
176 inoc); soil drench treatment with extract of *M. tenuiflora* and *Q. robur* and non- inoculated  
177 (Soil treat); soil drench treatment with extract of *M. tenuiflora* and *Q. robur* and  
178 inoculated (Soil treat/inoc); foliar treatment with extract of *M. tenuiflora* and *Q. robur*  
179 and non-inoculation (Fol. treat); and foliar treatment with extract of *M. tenuiflora* and *Q.*  
180 *robur* and inoculated (Fol. treat/inoc). Treatments were applied following the  
181 manufacturer's recommendations for field applications (0.14µl ml<sup>-1</sup> for foliar or soil  
182 drench treatments). Foliar application was done by spraying both sides of the leaves until  
183 leaves were absolutely soaked. Soil drench application was applied by watering until the  
184 field capacity of the substrate was achieved. Once treated, plants were placed in plastic  
185 boxes in order to maintain 100% RH. There were 24 replicated plants per treatment (6  
186 treatments), 144 plants in total.

187 In order to assess the effect of treatments in the plant growth development, three lots of  
188 24 plants each were treated as described above for Ctr, Soil treat and Foliar treat (72 plants  
189 in total). These three lots were kept in the same conditions described above for a month,



190 and the treatment was repeated once (15 days after the first application) following  
191 manufacturer's recommendation. All the experiments were conducted three times.

192 For fungal inoculation, inoculum of *S. sclerotiorum* isolate SsUJI-1-2016 was  
193 prepared on PDA as described above. Mycelial plugs of 4-mm in diameter were obtained  
194 from the margin of 3-days old actively growing colonies. Three leaves per plant were  
195 inoculated 72 h after treatment with the plant extract mix by deposition of three mycelial  
196 plugs in each one, placing them with the mycelium in contact with the leaf surface. Plants  
197 belonging Ctr, Soil treat and Foliar treat (non-inoculated treatments) were treated with  
198 three 4-mm in diameter PDA sterile plugs.

#### 199 *Plant growth and disease severity*

200 The effect of the treatment on plant growth development was assessed measuring the dry  
201 weight of the leaves and root one month after the first application in the additional lots of  
202 plants of Ctr., Soil treat and Foliar treat (non-inoculated treatments). To this end, leaves  
203 and roots of each plant were carefully separated and introduced in oven at 60°C for 72 h.  
204 After then, all samples were weighed.

205 Disease severity (DS) was assessed measuring the two-perpendicular axis of the  
206 lesion developed in each inoculation point by a digital scale ruler as described by Pane et  
207 al. (2017). Mean data were converted to radial growth rate (millimeters per day). Due to  
208 the fast development of the fungus on inoculated fresh leaves of lettuce, DS was evaluated  
209 just 72 h after inoculation since DS reached more than 50% severity in the untreated and  
210 non-inoculated control plants.

211

#### 212 *Determination and quantification of hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>)*

213 Samples of 6 leaves per treatment were collected 72 hours after inoculation. Collected  
214 leaves were immersed immediately in 1 mg ml<sup>-1</sup> of 3',3-diaminobenzidine (DAB) at pH  
215 <3 for 24 h in darkness. Subsequently, the leaves were discoloured in 96% ethanol and  
216 rehydrated in distilled water for 30 min. Formation of brown precipitates were quantified  
217 in micrographies and expressed as the number of dark-brown DAB pixels in relation to  
218 the total pixels corresponding to plant material using the GIMP program (version 2.6.12)  
219 (Llorens et al. 2016)

#### 220 *Callose deposition*

221 Six leaves per treatment were collected at 72 hours after inoculation and incubated in 95%  
222 ethanol at room temperature. Discoloured leaves were rehydrated in 0.07 M phosphate  
223 buffer (pH = 7), incubated for 24h in 0.5% aniline blue (0.07 mM phosphate buffer) at  
224 room temperature. Observations were performed with an epifluorescence microscope.  
225 Fluorescence emitted by stained callose was observed under UV light microscope and  
226 quantified in micrographies. Results were expressed as the number of pixels in relation  
227 to the total pixels corresponding to plant material using the GIMP program (version  
228 2.6.12)

#### 229 *Evaluation of hormones related to plant defence by chromatographic analysis*

230 Six leaves per treatment were collected at 72 hours after inoculation and this fresh  
231 material was immediately frozen in liquid N, ground, and lyophilized. Dry tissue (0.03 g)  
232 was immediately homogenized in 2.5 ml of ultrapure water, and 100µL of internal  
233 standard composed of deuterated abscisic acid ([<sup>2</sup>H<sub>6</sub>] ABA), deuterated SA ([<sup>2</sup>H<sub>4</sub>]),  
234 dihydrojasmonic acid (dhJA) and propylparaben} at 100 ng ml<sup>-1</sup> each. After extraction,  
235 a 20 µl aliquot was injected directly into the ultrahigh-performance liquid  
236 chromatography (UPLC) system. Analyses were carried out using a Waters Alliance 2690

237 HPLC system (Milford, MA, USA) with a nucleosil ODS reversed-phase column (100  
238 mm × 2mm, i.d. 5 µm; Scharlab, Barcelona, Spain; <http://www.scharlab.com>). The  
239 chromatographic system was interfaced to a Quatro LC (quadrupole–hexapole–  
240 quadrupole) mass spectrometer (Micromass; <http://www.micromass.co.uk>). The  
241 MASSLYNX NT software version 4.1 (Micromass) was used to process the quantitative  
242 data from calibration standards and plant samples (Llorens et al. 2016).

#### 243 Data analysis

244 All experiments were conducted at least three times. Data from different repetitions was  
245 analysed together due to the fact that analysis of variance (ANOVA) did not show  
246 significant differences ( $P > 0.05$ ) between repetitions in each experiment. Subsequently,  
247 for each assessment, ANOVA was performed with leaf and root dry weigh, lesion size,  
248 H<sub>2</sub>O<sub>2</sub> quantification, callose deposition and hormone levels as dependent variables and  
249 treatment (plants treated or non-treated with extracts of *M. tenuiflora* and *Q. robur* and/or  
250 inoculated or non-inoculated with *S. sclerotiorum*) as the independent variable. All data  
251 of this study were tested for normality, homogeneity of variances, and residual patterns.  
252 When ANOVA showed significant differences between variables, mean values were  
253 compared using the Fisher's protected least significant difference (LSD) test at  $P =$   
254 0.05(Steel and Torrie 1986). Statistical analyses were performed using the software  
255 Statgraphics Centurion XVI (Statpoint Technologies, Warrenton, VA, USA).

## 256 **Results**

257 Effect of the *Mimosa tenuiflora* and *Quercus robur* extract on mycelial growth and  
258 sclerotia germination

259 When ANOVA was conducted to evaluate the effect of the extract of *M. tenuiflora* and  
260 *Q. robur* on mycelial growth development, the analysis showed no significant differences  
261 on mycelial growth development between amended or non-amended PDA with the  
262 botanical extract (*data not shown*).

263 The viability of the sclerotia was scored according to the rating scale described  
264 before (Fig. 1). The percentage obtained for both the control and the C1 was 20% of  
265 sclerotia rated as 3 or below, and 80% rated as 4 or higher. In both cases, the mycelium  
266 had a considerable size and consistency. Thus, the treatment does not seem to have an  
267 effect on the induction of sclerotia germination (Fig. 1).

268 *Effect of Mimosa tenuiflora and Quercus robur extract on plant growth and disease*  
269 *severity*

270 To check if the treatment affects the growth of the plant, the dry weight of the leaves and  
271 root of the lettuce plants was measured. Results showed that the treatment did not affect  
272 plant growth development in comparison with non-treated plants. (Fig. 2).

273 The analysis of the results showed that the application of *M. tenuiflora* and *Q. robur*  
274 extract either by soil drench or foliar spray is able to reduce the progress of infection in  
275 lettuce by 23.8% and 33.9%, respectively (Fig. 3). Regarding the effectiveness of the  
276 method of application, there were no significant differences between the foliar spray and  
277 soil drench.

278 *Effect of the treatments inducing the formation of H<sub>2</sub>O<sub>2</sub>*

279 Treatments with *M. tenuiflora* and *Q. robur* extract promoted the synthesis of H<sub>2</sub>O<sub>2</sub> in  
280 plants subjected to challenge infection. Both foliar and radicular treatments acted in a  
281 positive way promoting the activation of oxidative burst, which is responsible for the

282 induction of the natural plant defences system. Both treatments resulted in significant  
283 higher accumulation compared with control plants. Plants treated by soil drench and  
284 inoculated showed an accumulation of H<sub>2</sub>O<sub>2</sub> 70% higher than observed in positive  
285 controls. On the other hand, in inoculated plants, the foliar application seems to be more  
286 effective regarding the induction of oxidative burst, showing levels of H<sub>2</sub>O<sub>2</sub> two-fold  
287 higher than observed in positive controls (Fig. 4; Fig. 6a-d). No differences were observed  
288 in between negative controls and soil or foliar treated plants.

### 289 *Effect of the treatments on callose deposition*

290 Botanical extract treatments promoted the deposition of callose in both inoculated and  
291 non-inoculated lots of plants, which leads to the idea that it is activating the natural plant  
292 defence system. However, results observed are different depending on the type of  
293 applications. Regarding radicular treatments, a significant enhancement of callose  
294 deposition was observed only in inoculated plants, reaching levels 1.6-fold higher than  
295 those observed in positive controls (Fig. 5). On the other hand, the induction of callose  
296 deposition in plants treated by foliar spray was significantly higher in non-inoculated and  
297 inoculated plants in comparison with both positive and negative control treatments, with  
298 Fol treat/inoc treatment combination showing the highest level of callose deposition  
299 (19664.8 pixels per image) (Fig. 5; Fig. 6e-f). In the case of foliar treatments, the level  
300 callose deposition on non-inoculated plants was 9-fold higher than that observed in  
301 negative control, whereas the level of callose deposition observed in inoculated plants  
302 was 4.2-fold higher than in positive controls (Fig. 5).

303 It is interesting to note that during the observation of callose deposition in the  
304 microscope, it was seen that the treatment was affecting the development of the mycelium  
305 and producing a slight degradation. In Fig. 6g-i we can see the three types of treated and

306 untreated mycelia. In Fig. 6g (Ctr inoc) a homogeneous mycelium is observed following  
307 the normal branching patterns in this pathogen. In Fig. 6h (soil treat/inoc), the spatial  
308 structure of the hyphae is disordered and it seems degraded in various areas. Finally, in  
309 Fig. 6i (foliar treat/inoc), degradation was observed in several parts of the mycelium  
310 expansion.

### 311 *Effect of the treatment on the enhancement of hormones related to plant defence*

312 Hormonal analyses of the different treatments conducted in this study on lettuce are  
313 shown in Table 1. The accumulation of ABA showed an enhancement in in positive  
314 control compared with negative control, as well as in foliar treated plants regardless of  
315 the inoculation. No significant differences were observed in soil treated.

316       Regarding SA levels, our results showed different responses depending on the  
317 treatment and the inoculation. Positive control and soil treated plants showed a small  
318 reduction of SA levels after the challenge inoculation, but no significant differences were  
319 observed. However, plants treated by foliar spray showed a completely different pattern,  
320 since the treatment reduces significantly the levels of SA in non-inoculated plants and,  
321 the level of SA is significantly higher in inoculated plants.

322       Concerning JA levels, significant accumulation of this molecule was observed  
323 only in lettuce leaves from positive control plants. Significant differences were observed  
324 between positive control and soil drench treated plants, regardless the inoculation.  
325 Moreover, no significant differences were observed between negative controls and plants  
326 treated by soil drench or foliar spray. On the other hand, results obtained when analysing  
327 the JA-Ile showed a strong increment in infected plants regardless of the treatment.  
328 Finally, the OPDA, precursor of the JA, showed inconclusive results without any  
329 significant differences either between treatments or challenge infections.

## 330 Discussion

331 Previous studies have tested the effectiveness of *Mimosa* extract as an antifungal  
332 compound, obtaining positive results. In fact, Ferreira et al. (2013) used the extract of *M.*  
333 *ophthalmocentra* and *M. tenuiflora* in the control of the candida fungus. Despite the  
334 pharmacological and therapeutically effects of *M. tenuiflora* extracts have been  
335 previously reported, few studies have focused on its potential use as phytosanitary in crop  
336 protection. Therefore, the main objective of the present work was the evaluation as  
337 resistance inducer of *M. tenuiflora* and *Q. robur* extract in *L. sativa* inoculated with *S.*  
338 *sclerotiorum* under greenhouse conditions. *Sclerotinia sclerotiorum* is a necrotrophic  
339 fungus that develops from the surface of the crown towards the roots and the aerial zone.  
340 Although this fungus can grow through the plant as an endophyte, usually develops its  
341 mycelium on the surface (Azevedo et al. 2016).

342 The first step of this study was to check whether the treatment has any fungicide  
343 effect and interfere with the growth of the fungi. Contrary to observed with *Azadirachta*  
344 *indica*, *Zingiber officinale* or *Salvia officinalis* extracts against *Sclerotinia* (Ojaghian et  
345 al. 2014; Pansera et al. 2008), or other plant pathogens (Dorantes et al. 2000), the mix  
346 extract of *M. tenuiflora* and *Q. robur* is unable to inhibit the mycelial growth *in vitro* or  
347 the germination of sclerotia. These results suggest that this extract is not toxic to the fungi,  
348 and its possible mode of action is not related to fungicidal or fungistatic effects. This lack  
349 of direct toxic effect is not surprising since several compounds have been previously  
350 reported as able to protect crops from pests, without displaying direct toxicity against the  
351 pathogens (Llorens et al. 2017), but inducing the innate plant defence. On the other hand,  
352 we also tested if the application of plant extracts could have a detrimental effect on the  
353 growth of *L. sativa*. Other studies conducted with botanical extracts from plants belonging

354 *Jatropha* genus, demonstrated an allelopathic effect on the growth of the aerial and  
355 radicular parts of the lettuce (Sanderson et al. 2013). Our results showed that the treatment  
356 does not interfere with the growth of the plant, being safe for its use in the field.

357         Plants possess a wide variety of innate mechanisms to cope with pathogen attacks  
358 (Llorens et al. 2017). These mechanisms include several layers of defence that range from  
359 the cell wall reinforcement, expression of defensive genes or accumulation of toxic  
360 compounds. Moreover, plants are able to detect and distinguish between herbivory,  
361 biotrophic or necrotrophic pathogens and activate the appropriate defensive mechanism.  
362 For this reason, in order to colonize the hosts, pathogens must interfere with the activation  
363 of plant defences. During the infection process, *S. sclerotiorum* releases oxalic acid (OA)  
364 which has been described as necessary for the establishment of successful infection  
365 (Davidson et al. 2016). The release of OA produces a modification of the redox state of  
366 the plant, leading in a suppression of the plant innate defences such as callose deposition,  
367 elicitation of a strong oxidative burst, induction of phenolic compounds (Williams et al.  
368 2011). Within the plant defensive mechanisms, one of the fastest responses against  
369 pathogens is the accumulation of reactive oxygen species (Wojtaszek 1997). Upon  
370 pathogen infection levels of reactive oxygen species (ROS) increase rapidly. This  
371 oxidative burst has two main roles, a direct toxicity against pathogens and acts as  
372 extracellular and intracellular signalling (Mittler et al. 2011). It is well known that upon  
373 the colonization of *S. sclerotiorum* the production of OA inhibits the oxidative burst,  
374 whereas it has been observed that the mutants unable to produce OA failed to colonize  
375 the host and induced a strong oxidative burst (Williams et al. 2011). In the same way, the  
376 induction of a strong oxidative burst can be also promoted by the application of priming  
377 agents. In monocots, Paulert et al. (2010) observed that a pre-treatment of plants with  
378 ulvan, a sulfated heteropolysaccharide, significantly reduced the severity of *Blumeria*



379 *graminis* infection, and induced an oxidative burst up to 150 times higher than other  
380 elicitors. In tomato, Fernandez-Crespo et al. (Fernández-Crespo et al. 2015) observed that  
381  $\text{NH}_4^+$  pre-treated plants showed higher levels of hydrogen peroxide which were related  
382 with higher resistance against *Pseudomonas syringae*. Our results showed a high  
383 accumulation of  $\text{H}_2\text{O}_2$  in plants treated by foliar or soil drench application of the *M.*  
384 *tenuiflora* and *Q. robur* extract compared with untreated controls. These results could  
385 indicate that the application of the compound induces the oxidative burst reinforcing the  
386 first layer of defence, impairing the pathogen mechanisms for a successful infection.

387         Together with the oxidative burst, the accumulation of callose in the site of the  
388 infection has been often related to the resistance against *S. Sclerotiorum* (Liang et al.  
389 2015; Williams et al. 2011). The presence of fungi and other pathogens, induce localized  
390 cell wall modifications, so-called papillae, at sites of attempted penetration. This papillae  
391 is mainly composed of (1,3)- $\beta$ -glucan (Voigt 2016) and its accumulation upon pathogen  
392 infection has been directly correlated whit the increase of resistance. Ellinger et al. (2013)  
393 demonstrated in Arabidopsis that the deposition of elevated amounts of callose at early  
394 time points is related to resistance against *Golovinomyces cichoracearum* penetration.  
395 Similar than oxidative burst, callose deposition can be induced by priming agents. The  
396 application of resistance inducers such as beta-aminobutyric acid (BABA) or hexanoic  
397 acid primed deposition of callose, which has been associated with the resistance against  
398 several pathogens (Ji et al. 2015; Llorens et al. 2017; Vicedo et al. 2009), and thereby,  
399 this induction of resistance can be partially suppressed by the callose synthesis inhibitor  
400 2-deoxy-D-glucose (Hamiduzzaman et al. 2005; Llorens et al. 2013). In our experiment,  
401 we observed a clear increase of callose deposition in treated and infected plants,  
402 especially after foliar treatment, which could be interfering with the pathogen penetration.

403           The treatment with *M. tenuiflora* and *Q. robur* extract not only induced changes  
404 in the plant performance. The observation of the mycelium under the microscope showed  
405 abnormal Sclerotinia hyphae in treated plants. In previous works, it has already been  
406 observed that plant extracts of *Anethum graveolens* or *Platycladus orientalis* are able to  
407 produce disorders in the normal morphology of fungal structures as detrimental and direct  
408 effects of plant extracts (Tian et al. 2011; Wang et al. 2014). On the other hand, *Bacillus*  
409 *thuringiensis* C25 is able to induced degeneration, distortion, and rupture of hyphae of *S.*  
410 *minor* produced by a variety of cell wall degrading enzymes such as proteases,  $\beta$ -1,3-  
411 glucanase, and chitinase (Shrestha et al. 2015). Since this result has been observed in  
412 plants treated either by soil drench or foliar application, it seems indicate that treatment  
413 *per se* does not produce hyphae degradation, but it could induce the expression of cell  
414 wall degrading enzymes as part of the defensive response promoted by the treatment.

415           To define which defence systems were activated in the plant due to the treatment,  
416 we analysed the hormonal levels in the leaves. The results obtained showed that the  
417 treatments or the inoculation are able to modulate pathways of ABA and JA, and the foliar  
418 treatment, which has proved to be the most effective, provoke changes both pathways.  
419 Our result showed that the soil application of the plant extracts induces an enhancement  
420 of ABA accumulation in non-inoculated plants, however, this increase in non-inoculated  
421 plants was not observed in the case of foliar application. Between the defensive roles of  
422 ABA, at the pre-invasive level, it is responsible for the PAMP-triggered defence by  
423 promoting the stomatal closure to reduce the pathogen entry (C. Lim et al. 2015).  
424 Moreover, this hormone has also been positively related to the deposition of callose (Oide  
425 et al. 2013). However, previous studies have also suggested a negative relation between  
426 ABA and SA induced resistance, which could impair the defence against biotrophic  
427 pathogens such as *Pseudomonas syringae* (C. W. Lim et al. 2014). On the other hand, the

428 JA pathway is related to the plant defence against necrotrophic pathogens such as  
429 *Sclerotinia* (Llorens et al. 2017). Accordingly, our results showed a strong up-regulation  
430 of JA in positive controls. However, the treatment, despite being effective, did not show  
431 an enhancement of the JA pathway or the other metabolites analysed from this pathway.  
432 On the other hand, results observed in SA accumulation showed an induction only by soil  
433 treatment and inoculation. Despite this hormone is usually related in defence against  
434 biotrophic pathogens, recently Nováková et al., (2014) observed a possible implication  
435 of SA in *Brassica napus* against *Sclerotinia* indicating that all the main defence signalling  
436 pathways may respond to the attack by *S. sclerotiorum*. All these results indicate that  
437 there is not a clear relationship between the application of the compound and the  
438 activation of hormonal pathways, suggesting that the effectiveness of the plant extract  
439 does not require the activation of hormonal pathways but relies on previous defensive  
440 mechanisms induced by the treatment which could be sufficient to restrict the pathogen  
441 attack.

442 In conclusion, in this work, it has been demonstrated that the mix extract of *M.*  
443 *tenuiflora* and *Q. robur* is able to induce plant innate defences applied either by soil  
444 drench or foliar spray. The lack of *in vitro* direct toxicity against *Sclerotinia* suggests that  
445 the treatment works by inducing the plant defence systems. The results observed in the  
446 induction of peroxide formation and the deposition of callose would be sufficient to  
447 counteract the infection process and avoid the *Sclerotinia* colonization. Moreover, the  
448 application of this extract could be impairing the hyphae development, but more studies  
449 would be necessary in order to clarify this point.

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469

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664 **Fig. 1** Germination of sclerotia. Percentage of germination of sclerotia for the  
665 treatments Ctr: mycelium growth in PDB; C1: mycelium growth in PDB + 0.14  
666  $\mu\text{L}/\text{ml}$  of plant extract. Results are represented according to a rating from 1 to 5  
667 where 0 = No germination; 1 = Germination lower than 0.5 mm; 2 = Germination  
668 between 0.5 and 1 mm; 3 = Germination between 1 and 2 mm; 4 = Germination  
669 around 2 mm with development of mycelia; and 5 = massive germination. For each  
670 treatment, data represent the means of three independent experiments of 10  
671 sclerotia per repetition.

672 **Fig. 2** Effect of *Mimosa tenuiflora* and *Quercus robur* extract on plant growth  
673 development. Dry weight (g) of the leaves and roots of treated plants of lettuce for:  
674 Positive control (non-treated and inoculated plants); Fol treat/inoc (foliar treated and  
675 inoculated plants), and Soil treat/inoc(soil drench treated and inoculated plants-).  
676 Columns represent the means of three independent experiments of 24 replicated plants  
677 per treatment, and repetition. Vertical bars are the standard error of the means. Columns  
678 with the same letter do not differ significantly according to Fisher's protected LSD test  
679 ( $P < 0.05$ ).

680

681 **Fig. 3** Effect of *Mimosa tenuiflora* and *Quercus robur* extract on the resistance of lettuce  
682 plants against *S. sclerotiorum* 72 hours after inoculation. Diameter of lesion (mm) for the  
683 treatments Positive control (non-treated and inoculated plants); Fol treat/inoc (foliar  
684 treated and inoculated plants); and Soil treat/inoc (Soil drench treated and inoculated  
685 plants). Columns represent the means of three independent experiments of 24 replicated

686 plants per treatment, and repetition. Vertical bars are the standard error of the means.  
687 Columns with the same letter do not differ significantly according to Fisher's protected  
688 LSD test ( $P < 0.05$ ).

689 **Fig. 4** Quantification of the accumulation of hydrogen peroxide ( $H_2O_2$ ; ROS) represented  
690 as brown pixels per image in samples of lettuce leaves taken 72 hours after inoculation  
691 for the six treatments evaluated: untreated and non-inoculation control (negative control,  
692 Ctr); untreated and inoculated control (Positive control, Ctr inoc); soil drench treatment  
693 without inoculation (Soil treat); soil drench treatment and inoculated (Soil treat/inoc);  
694 foliar treatment without inoculation (Fol treat); and foliar treatment and inoculated (Fol  
695 treat/inoc). Columns represent the means of three independent experiments of 24  
696 replicated plants per treatment, and repetition. Vertical bars are the standard error of the  
697 means. Columns with the same letter do not differ significantly according to Fisher's  
698 protected LSD test ( $P < 0.05$ ).

699 **Fig. 5** Quantification of callose deposition represented as number of pixels in samples of  
700 lettuce leaves taken 72 hours after inoculation for the six treatments evaluated: : untreated  
701 and non-inoculation control (negative control, Ctr); untreated and inoculated control  
702 (Positive control, Ctr inoc); soil drench treatment without inoculation (Soil treat); soil  
703 drench treatment and inoculated (Soil treat/inoc); foliar treatment without inoculation  
704 (Fol treat); and foliar treatment and inoculated (Fol treat/inoc). Columns represent the  
705 means of three independent experiments of 24 replicated plants per treatment, and  
706 repetition. Vertical bars are the standard error of the means. Columns with the same letter  
707 do not differ significantly according to Fisher's protected LSD test ( $P < 0.05$ ).

708 **Fig. 6** Micrographies of the different analysis performed: **(a-d)** accumulation of hydrogen  
709 peroxide ( $H_2O_2$ ; ROS) observed as brown precipitate for **(a)** Sample from negative control

710 leaf **(b)** Sample from Fol treat leaf **(c)** Sample from Positive control leaf **(d)** Sample from  
711 Fol treat/inoc leaf **(e-f)** Visualization of callose deposition for **(e)** Sample from positive  
712 control leaf **(f)** Sample from Fol treat/inoc leaf **(g-i)** Effect of treatment with extract of *M.*  
713 *tenuiflora* and *Q. robur* in the mycelial development of *S. sclerotiorum* in lettuce plants **(g)**  
714 Mycelium in leaf samples from negative control plants in which a homogeneous growth is  
715 observed and the structures of the hyphae are equivalent to each other **(h)** mycelium in leaves  
716 of plants treated via foliar **(i)** mycelium in leaves of plants treated via radicular. Arrows point  
717 segments where mycelium is abnormal.