

1 **Feasibility of incorporating silica aerogel in atmospheric plasma**
2 **spraying coatings**

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1 ***Abstract***

2 This study aims to demonstrate the feasibility of developing zirconia ceramic coatings
3 with the incorporation of silica aerogel particles, which exhibit outstanding insulating
4 properties. Thus, the research aims to find a suitable methodology to disperse aerogel
5 particles, which are strongly hydrophobic, in an aqueous medium. In the study, a double
6 rheological characterisation was carried out, firstly, to adapt the dispersion of the aerogel
7 particles and, secondly, to characterise the different suspensions made up of silica aerogel
8 and zirconia to be atomised. Aerogel additions ranging from 2% to 98% in volume were
9 addressed. Spray-dried powders were characterised in terms of flowability. Finally,
10 coatings microstructure was examined, and their thermal conductivity determined. The
11 results showed that it is possible to disperse aerogel particles in an aqueous medium and
12 to obtain stable suspensions together with the addition of zirconia. Suitable spray-dried
13 powders were then produced in all the cases. On the other hand, coatings obtained by
14 atmospheric plasma spraying showed appreciable microstructural differences with the
15 addition of aerogel particles and their insulating effect is evident in the thermal tests, with
16 their improved (decreased) thermal conductivity.

17

18 *Keywords:* Aerogel, Suspension, Spray-Drying, Thermal Barrier Coating, Atmospheric
19 Plasma Spraying

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1 **1. Introduction**

2 Atmospheric plasma spraying (APS) is a technique that has been implemented in industry
3 for decades to obtain thick coatings with very diverse applications that range from
4 protecting metal surfaces against abrasion and corrosion at high temperatures to obtaining
5 bioactive coatings on prostheses for the human body [1,2]. One of the most widespread
6 applications is that of thermal barrier coatings (TBCs) whose purpose is to protect engine
7 and turbine components against corrosion and thermal degradation of combustion gases
8 at very high temperatures [3,4]. Given the importance of this application, research into
9 TBCs has largely grown in last years. This research tries to give answer to the challenges
10 that the turbine engines face at the present time, like increasing the energy efficiency and
11 its time of service. The most used material for making TBCs is yttria partially stabilised
12 tetragonal polycrystalline zirconia (Y-TZP), due to its excellent structural and functional
13 properties: resistance to temperature and corrosion by hot gases, resistance to thermal
14 shock and low thermal conductivity [4–6]. However, the increasing demand for
15 performance and durability to which turbine engines are subjected makes it necessary to
16 continuously improve this type of coating. Thus, in recent years different researchers have
17 developed intense activity based on the addition of other components such as simple
18 (alumina, silica, ceria) or complex oxides (pyrochlores, perovskites or hexaaluminates,
19 among others) that aim to improve the properties of zirconia TBCs, such as increasing
20 their resistance to thermal shock and/or reducing their thermal conductivity [7,8]. With
21 this same objective and maintaining the zirconia composition of TBC, new
22 microstructures have been produced by using liquid feedstocks (suspensions or precursor
23 solutions) in plasma spray [9,10] or multilayer and functionally graded coatings have
24 been prepared [11,12]. More recently, it has been reported that new functionalities can be
25 introduced into TBCs to extend its service life, such as the self-healing function [13,14].
26 The progress of all this research is more than evident, however, the irruption of a new
27 generation of TBCs to replace all or part of the zirconia coatings is still to come.

28
29 A route that has been little explored to date is the use of materials that can contribute to
30 the improvement of the thermal insulation of the coating without significantly
31 compromising the other properties required by the TBC. Thus, aerogels are one of the
32 materials with the highest thermal insulation efficiency, being silica the most common of
33 them all. Silica aerogels are materials with unusual properties, such as an extraordinary
34 specific surface area ($500 \cdot 10^3 - 1000 \cdot 10^3 \text{ m}^2 \cdot \text{kg}^{-1}$), ultra-high porosity (around 80 – 99 %),

1 extremely low density ($< 5 \text{ kg}\cdot\text{m}^{-3}$), very low thermal conductivity ($< 5\cdot 10^{-3} \text{ W}\cdot\text{m}^{-1}\cdot\text{K}^{-1}$),
2 ultra-low dielectric constant (between 1 and 2) and a low refractive index (approximately
3 1.05) [15–19]. This compendium of properties has given rise to intense scientific activity
4 since its discovery back in the 1930s, with a view to developing materials that could be
5 scaled to industry. Thus, a large number of commercial applications in high-tech
6 industries have been documented, such as insulation in thermal windows, acoustic
7 barriers, superconductors or catalytic supports [20–22]. The synthesis of silica aerogel
8 always involves three stages: preparation of the gel, ageing and drying under supercritical
9 conditions. In recent years, the study of the drying process at atmospheric pressure has
10 been intensified, which would greatly facilitate the scaling and marketing of the material
11 [22,23].

12

13 The excellent thermal insulation capacity of silica aerogel allows to think about its
14 possible application in thermal spray coatings where this property is of special interest,
15 as for example in TBCs. However, to date, hardly any work has been reported where this
16 approach has been addressed. One of the reasons, could be the enormous difficulty
17 involved in handling a powder with the characteristics described above, which, a priori,
18 cannot be directly fed into a plasma torch. Only some work has been found in which an
19 attempt has been made to mix silica aerogel with other materials to develop suitable
20 powder feedstocks for thermal spray. Applying an experimental design to the atomisation
21 process, *Bheekhun et al.* tried to optimise the atomisation conditions in order to obtain
22 granulated silica powders that could be used in plasma spray. The atomisation was
23 performed using different mixtures of silica aerogel with Y–TZP, obtaining granulated
24 powders that, a priori, could be successfully sprayed, although they did not manufacture
25 coatings with these granulated powders [24]. In a similar work, *Mohd–Zulkifli et al.* dealt
26 with the atomisation of aerogel samples [25], in this case without mixing with any other
27 material, with a view also to their application in thermal spraying coatings, obtaining
28 agglomerated powders that did not spray either. In another investigation [26], these same
29 researchers performed mixtures of aerogel with silica and boron glass microspheres in
30 order to obtain feed powders for plasma spraying with adequate flowability, but they also
31 did not spray them.

32

33 From the above, it is concluded that, although silica aerogel seems to have attracted the
34 interest of the scientific community for its use in the manufacture of coatings by thermal

spraying, this interest has not yet materialized in the preparation of coatings, addressing to date only the preparation of the feedstock. The reason is probably, as mentioned above, the enormous difficulty involved in the handling and incorporation of aerogel, either in powder or suspension form, into a thermal spray torch.

Therefore, this research addresses, the reconstitution of silica aerogel and Y-TZP powders by an atomisation process, and, for the first time, the subsequent thermal deposition by atmospheric plasma spraying of the resulting atomised powders. Y-TZP has been chosen as the raw material for mixing with silica aerogel because of the possible application of this material to the preparation of TBCs. The research includes the preparation and characterisation of the aqueous suspensions, the atomisation process, the characterisation of the resulting powders, and deposition and microstructural characterisation of plasma sprayed coatings.

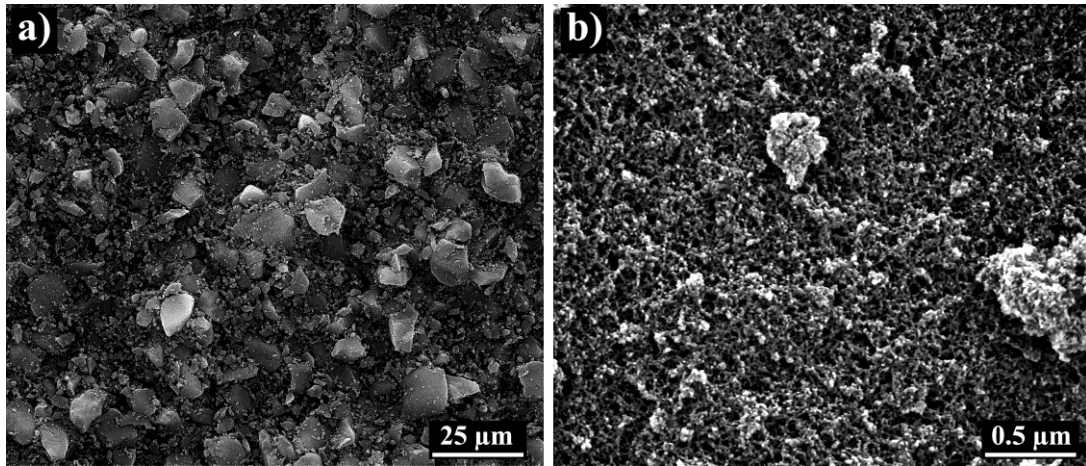
2. Experimental

2.1. Feedstocks preparation and characterisation

A commercial silica aerogel powder supplied by Cabot Speciality Chemicals (Enova[®] Aerogel IC3100, Cabot Speciality Chemicals, Belgium) was used. Table 1 shows some of the characteristics of this powder according to the information provided by the manufacturer. Micrographs at different magnifications of this material are also shown in Fig. 1. To obtain silica aerogel and zirconia mixtures, a submicron zirconia powder supplied by Tosoh Co. (TZ-3YS, Tosoh Co., Japan), commonly used in TBC coatings, was employed. Some characteristics of this material are also shown in Table 1.

Table 1 Some characteristics of the aerogel IC3100 and TZ – 3YS powder samples used in this research.

Characteristics	Aerogel IC3100	TZ – 3YS
Size range (m) · 10 ⁻⁶	2 – 40	0.1 – 1.4
Density (kg · m ⁻³)	120 – 150	6050
Surface area (m ² · kg ⁻¹) · 10 ⁻³	600 – 800	5 – 9
Thermal conductivity (W · m ⁻¹ · k ⁻¹)	0.012 (at 298 K)	2.010 (at 298 K)



1
2 Fig. 1 Micrographs at different magnifications of silica aerogel sample used in the
3 research. a) different silica aerogel particles and b) silica aerogel particle surface.

4
5 Initially, a suspension of silica aerogel in water was addressed at 25 vol.%. As the aerogel
6 particles employed in this study display strong hydrophobic character and high specific
7 surface area, a non-ionic surfactant mostly composed of a polyether-modified siloxane
8 (BYK-347, BYK Additives & Instruments, Germany) was used to facilitate the
9 preparation of an aqueous suspension. The surfactant ratio was optimised based on
10 viscosity and surface tension measurements. For that purpose, aliquots of water
11 containing only three different quantities of BYK-347 referred to the amount of water
12 (0%, 1% and 10% all percentages in weight) were prepared and tested with a rotational
13 rheometer (CVO 120, Bohlin Instruments, Great Britain), using a double-gap system and
14 a shear rate of 500 s^{-1} , and a plate-cone tensiometer using the plate element (KRÜSS K12,
15 KRÜSS GmbH, Germany). Once the amount of surfactant has been determined, the
16 suspensions containing only aerogel particles were subjected to different times of
17 sonication in order to evaluate their rheological behaviour for subsequent mixing with Y-
18 TZP powders.

19
20 Then, concentrated stable suspensions at 25 vol.% were prepared mixing different
21 proportions of silica aerogel and Y-TZP powders, thus covering coatings in which
22 aerogel particles will act as a minor (dispersed) phase in a Y-TZP matrix against a
23 mixture in which silica aerogel will be the major component (matrix). For comparison
24 purposes, a suspension only with Y-TZP powder was prepared to assess the effect of the
25 aerogel on the coatings. Specifically, the mixtures are detailed in Table 2, as well as the
26 reference of each suspension.

The modus operandi for the preparation of the different mixtures in this work was: first, a stable aqueous Y–TZP suspension was prepared following the route set out in a previous research [27]. Secondly, the optimised amount of BYK-347 was added drop by drop to the stable Y–TZP suspension under mechanical stirring, and finally, the silica aerogel powders were slowly added to the suspension until all ingredients were completely homogenised.

Table 2 Prepared suspensions at 25 vol.% with different proportions of Y–TZP (Z) and silica aerogel (A) powders.

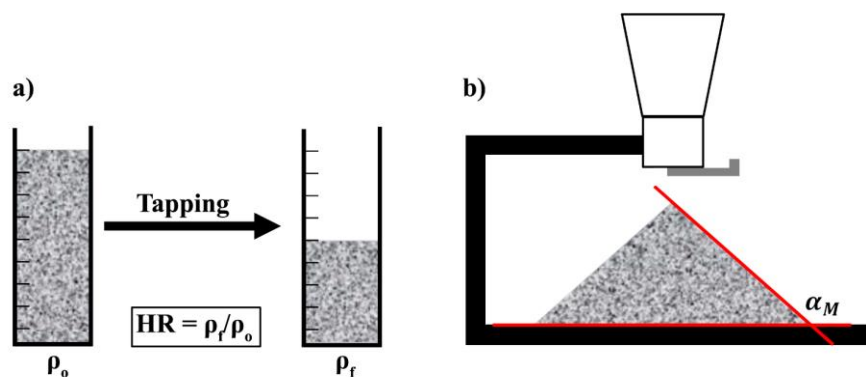
Suspension reference	Composition of the total solid (vol.%)	
	Y - TZP	Silica aerogel
100Z - 0A	100	-
98Z - 2A	98	2
70Z - 30A	70	30
2Z - 98A	2	98

The suspensions were characterised from the rheological point of view. The rheological study, performed at 298 K and using the same rheometer with the same double – gap system as in the case of the selection of the amount of BYK – 347, was done controlling the shear rate (CR) by loading it from 0 to 500 s⁻¹ in 5 min, maintaining the shear rate at 500 s⁻¹ for 1 min and downloading it from 500 to 0 s⁻¹ in 5 min.

Then, the feedstocks were spray-dried in an atomiser (Mobile Minor, Gea Niro, Denmark) with a drying capacity of 7 kg water/h, in order to obtain reconstituted powders (granules) suitable for its application in plasma spraying. Each feedstock was introduced into the chamber of the atomiser using a pneumatic nozzle at constant flow. The employment of this atomiser implies working in counter current since the nozzle is in the lower part of the chamber and the entry of hot air is made through the upper area of the chamber, thus achieving a longer residence time of the drops in the chamber interior and greater drying efficiency. Concerning the parameters employed for the atomisation step (air flow rate and pressure, feedstock flow rate, inlet gas temperature and nozzle diameter), these were chosen for each suspension based on the height of the droplet column inside the chamber,

1 so that it reached its maximum height and thus, the residence time of the droplets was as
2 long as possible.

3
4 All powders resulting from the atomisation step were characterised in accordance with its
5 size and flowability. The agglomerate size distribution was determined by image analysis
6 software (MicroImage, Olympus Optical Co., Germany). For that purpose, 20 different
7 micrographs of each powder were taken with field emission gun environmental scanning
8 electron microscope (FEG–ESEM, QUANTA 200FEG, FEI Company, USA). Then, the
9 micrographs were treated with the software to determine the total number of agglomerates
10 and its diameter per micrograph to assess the average agglomerate size and the
11 agglomerate size distribution. The micrographs of the atomised powder samples were
12 also employed to analyse their morphology. Likewise, the flowability of the atomised
13 powders was determined from two methods: Hausner ratio test (HR) and angle of repose
14 test (α_M) [28–30]. HR is defined as the quotient of the tapped density (ρ_f) to the bulk (or
15 poured) density of the powder (ρ_o), and as it represents a very simple method (Fig. 2a), it
16 is extensively used in the thermal spraying field [29]. Angle of repose was also
17 determined as a complementary measurement for HR. To determine this parameter, an
18 aliquot of each powder was introduced in a truncated cone plastic container Fig. 2b). Then,
19 the inner part of the container was unlocked, which caused the powder sample to free fall
20 onto a flat and polished metallic surface resulting in a cone of powder. Different runs
21 were done for each powder sample, and for each run several pictures of the powder cone
22 were taken to determine the angle of repose with image analysis software as shown in
23 (Fig. 2b).



24
25 Fig. 2 Diagram of the procedures employed for the characterisation the flowability of
26 the agglomerate powders: a) refers to the Hausner ratio procedure and b) refers to the
27 angle of repose procedure.

1 **2.2. Coatings preparation and characterisation**

2 Once the agglomerated feedstocks were developed and fully characterised, they were
3 plasma sprayed to obtain coatings. The APS facility is comprised by a mon cathode
4 plasma torch (F4–MB, Oerlikon Metco, Switzerland), coupled to a six–axis robot (IRB
5 1400, ABB, Switzerland), which uses argon and hydrogen as primary and secondary
6 plasma gases, respectively. The feeding system is a commercial one (Twin–120A,
7 Oerlikon Metco, Switzerland), in which the powder contained in the hopper is fed into
8 the plasma plume by pneumatic transport using argon as carrier gas.

9
10 The substrates used were rectangular sheets of 0.1 x 0.03 m and $3 \cdot 10^{-3}$ m of thickness
11 made of AISI type 304 stainless steel. Prior to the coating deposition process, the surface
12 of the substrates was grit–blasted using black corundum with a pressure of 4.2 bar, until
13 a total surface roughness of approximately 2 μm , and then cleaned with ethanol in an
14 ultrasonic bath. Moreover, an intermediate layer known as bond coat, was sprayed
15 between the substrate and the final coating to enhance its adhesion. The bond coat was
16 deposited by APS from commercial metallic alloy powder (Amdry 997, Oerlikon Metco,
17 Switzerland). The spraying parameters used for its deposition are shown in Table 3 which
18 were given by the supplier. Finally, the different agglomerated powders were sprayed
19 onto the bond coat by APS using the parameters shown in Table 3. These parameters were
20 the same as those employed in the deposition of TBCs from Y–TZP agglomerated
21 powders by APS, extracted from previous research [31,32].

1 Table 3 Plasma spraying parameters used for the bond coat powder and the spray – dried
 2 agglomerates prepared in this research.

Parameters	Bond coat	Z/A agglomerates
Argon flow rate (slpm [*])	65	35
Hydrogen flow rate (slpm [*])	8	12
Intensity (A)	650	600
Stand – off distance (m)·10 ³	145	80
Traverse robot speed (m·s ⁻¹)	1	1
Number of scans	2	10
Scan step (m)·10 ³	2	4
Nozzle diameter (m)·10 ³	1.5	1.8
Carrier gas flow rate (slpm [*])	2	3
Powder flow rate (kg·s ⁻¹)·10 ⁴	6.7	7.5
Preheating (K)	423	-

3 **slpm: standard litre per minute*

4
 5 The coatings obtained were inspected with FEG – ESEM on the surface and in cross–
 6 section to examine their microstructure. For cross section examination, coatings were cut,
 7 mounted in an epoxy resin and polished with different clothes decreasing the grain size of
 8 the polishing agent. The resulting cross section micrographs were also employed to
 9 estimate the thickness and porosity of the coatings by image analysis (MicroImage).
 10 Moreover, qualitative analysis by energy–dispersive X–ray microanalysis (EDX, Genesis
 11 7000 SUTW, EDAX, USA) was performed on different areas of the coatings and the
 12 crystalline phases present in the coatings were identified by means of X–ray diffraction
 13 (XRD, Advance diffractometer, Bruker Theta – Theta, Germany) using Cu K α radiation.
 14 Furthermore, powder sprayed during the thermal spraying process was collected to
 15 determine the chemical composition of the coating. The determination of the chemical
 16 composition was performed with a PANalytical model AXIOS X-ray fluorescence
 17 wavelength-dispersive spectrometer, with 4 kW power and Rh tube, fitted with flow,
 18 scintillation and sealed detectors, and eight analysing crystals: LiF200, LIF220, Ge 111,
 19 TLAP, InSb 111-C, PET 002, PX1 and PX7”.

20
 21

1 Finally, all top coatings were thermally characterised. For this purpose, the thermal
2 conductivity of the coatings for a range of temperatures from 298K to 1273K was
3 calculated through the following equation:

$$\lambda(T) = \alpha(T) \cdot c_p(T) \cdot \rho \text{ (eq. 1)}$$

4
5
6
7 Where λ is the thermal conductivity ($\text{W}\cdot\text{m}^{-1}\cdot\text{K}^{-1}$), α is the thermal diffusivity ($\text{m}^2\cdot\text{s}^{-1}$), c_p
8 the specific heat ($\text{J}\cdot\text{kg}^{-1}\cdot\text{K}^{-1}$) and ρ is the bulk density ($\text{kg}\cdot\text{m}^{-3}$).

9
10 The different parameters of eq. 1 were calculated previously. Thus, thermal diffusivity
11 values were measured with a laser flash equipment (LFA 467 HT Hyperflash, Netzsch,
12 Germany) employing a specific software (Proteus Analysis, Netzsch, Germany). For the
13 measurements, the same procedure described in a previous work [33] has been followed,
14 where some samples with a three layer configuration (substrate, bond coat and ceramic
15 layer) were cut in squares (0.01 x 0.01 m) and covered by a thin layer of graphite aerosol
16 spray to enhance the absorbance and to avoid reflectivity of the surface of metallic
17 substrate. For each temperature, three samples of each coating were tested, and for each
18 combination of sample and studied temperature between 5 and 10 laser beam shots were
19 made, and all findings were averaged.

20
21 Concerning the determination of the specific heat, this parameter was measured by means
22 of differential scanning calorimetry (DSC, STA 449C, Netzsch, Germany). For that
23 purpose, each raw material (Y-TPZ and silica aerogel powders) was placed in a platinum
24 crucible inside the DSC. The measurements were carried out in a mixed atmosphere of
25 air and argon. Each raw material was heated from room temperature to 313 K with a
26 heating rate of $3 \text{ K}\cdot\text{min}^{-1}$, maintained at 313 K for 10 minutes, then taken from 313 K to
27 1273 K with a heating rate of $5 \text{ K}\cdot\text{min}^{-1}$ and finally maintained at 1273 K for 10 minutes.
28 The specific heat capacity values of Y-TZP / SiO_2 mixtures at various temperatures were
29 calculated from the rule of mixtures, according to the experimental values of the raw
30 material powders.

31 Regarding the bulk density of the coating, it was calculated theoretically through equation
32 2 [33].

$$\rho = \rho_s \cdot (1 - p) \text{ (eq. 2)}$$

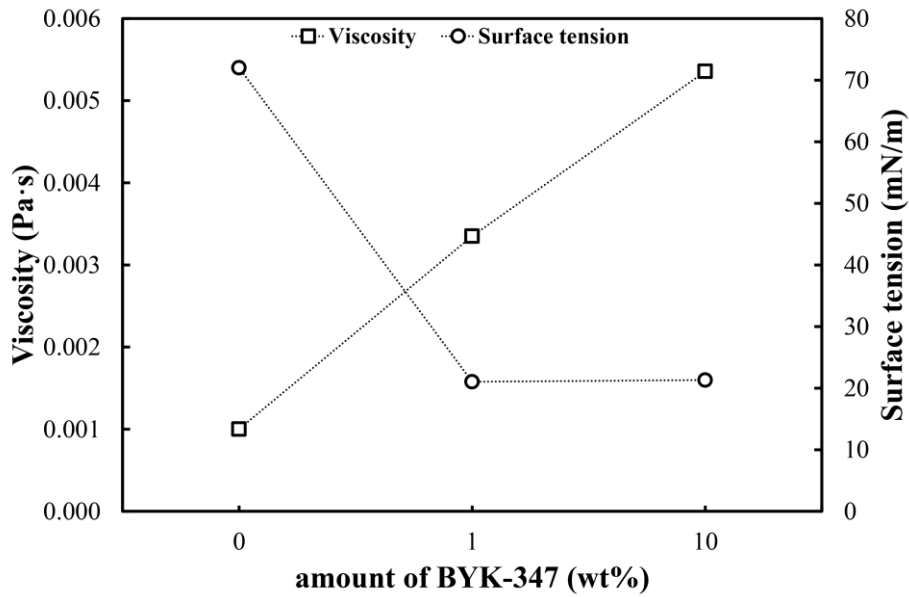
1 Where ρ is the bulk density of the coating ($\text{kg}\cdot\text{m}^{-3}$), ρ_s is the real density of the solid
2 material ($\text{kg}\cdot\text{m}^{-3}$) and p is the porosity of the coating. As in the case of the determination
3 of the heat capacity, since the solid material is composed of a mixture of two components,
4 ρ_s was determined by the law of mixtures from the real density of each component, i.e.,
5 Y-TZP and silica aerogel.

6 7 **3. Results and discussion**

8 **3.1. Preparation and characterisation of silica aerogel aqueous suspensions**

9 As described above, aerogel particles have a strong hydrophobic character together with
10 a high specific surface area, which increases the difficulty of dispersing such particles in
11 water. Thus, the addition of a surfactant was necessary. Fig. 3 shows the variations in
12 surface tension and viscosity at a shear rate of 500 s^{-1} , as a function of the amount of
13 surfactant added (BYK-347). As can be seen, an addition of 1wt% is sufficient to
14 minimise the surface tension of the water and, at the same time, the viscosity of the
15 mixture remains virtually unchanged, which will favour the wettability of the aerogel
16 particles, while maintaining a low suspension viscosity. For that reason, 1wt% additive
17 will be the proportion used in all experiments. In Fig. 4, the effectiveness of the surfactant
18 was checked with the selected proportion of additive. For this purpose, three different
19 suspensions were prepared, without additive, with additive, and with additive and one
20 minute of sonication (US). The results show the strong hydrophobic character of the
21 aerogel particles (Fig. 4a) which are floating on the water surface hindering the mixing
22 process. The separation between the solid phase and the liquid phase can be clearly seen,
23 since the hydrophobicity of the solid material causes the generation of a thin layer of air
24 at the interface between both materials (water and aerogel particles). In turn, the
25 importance of adding surfactant to promote the wetting of the aerogel particles in water
26 is confirmed, which together with a slight and constant mechanical agitation favours the
27 dispersion of the particles (Fig. 4b), although vigorous mechanical stirring produces the
28 appearance of a surface cream. Finally, the use of sonication seems to promote the
29 incorporation of air bubbles into suspension easily (Fig. 4c), as well as vigorous
30 mechanical stirring.

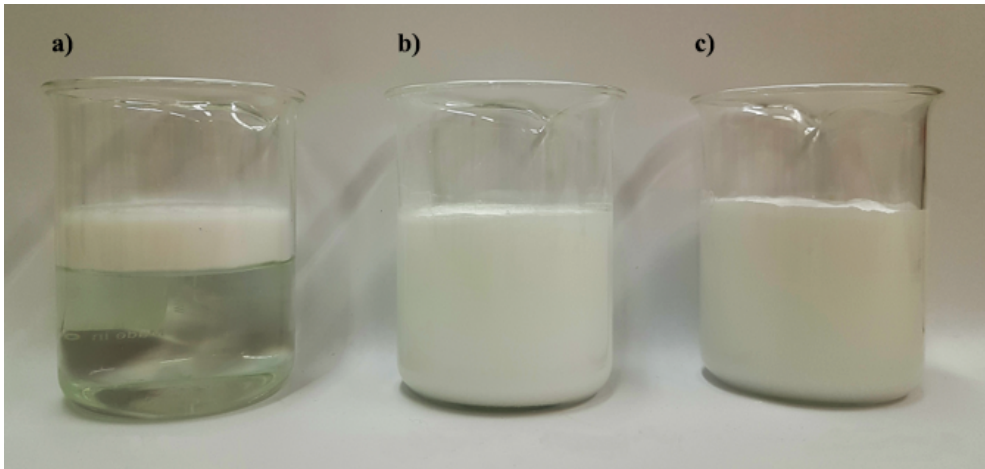
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3 Fig. 3 Variation of water viscosity and water surface tension as a function of the amount
4 of surfactant (BYK-347) added.

5

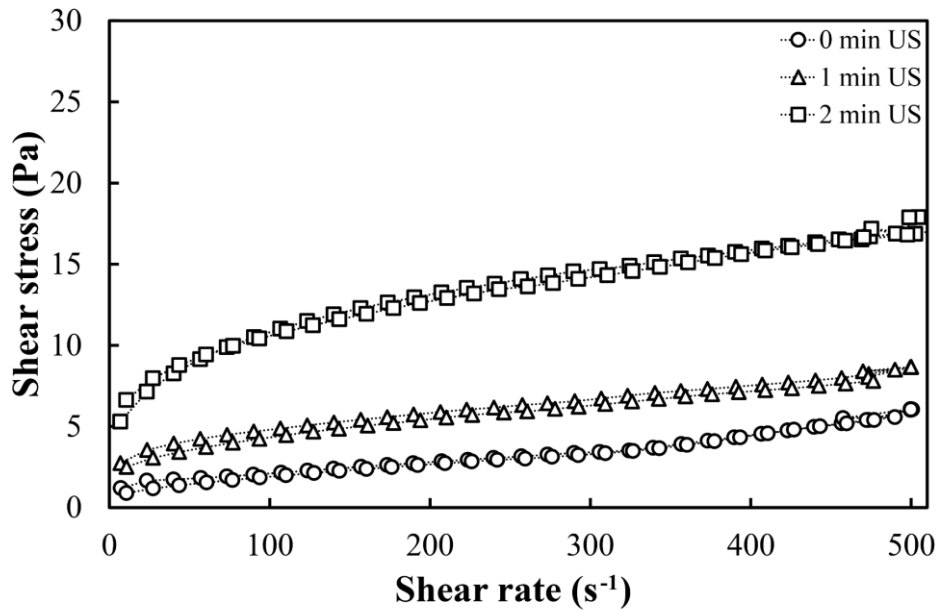


6

7 Fig. 4 Appearance of an aqueous suspension of silica aerogel at 25 vol.% solids content
8 a) without the addition of surfactant, b) after the addition of 1wt% surfactant and c) after
9 applying 1 minute of sonication to the suspension.

10

11 In addition, Fig. 5 shows the flow curves obtained for the same concentration of solids
12 (25 vol.%) and different sonication times. It can be concluded that the application of
13 sonication to stabilise the aerogel is not beneficial because the shear stress increases when
14 US time goes from 0 to 2 minutes, and consequently the viscosity (ratio between shear
15 stress and shear rate) rises from $12 \cdot 10^{-3} \text{ Pa}\cdot\text{s}$ to $36 \cdot 10^{-3} \text{ Pa}\cdot\text{s}$, respectively.



1

2 Fig. 5 Flow curves of suspensions at 25 vol.% prepared with silica aerogel for different
 3 sonication times.

4 **3.2. Preparation and characterisation of spray-dried powder feedstocks of silica**
 5 **aerogel/Y-TZP mixtures**

6 According to the above results, the same particle concentration (25 vol. %) was chosen
 7 for the preparation of the suspensions because it was observed that aerogel could be
 8 dispersed. Furthermore, the new suspensions were prepared with variable proportions of
 9 zirconia. The addition of zirconia particles should not be a problem because mono and
 10 multi component suspensions with high solids content of zirconia particles with low
 11 viscosities and good stability have been successfully prepared in a previous work [27,34].

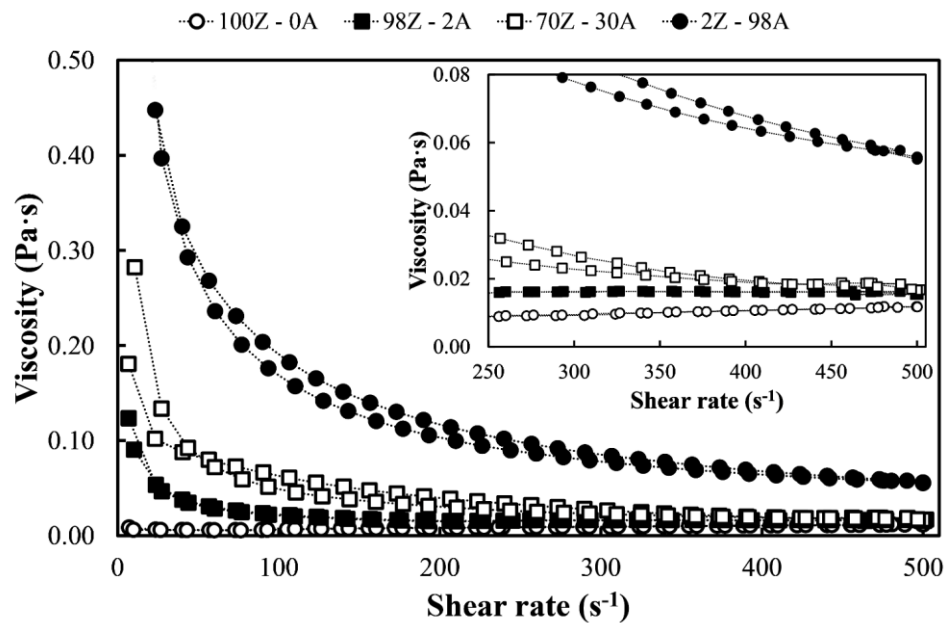
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13 Figure 6 shows the viscosity curves in function of the shear rate of the zirconia suspension
 14 and its mixtures with aerogel. The zirconia suspension displays a quasi-Newtonian
 15 behaviour with very low viscosities, which are in line with previous research [27,35],
 16 while all the samples with silica aerogel particles show a shear-thinning behaviour, which
 17 is consistent with results observed above with suspensions containing this material.

18

19 Thus, as expected, the shear thinning behaviour increases as the amount of silica particles
 20 in the suspension grows. In fact, only the addition of 2 vol. % of aerogel particles to the
 21 zirconia suspension converts the quasi-Newtonian behaviour of the suspension into a
 22 clearly pseudoplastic behaviour. It can also be observed that the viscosity of the

1 suspensions at high shear rates increases with the amount of aerogel particles, as a
 2 consequence of the extremely high specific surface area of these particles. For the same
 3 reason, the presence of aerogel particles gives the suspension a certain thixotropic
 4 character which can be deduced from the area of hysteresis enclosed by the viscosity
 5 curves. This thixotropy augments with the increase of aerogel content in the suspension
 6 and it is clearly visible in contents higher than 30 vol. %. On the other hand, all the
 7 suspensions showed sufficient stability (absence of sedimentation and/or agglomeration)
 8 over time to ensure an adequate atomisation process.



10
 11 Fig. 6 Viscosity curves as a function of the shear rate of zirconia suspensions and aerogel
 12 mixtures with zirconia. For better visualization, the lower part of the graph has been
 13 enlarged on the right side of the figure.

14
 15 Once the rheological behaviour and stability of all the prepared suspensions had been
 16 studied, they were atomised in order to obtain reconstituted powders suitable for
 17 incorporation into the plasma torch. Table 4 details three agglomerate characteristic
 18 diameters which represent the agglomerate size distribution (D_{10} , D_{50} and D_{90}) obtained
 19 by image analysis from FEG-ESEM micrographs, while Fig. 7 shows the micrographs
 20 of each agglomerated powder in order to appreciate the morphology of the resulting
 21 agglomerates.

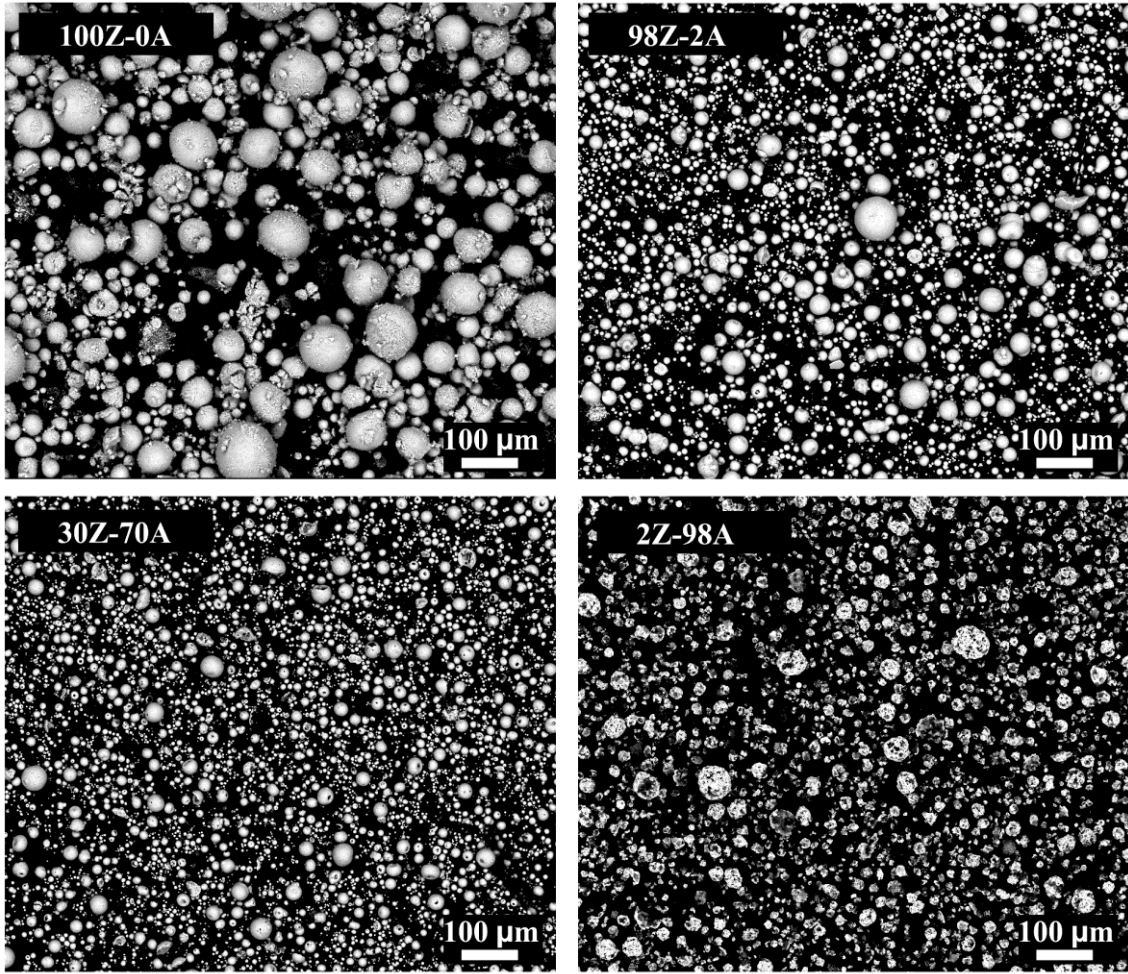
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1 The results show that only the addition of 2% of aerogel strongly reduces the agglomerate
 2 average size and narrows the agglomerate size distribution. The effect is not proportional
 3 to aerogel addition since further aerogel amounts hardly affect the distribution
 4 characteristics. This reduction in agglomerate size is related to the decrease in surface
 5 tension, as a consequence of the surfactant added to promote wetting of the aerogel
 6 particles. Hence, the decrease in surface tension gives rise to finer droplet size during
 7 atomisation, and therefore smaller agglomerates are obtained. In Fig. 8, the detail of
 8 agglomerates in which the zirconia particles tend to coat the aerogel particles can be seen.
 9 Fig. 8a shows that for the composition without aerogel, spherical or donut-like
 10 agglomerates wrapped in small residual zirconia particles are produced, a morphology
 11 characteristic of the atomisation of suspensions. In the compositions with a high amount
 12 of zirconia (Fig. 8b y c) zirconia particles homogeneously cover the surface of the aerogel
 13 particles. On contrary, when the amount of aerogel prevails over zirconia particles rough
 14 agglomerates are produced which are characterised by an expected, deficient coverage of
 15 zirconia (Fig. 8d), due to the scarce amount of zirconia present in the composition.
 16 Despite this, all the agglomerates obtained are more or less spherical in morphology.
 17

18 Table 4 Agglomerate size distribution of the agglomerated powders obtained by spray-
 19 drying of the studied suspensions.

Coating	Characteristic agglomerate sizes (μm)		
	D₁₀	D₅₀	D₉₀
100Z – 0A	2.0	12.0	45.0
98Z – 2A	2.0	6.0	16.0
70Z – 30A	1.6	5.2	13.0
2Z – 98A	1.6	4.5	12.6

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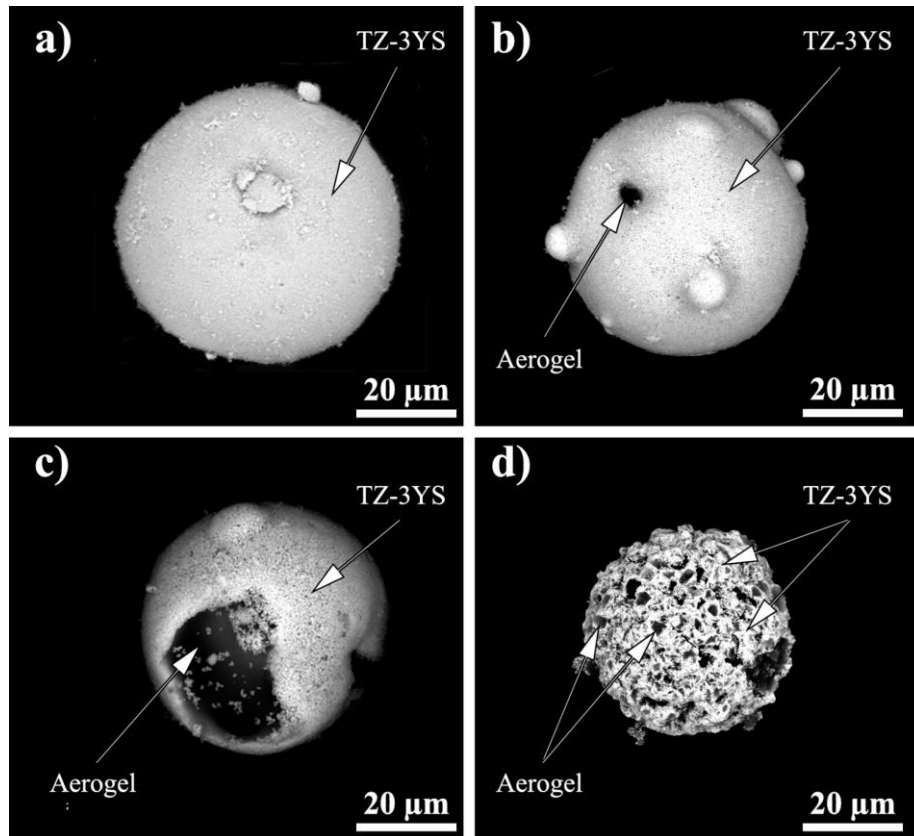


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Fig. 7 Micrographs of samples of the atomised powders obtained in the research.

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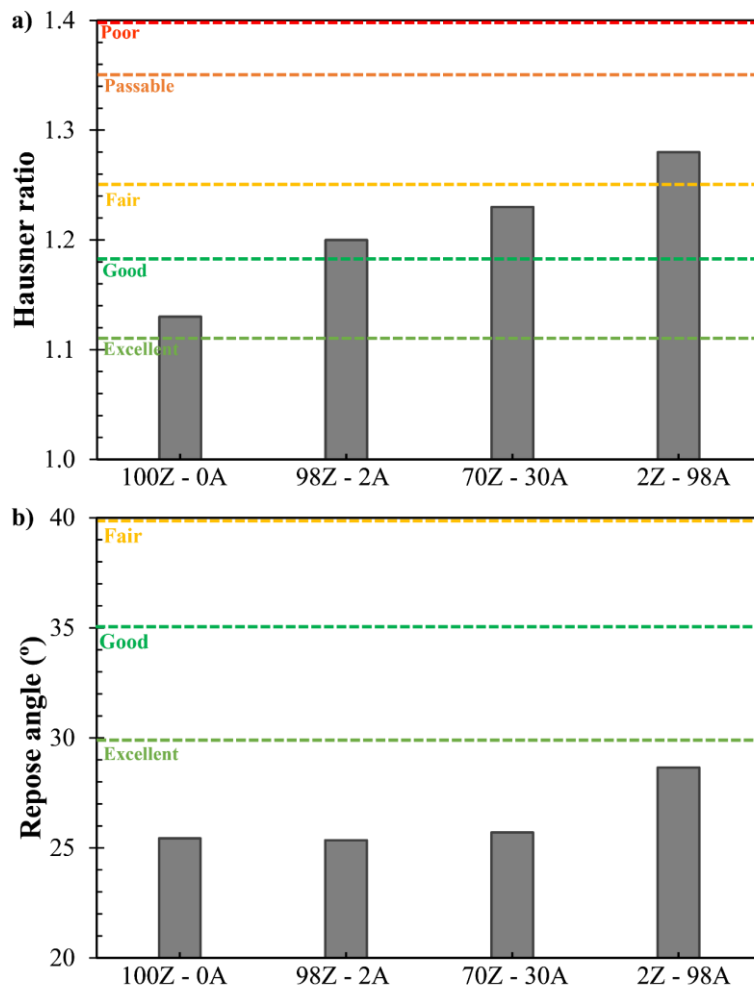
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2 Fig. 8 Detail of the agglomerated powders and the coverage of the zirconia on the
 3 aerogel particles. The agglomerated powders correspond to a) 100Z – 0A, b) 98Z – 2A,
 4 c) 70Z – 30A and d) 2Z – 98 A.

5

6 Fig. 9 shows the rheological behaviour of the powders, which were evaluated by means
 7 of the Hausner ratio and angle of repose tests. The Hausner ratio indicates that all powders
 8 display good flowability, although, this property is worsened by increasing the addition
 9 of aerogel, as a consequence of the decrease of agglomerate size as well as its loss of
 10 sphericity as observed in Fig.7 micrographs. Although the angle of repose confirms the
 11 good flowability of the four powders, the worsening effect associated to aerogel addition
 12 can also be observed. Overall, the four feedstocks possessed quite good flowability, and
 13 consequently, all of them are suitable for APS application.

14



1

2 Fig. 9 Flowability of the different agglomerates: a) Hausner ratio test, and b) response
 3 angle test. Lines delimiting flowability regions for each test are also included in these
 4 plots [30,36].

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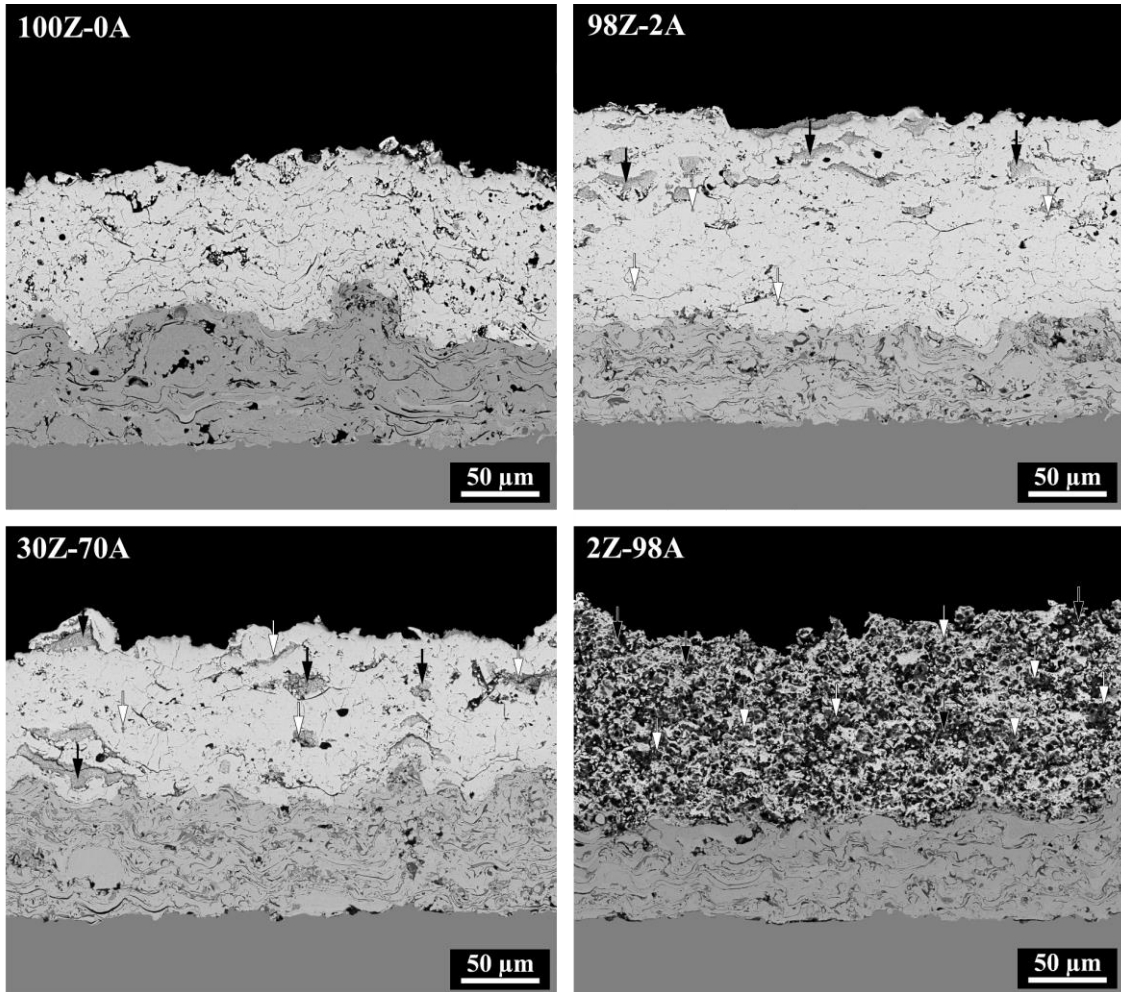
6 3.3. Microstructure characterization of coatings

7 The four agglomerate powders were satisfactorily deposited by plasma spray as set out in
 8 cross-sections of Fig. 10. All the coatings are made up of two clearly differentiated layers,
 9 i.e the ceramic coating (light layer) and the bond coat (light grey layer) which are
 10 deposited on top of the metal substrate (dark grey layer). Besides, the four top coatings
 11 display the typical “two-zones” microstructure which results from spraying fine
 12 agglomerated powders by APS [37–39]. According to the literature [38], this bimodal
 13 microstructure is formed by semi-molten feedstock particles that are surrounded by
 14 molten particles that act as a binder to maintain the integrity of the coating. This
 15 characteristic is essential in the deposition of submicron or nanostructured coatings and
 16 it explains how a certain amount of the initial nanostructure of the feedstock can be

1 preserved. In the coatings of Fig. 10, the appearance of some areas of unmolten particles
2 (black arrows) indicates that the particles have not fully molten and therefore the
3 probability to preserve aerogel particles with their initial integrity (highly porous) grows.
4 In the coatings 98Z – 2A and 70Z – 30A, it can be seen that there is a large amount of
5 zirconia matrix (practically the whole coatings display light colour) with dispersed
6 aerogel particles as shown by the white arrows. On the other hand, in the 2Z – 98A
7 micrograph a greater presence of aerogel is observed together with zirconia which causes
8 a mixed pattern between light and greyish colours throughout the coating.

9
10 Some characteristics of the coatings can be observed in Table 5. The thickness of the
11 coatings is around 110 μm , while the porosity significantly increases with the addition of
12 aerogel. This increase of porosity for the same thermal spray conditions is an expected
13 consequence of the high specific surface area and high porosity of aerogel particles
14 (around 90% porosity). However, the increase in porosity due to the addition of aerogel
15 has a minor impact when compared to the amount of pores in the aerogel particles. Thus,
16 if the aerogel particles would keep their integrity after plasma spraying, a super porous
17 coating would be obtained (i.e., around 88% for 2Z – 98A coating as shown in Table 5),
18 while the actual porosity of the coating reaches only 19%. This difference confirms that
19 aerogel particles do not maintain their integrity during thermal spraying, lose great part
20 of their large porous structure, most likely due to sintering and melting processes as well
21 as possible particle evaporation or sublimation which can occur in the plasma torch due
22 to the extremely high plasma temperatures.

23



1

2 Fig. 10 Detail of the micrographs obtained in cross-section corresponding to the coatings
 3 deposited from the four samples tested. Unmolten matrix zones and unmolten aerogel
 4 zones are indicated by black and white arrows respectively.

5

6 Table 5 Thickness and experimental porosity values obtained by image analysis together
 7 with the theoretical porosity values of the coatings.

8

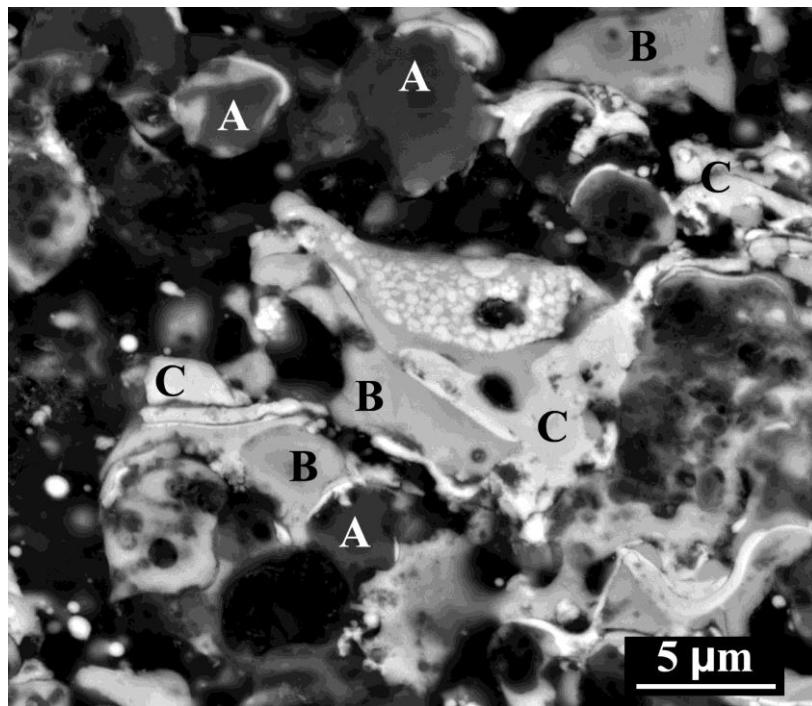
Coating	Thickness	Experimental porosity	Theoretical porosity*
100Z – 0A		5.0	5.0
98Z – 2A	~ 110 μm	5.3	6.7
70Z – 30A		6.4	30.5
2Z – 98A		19.1	88.3

9 *Estimated from as-received aerogel particles porosity

10

1 A more detailed view of the coatings' microstructure is shown in Fig. 11. The components
2 of the different zones of the coating have been corroborated with EDX analyses as shown
3 in Fig. 12. Thus, in the coatings, i) the black zones are associated with the porosity of the
4 coating, ii) the dark zones refer to the aerogel particles (A) whose shape is similar to that
5 of the initial particles (Fig. 1), iii) the light zones indicate zones with high content of
6 molten zirconium particles (C), iv) the intermediate grey zones refer to a molten mixture
7 of silicon and zirconium (B), which causes the partial loss of the porous properties of the
8 aerogel particle, and which would corroborate the lack of porosity in the coating.

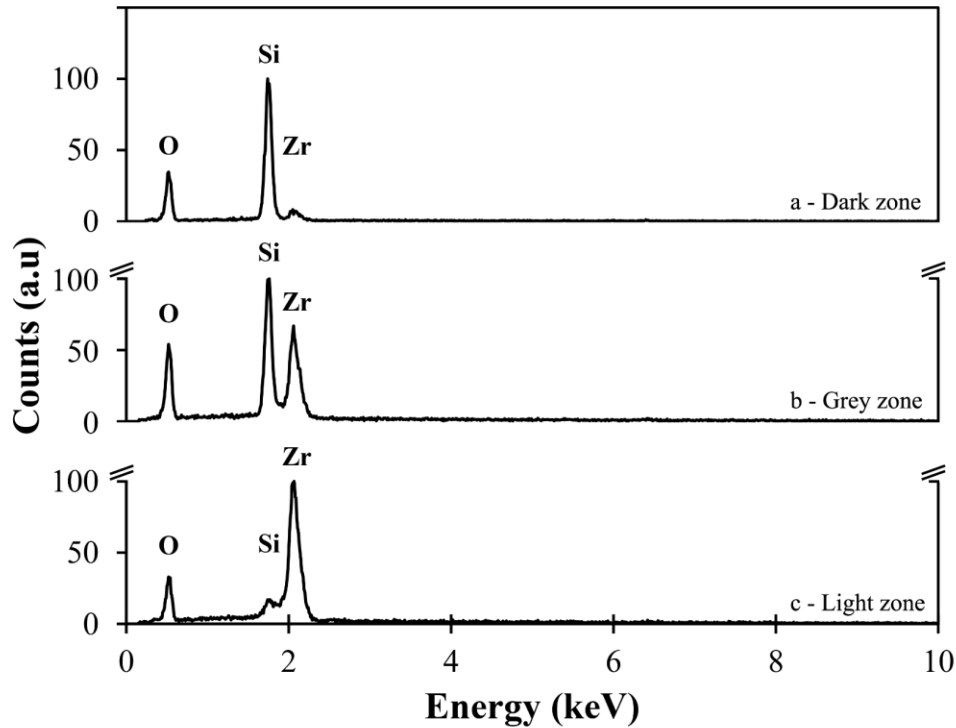
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12 Fig. 11 Detail of the microstructure of 2Z – 98A coating at higher magnification with
13 different zones. The letters A, B and C refer to the zones with high silicon content, a
14 mixture of silicon with zirconia, and high zirconia content respectively.

15

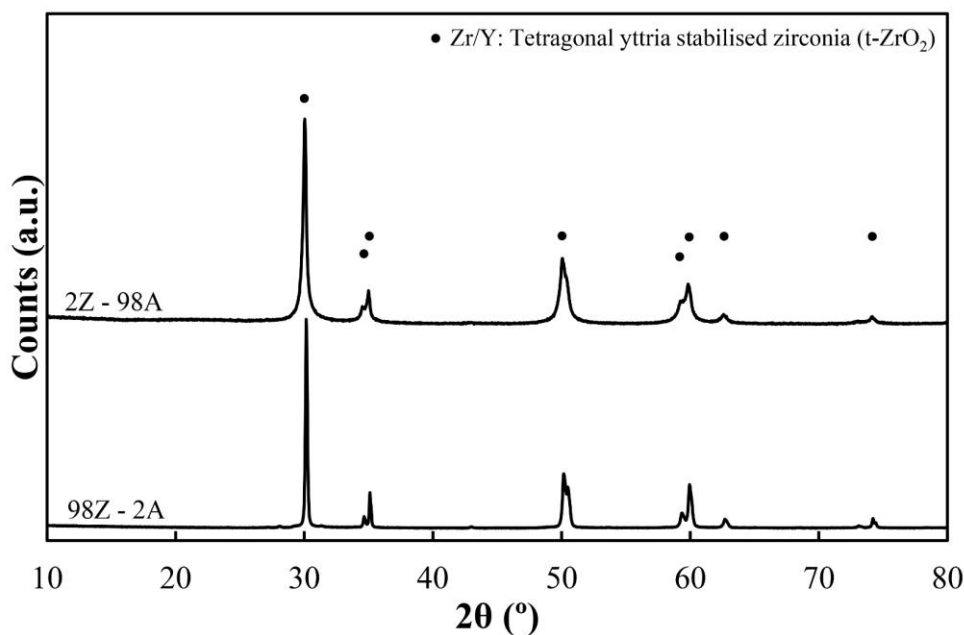


1

2 Fig. 12 EDX analysis of different microstructure zones of the 2Z – 98A coating. The
 3 letters a, b and c refer to the zones with a high silicon content, a mixture of silicon with
 4 zirconia, and a high zirconia content respectively as shown in Fig. 11.

5

6 XRD of the coatings of opposite composition (2Z – 98A and 98Z – 2Z) were also carried
 7 out to check the possible phases developed during the thermal spraying. The resulting
 8 diffraction patterns are shown in Fig. 13, where all the peaks associated to the tetragonal
 9 phase of yttria-stabilised zirconia can be visualised, while no further phases which could
 10 be related to crystalline silica or zirconia and silica reaction products were identified.



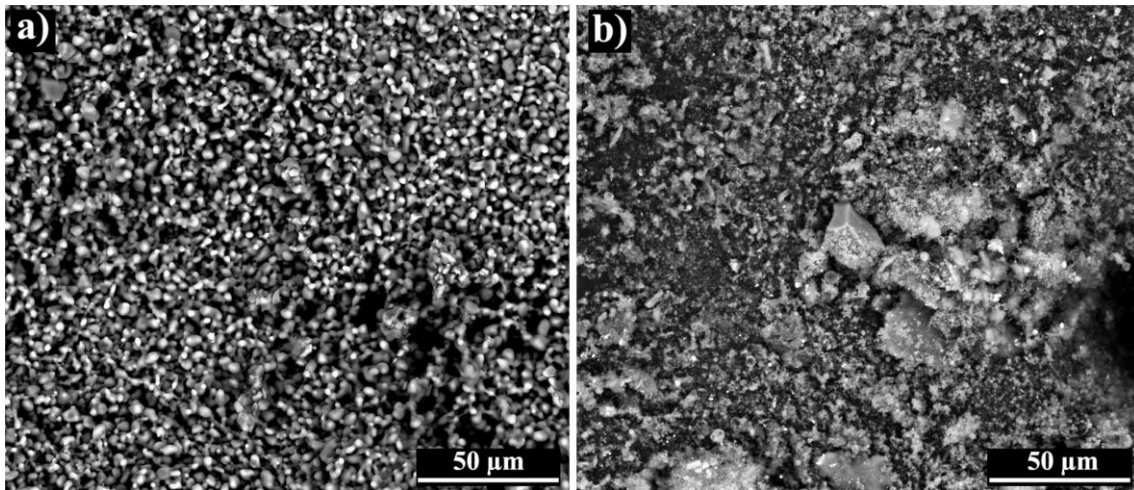
1
2 Fig. 13 X-Ray diffractograms of the coatings with 2 and 98 vol.% of aerogel and with
3 zirconia, i.e 2Z – 98A and 2A – 98Z.

4
5 To better understand the thermal behaviour of the silica aerogel particles, some
6 experiments in heating microscope (HM 867, TA Instruments) up to 1773 K in air
7 atmosphere were performed. Fig. 14 shows the comparison of micrographs of aerogel
8 particles after this test and after thermal spraying at the conditions used to obtain the
9 coatings. As it can be seen, the sintering process is evident as denoted by particle necking
10 and enlargement. From the heating microscope test it was determined that the sintering
11 of the aerogel particles starts at 1123 K leading to a high shrinkage of about 40%.
12 Concerning the aerogel powder collected after thermal spraying, it can be observed the
13 formation of spherical, clustered particles due to the sintering process, some angular
14 particles are still visible though. Consequently, the sintering process that aerogel particles
15 undergo is one of the reasons for their lower contribution to increasing the final porosity
16 of the coatings.

17
18 Another hypothesis related to the lower porosity contribution of aerogel particles in
19 comparison to the theoretical, expected contribution was the possibility of losing silica
20 particles due to sublimation. Therefore, in order to determine the amount of silica in the
21 final coatings, a chemical analysis of sample 70Z – 30A by FRX was performed, and the
22 results are shown in Table 6. Thus, only 0.5% in weight of silica was determined with

1 the chemical analysis with respect to the 0.9% in weight of initial silica (which
 2 corresponds to 30 % volume of aerogel). This finding confirms that a significant part of
 3 the aerogel melts and then evaporates or directly sublimates during thermal spraying
 4 (about 44%), which corroborates what was observed in the cross-section micrographs
 5 (Fig. 10) and porosity values (Table 5), where the microstructure of the coating does not
 6 undergo a substantial modification until high aerogel contents (98 vol.%) are
 7 incorporated. The other components observed in chemical analysis, such as zirconia or
 8 yttria, are within the expected range because of their high melting point. Other oxides
 9 were also observed in smaller proportions from possible contamination during powder
 10 collection.

11
 12



13

14 Fig. 14 Comparison of aerogel particles to different thermal treatments to observe the
 15 state and thermal behaviour of the particles, a) heating microscopy at 1773 K, and b)
 16 thermal spraying of powders.

17 Table 6 Chemical analysis by FRX of the powder sprayed from composition 70Z – 30A.

Oxides	% Weight
ZrO ₂	92.2
SiO ₂	0.5
Y ₂ O ₃	5.6
Others	1.7

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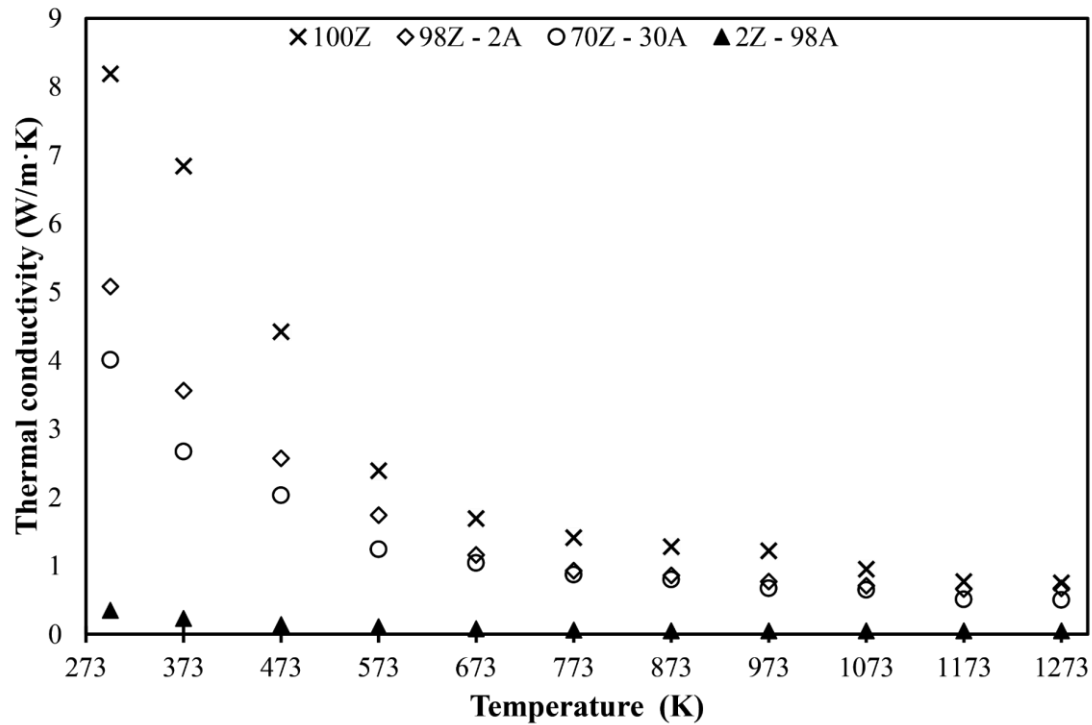
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3.4 Thermal conductivity of coatings

Finally, the variation in thermal conductivity was determined from the measurement of thermal diffusivity, specific heat and apparent density of the coatings, as indicated in the experimental section. Average values of experimental specific heat of the powers were as follows: 0.551 and 0.405 J/g·K for TZ – 3YS and aerogel particles, respectively. In all the cases, the obtained average values are consistent with those reported in the literature [40,41]. From these values, calculations of the specific heat of the compositions were carried out by the mixing rule with the following average values: 0.552, 0.550, 0.507 and 0.406 J/g·K for the 100Z, 98Z – 2A, 70Z – 30A and 2Z – 98A coatings, respectively.

Fig. 15 shows the thermal conductivity of the coatings versus temperature. The trend of thermal conductivity for all curves shows a very similar profile: a strong decrease up to 673K, then a moderate decrease down to values around 1 W/m·K and finally a stabilization to reach values below 0.75 W/m·K at maximum temperatures. It can be seen that the addition of aerogel is beneficial to reduce the thermal conductivity in any proportion, although the decrease is not proportional to the percentage of aerogel added, as shown in the Table 7. However, very low thermal conductivities can be achieved with high aerogel additions, as observed in the 2Z – 98A ratio, which presents an evident morphological change in the coating (e.g., high porosity) that together with the characteristics of the aerogel particles favour this large decrease. In addition, it can be observed that the material over cost for the addition of aerogel is not very high (up to 98 vol.%) and a good reduction of the thermal conductivity is achieved, so that, a priori, the design of TBCs coatings by using silica aerogel particles could be feasible. These coatings could be engineered from mixtures of zirconia-aerogel particles in multilayer or functionally graded structures.



1

2 Fig. 15 Plot of thermal conductivity as a function of temperature for the four coatings
 3 obtained by atmospheric plasma spraying.

4

5 Table 7 Effectiveness of aerogel addition on thermal conductivity and cost overrun in the
 6 production of thermal barrier coatings.

	Thermal conductivity (at 1000°C)	TC reduction¹ (%)	Addition of aerogel (%) (volume/weight)	Cost overruns² (%)
100 Z	0.75	0.0	0 / 0	0.0
98Z – 2A	0.67	10.6	2 / 0.01	0.2
70Z – 30A	0.50	33.3	30 / 0.9	0.8
2Z – 98A	0.05	93.3	98 / 52	21.3

7

(1) TC reduction: Thermal conductivity reduction

8

(2) Overcost of suspension in relation to the amount of raw material employed (only the
 9 cost of powders is considered).

10

11

12

13

1 **4. Conclusions**

2 The incorporation of silica aerogel particles in zirconia ceramic coatings obtained by
3 plasma spraying has been explored in this research. Amounts of silica aerogel addition to
4 zirconia powder ranging from 2% to 98% (vol.%) have been addressed. However, some
5 limitations appear due to the difficulty in dispersing the aerogel particles in an aqueous
6 medium as a consequence of the extremely high hydrophobicity and specific surface area
7 of this powder. The following conclusions can be then drawn: i) With a rheological
8 adaptation and including a surfactant it is possible to disperse highly hydrophobic aerogel
9 particles in water to obtain concentrated suspensions. ii) A valid methodology was
10 achieved to prepare concentrated suspensions of zirconia and aerogel particles in different
11 proportions and to subsequently atomise them satisfactorily to obtain spray-dried
12 powders. iii) The agglomerated powders display a good morphology and size distribution
13 that give them suitable flowability. Although the addition of aerogel slightly worsens this
14 flowability, all powders exhibit suitable characteristics to be fed into the plasma torch. iv)
15 The coatings obtained by plasma spraying display similar thickness, but the addition of
16 aerogel increases the porosity, as a consequence of the inner porosity and specific surface
17 area of aerogel particles. v) The microstructural characterisation of the coatings and
18 powder samples confirms that part of the aerogel particles sinter and melt, a significant
19 amount of the particles disappear as a result of evaporation or sublimation, while others
20 remain integrated in the matrix of the coating without forming other phases with zirconia.
21 Crystallisation of silica phases was not observed. vi) The addition of aerogel in the
22 coatings had beneficial effects in the reduction of the thermal conductivity of the coatings
23 with a high feasibility due to its affordable cost.

24 25 26 **Acknowledgements**

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