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TEWI analysis of a stand-alone refrigeration system using low-GWP fluids with leakage ratio consideration

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ABSTRACT

In few years, stand-alone systems will rely on low-GWP refrigerants in order to reduce their direct impact, which agrees with the recent regulations and standards. However, the energy performance of the system is dependent on the refrigerant charge and thus on their annual leakage ratio. The leakage effect is not considered in the suggested methods to evaluate the environmental impact and requires an in deep analysis. We aim to extend the TEWI analysis of stand-alone refrigeration systems by considering a realistic evolution of their charge during its lifetime and to quantify the discrepancies of the classical methodology. For that, the performance of a stand-alone cabinet (four low-GWP refrigerants) has been considered as reference. It has been concluded that leakage consideration makes classical TEWI to underestimate emissions for any country, refrigerant or annual leakage ratio, up to 20% in this study. Deviation increases with higher leakage ratio, but especially for those values that do not imply a refilling during the lifetime of the system. Deviations are higher as mass and energy spans of the systems are.

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1 1. Background

2 Refrigeration sector is responsible for 7.8% of the world green-3 house gas emissions, from which 37% are caused by direct escapes of refrigerants and 63% are related to indirect emissions due to 4 consumed electricity (International Institute of Refrigeration 2017). 5 A big effort was done with the Montreal Protocol to phase-out the 6 chlorinated fluids in 1987 (Montreal Protocol on Substances That 7 Deplete the Ozone Layer 1987), which has resulted in a reduction 8 of the ozone hole (Newchurch et al., 2003). Predictions evidence 9 10 that the ozone layer would reach the seventies conditions between 2040 and 2070 (Eyring et al., 2010). Thus, it seems correct to affirm that the refrigeration sector reacted responsibly.

However, from the signing of the Kyoto Protocol (United Na-13 tions 1997) in 1997, the efforts have been focused on control-14 ling the emissions of greenhouse gases, the most used refrigerants 15 now (HFC) being among them. The objectives of the Kyoto Pro-16 tocol were reinforced through the approval of the F-Gas Regula-17 tion in the European Union in 2014 (6), which established limita-18 tions to the use of HFC refrigerants. Then, its purpose has been 19 extended worldwide by the Kigali amendment whose phase-down 20 schedule will reduce the use of HFC refrigerants by 80% by 2047 21 and aims to avoid up to 0.5K increase in global temperature by the 22 end of the century (UNEP 2016). Although the impact of Kyoto and 23 Kigali amendments cannot be observed yet, it is worth mention-24 ing that the Montreal Protocol which covered chlorinated products, 25 also covered very high-GWP refrigerants. Its effects indicate that 26

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Nomenclature

AEC	annual energy consumption, MW-h						
AIRAH	Australian Institute of Refrigeration, Air conditioning						
	and Heating						
ALR	annual leakage ratio, %						
AR	Intergovernmental Panel on Climate Change Assess-						
	ment Report						
CFC	chlorofluorocarbon						
СОР	coefficient of performance						
DEC	daily energy consumption, $kW \cdot h \cdot day^{-1}$						
ED	equivalent direct CO_2 emissions, $kg_{CO2 eq}$						
EI	indirect CO ₂ emissions, $kg_{CO2 eq}$						
EM	equivalent CO_2 emissions, kg_{CO2} eq						
EOL	end of life leakage ratio, %						
GWP	global warming potential, 100 years horizon,						
	kg _{CO2.eq}						
HFC	hydrofluorocarbon						
HFO	hydrofluoroolefin						
IIR	International Institute of Refrigeration						
L	expected lifetime of the system, yr						
LCCP	life cycle climate performance, kg _{CO2,eq}						
LK	refrigerant leakage, kg						
LT	life time of the refrigeration system, year						
m	refrigerant mass flow rate, kg·s ⁻¹						
Μ	total refrigerant charge of the system, g						
р	pressure, bar						
Р	electrical power, W						
RH	relative humidity, %						
SEER	seasonal energy efficiency ratio						
t	time, month; temperature, °C						
TEWI	total equivalent warming impact, kg _{CO2,eq}						
	7.1						

Subscripts

a	air
direct	refers to direct emissions
dis	compressor discharge
exp	inlet of expansion valve
ihx	internal heat exchanger
in	inlet
indirect	refers to indirect emissions
k	condenser
1	saturated liquid
min	minimum
0	outlet, evaporation
opt	optimum
р	product
r	refrigerant
refill	refers to refilling process
ret	return air to evaporator
S	compressor suction
у	year
Greek syı	nbols
Δ	increment

- ΔE energy span: energy consumption difference between the system with optimum refrigerant charge and with the minimum charge at which it could operate.
- ΔM mass span: refrigerant charge difference between the optimum value and the minimum at which the system could maintain the desired product temperature level.

the phase-out of CFCs have mitigated about 25% of global warm-
ing and reduced by 1K the global average temperature increment
(Goyal et al., 2019).272829

Focusing on hermetic refrigeration systems for commercial use, 30 most of the existing equipment is still working with R-404A 31 (UNEP 2014), with a GWP of 3945 (5th AR). However, its use has 32 been limited by the F-Gas Regulation from 01/01/2020 and they 33 will rely on refrigerants with a GWP below 150 from 01/01/2022 34 (6). This situation will be extended worldwide through the Kigali 35 agreement with a delayed schedule. Now and until 01/01/2022, 36 manufacturers offer solutions with moderate GWP (500 < GWP < 37 1500) and A1 classification, such as R-407H (Llopis et al., 2017), 38 R-449A (Makhnatch et al., 2017) or R-448A (Mota-Babiloni et al., 39 2015), although its horizon is very short. The future for these 40 solutions, as Llopis et al. (Llopis et al., 2019) discuses, is quite 41 difficult, because this segment lacks of high security alterna-42 tives (McLinden et al., 2017, Calleja-Anta et al., 2020), thus it 43 needs to rely on A2, A2L or A3 refrigerants, and the refriger-44 ant charge for these lasts is limited (I.E. Commission 2019). Fur-45 thermore, this equipment will be subjected to strong energy effi-46 ciency standards (European Commission 2015, European Commis-47 sion 2015). Nonetheless, market is evolving to obtain systems ful-48 filling all requirements. In relation to alternative refrigerants to R-49 404A or R-507A, which are nearly equivalent fluids (Llopis et al., 50 2010), with a GWP below 150, R-454C (Llopis et al., 2019, Mota-51 Babiloni et al., 2018, Bella et al., 2018), R-455A (Llopis et al., 2019, 52 Mota-Babiloni et al., 2018, Bella et al., 2018), R-457A (Llopis et al., 53 2019) and R-459B (Llopis et al., 2019) have been evaluated exper-54 imentally. They are mixtures with the base fluid HFO-1234yf with 55 different proportions of HFC-32, CO₂, HFO1234ze(E) and HFC-152a, 56 all with an A2L security classification. General results of the ex-57 perimentation with these fluids show that all present a reduction 58 in the capacity and small variations in COP in experimental plants, 59 but they depend on the system. For the moment, in relation to 60 R-404A new low-GWP alternatives, only the newly presented re-61 frigerant R-468A (Ohkubo et al., 2019) is known by the authors, 62 nonetheless no experimental verification has been found. 63

A critical look to the objectives of the regulations indicates that 64 efforts have been focused only on limiting the direct effect. How-65 ever, considering that 63% of the total impact of this sector is 66 caused by indirect emissions (International Institute of Refrigera-67 tion 2017), it is important to have a closer look to the direct and 68 the total emissions of a refrigeration system. A good approach to 69 understand the whole impact of a system is using an LCCP ap-70 proach, such as done by Lee et al. (Lee et al., 2016) and Beshr 71 et al. (Beshr et al., 2017) applied to air conditioning applications 72 in buildings, or by Hafner and Nekså (Hafner and Nekså, 2005) for 73 mobile air conditioning systems. LCCP approach considers direct 74 and indirect emissions of the system during its lifetime and also 75 the associated emissions due to construction of the system, refrig-76 erant manufacturing and disposal. However, the most generalized 77 metric to evaluate the environmental impact of a system is still 78 the TEWI, which considers only emissions of a system during its 79 period of use. For hermetic commercial refrigeration systems, dif-80 ferences between LCCP and TEWI are low, and TEWI based compar-81 ison is more intuitive (Makhnatch and Khodabandeh, 2014). Thus, 82 this work considers TEWI to understand the environmental impact 83 of a stand-alone commercial refrigeration system using low-GWP 84 refrigerants. 85

AIRAH (AIRAH 2012) and IIR (Life cycle climate performance working group - Int 2016) published guides with considerations to evaluate TEWI, where they suggest concrete figures for the different terms that compose this metric. They indicate that TEWI is subjected to a certain amount of uncertainty, because parameters such as ALR, AEC, indirect generation emissions, etc..., are obtained from statistical studies and could vary between one or an-92

93 other system. However, the methods are based on an assumption 94 that has not been much questioned: they consider constant the refrigerant charge and the system's annual energy consumption for 95 96 all the lifespan of the system. This consideration assumes that the system is recharged to its optimal refrigerant charge annually, thus 97 the effects of leakage on the energy consumed by the system are 98 minimal. This consideration could have sense in medium to large 99 and systems subjected to a definite maintenance schedule, how-100 101 ever, when dealing with small stand-alone refrigeration systems this schedule does not exist since they are running until a failure is 102 103 detected by the end user, when effectively, the system is recharged 104 to the optimum conditions. Consideration of leakage in a standalone system during its life has strong implications on its energy 105 106 consumption, since the key parameter to optimize its performance is the refrigerant charge (Antunes and Bandarra Filho, 2016). Ac-107 cordingly, it is interesting to consider the energy degradation due 108 to leakage in systems when evaluating their environmental perfor-109 mance. 110

111 This issue was analysed by Kim & Braun (Kim and Braun, 2012) for air conditioners and heat pumps in relation to the SEER, al-112 though they did not extend the analysis to an environmental point 113 of view. From the best knowledge of the authors, only Beshr et al. 114 115 (Beshr et al., 2014) considered the leakage and energy. They mod-116 elled systems degradation for a heat pump and a water to water chiller using thermostatic expansion valves, and for an air condi-117 tioning using a capillary tube, and they concluded that the en-118 vironmental impacts where higher for systems without liquid re-119 120 ceiver and working with capillaries. Thus, these characteristics are common in stand-alone refrigeration systems and thus require a 121 closer analysis. 122

123 Given that the future refrigerants for stand-alone commercial 124 refrigeration systems will have low-GWP, direct emissions will 125 have little impact on the environment, however, energy degradation associated with leakage will increase the indirect impact. Ac-126 cordingly, the objective is to analyse the influence of the leak-127 age ratio of a stand-alone commercial refrigeration system dur-128 ing its lifetime from an environmental point of view. To achieve 129 130 this, an evaluated open-fronted vertical cabinet for fresh product (Llopis et al., 2019) has been considered. The analysis includes the 131 operation using four low-GWP refrigerants and it has been ex-132 tended to the most representative countries of Europe, where the 133 generalization of low-GWP solutions is imminent. 134

2. Reference system and refrigerant options 135

2.1. Reference system 136

The system used to contrast the TEWI approaches corresponds 137 138 to a commercial open-fronted vertical cabinet with a frontal air curtain (Fig. 1) used for fresh food preservation. The system, de-139 signed for R-404A, was reconverted and optimized to be used 140 141 with the low-GWP refrigerants R-454C, R-455A, R-457A and R-142 459B (Llopis et al., 2019). It was demonstrated that every refrigerant was able to reduce the energy consumption after charge op-143 timization in relation to R-404A. According to the F-Gas Regulation 144 (6), from 01/01/2022 on, this type of system will only be allowed 145 to operate with refrigerants with GWP below 150, thus, only per-146 formance data with the low-GWP refrigerants is considered in this 147 148 work.

149 The schematic system's diagram is detailed in Fig. 2. It is driven by a 22.37 cm³ compressor, uses a minichannel air condenser, an 150 electronic expansion valve and a finned-tube evaporator placed in-151 side the cabinet. Cabinet was instrumented with 10 T-type ther-152 mocouples, a thermo-hygrometer, 6 pressure gauges, a Coriolis 153 mass flow meter and a digital wattmeter. The standard ISO 23953-154 2:2015 (ISO 23953-2 2015) was followed to perform the energy op-155



Fig. 1. View of the vertical open-fronted cabinet.

timization of the system at 25.5 °C and 50% of relative humidity. 156 System was placed inside a climatic chamber controlling humidity 157 and temperature. Environmental conditions were measured using 158 another thermo-hygrometer and inside the cabinet 6 M-test pack-159 ages (1000 g) measured product temperature. 160

24h energy consumption tests were done to evaluate cabinet's 161 performance with different refrigerant charges for all refrigerants. 162 More details about the energy optimization with the different 163 combinations are detailed in Llopis et al., 2019). 164

2.2. Experimental performance of the system

Fig. 3 presents its DEC at an environment at 25.5 °C and 50% 166 RH, according to the 24 h energy consumption tests, where the 167 average product temperature of the 6 M-test packages was main-168 tained at 5.0 \pm 0.1 °C. It accounts for the electricity consumption of 169 the compressor, fans of evaporator and condenser, lighting, control 170 system and defrosting. System was operated with ON/OFF com-171 pressor operation according to a set point temperature measured 172 at the air inlet to the evaporator. It was regulated with 10K superheating set point. One defrosting each six hours was performed using electrical resistors (540 W).

Charge optimization was done using 100 g steps for each refrigerant. The DEC from the minimal refrigerant charge (M_{min}) at which the system was able to maintain product temperature, that is equal to 800 g for all refrigerants, up to the optimum refrigerant charge (M_{opt}) (Llopis et al., 2019), which is assumed to be the condition at which the system leaves the factory, is considered in this 181 work. 182

Here, we consider the DEC from the minimal refrigerant charge (M_{min}) at which the system was able to maintain product temperature, that is equal to 800 g for all refrigerants, up to the optimum refrigerant charge (M_{opt}) (Llopis et al., 2019), which is assumed to be the condition at which the system leaves the factory. 187

Considering data of Fig. 3, system's performance can be sum-188 marized according to the key parameters detailed in Table 1. ΔM 189 [Eq. (1)], called mass span, that corresponds to the difference be-190 tween the optimum refrigerant charge and the minimum charge 191 that allows to maintain the product temperature. With charges 192

165



Fig. 2. Schematic refrigeration layout of the cabinet.



Fig. 3. System's daily energy consumption as function of refrigerant charge.

below 800g the system is not able to maintain 5 °C of product 193 temperature. ΔE [Eq. (2)], denoted as energy span, is the daily en-194 ergy consumption difference between the operation at the mini-195 mum refrigerant charge and at the optimum conditions. If the sys-196 tem reduces its charge, due to leakage of refrigerant, the DEC in-197 creases (Fig. 3), the increment being dependent on the considered 198 refrigerant. This is of relevance importance, since it is well known 199 200 that any refrigerant system is subjected to leakage, but usually the 201 increment in DEC is not considered for the environmental evalua-202 tion of the system.

$$\Delta M = M_{opt} - M_{min} \tag{1}$$

$$\Delta E = DEC_{max} - DEC_{opt} \tag{2}$$

3. Classical TEWI evaluation

TEWI, Eq. (3), is the most generalized metric to compute the 204 total impact (equivalent emissions of CO_2) of a refrigeration appliance during its use until its disposal (UNIDO 2009). It is composed of two terms: one accounting for the direct emissions due 207 to refrigerant leakage [EM_{direct} , Eq. (4)], and another corresponding to the indirect emissions of GHG due to energy consumption 209 [$EM_{indirect}$, Eq. (5)]. 210

$TEWI = EM_{direct} + EM_{indirect}$	(3)
	N N	

$$EM_{direct} = M \cdot (L \cdot ALR + EOL) \cdot GWP_{100}$$
(4)

$$EM_{indirect} = L \cdot AEC \cdot EM \tag{5}$$

In relation to the indirect emissions, Eq. (5), *L* represents the expected lifetime of the system in years, *AEC* accounts for its annual energy consumption and *EM* corresponds to the indirect emissions due to the electricity production, which will be discussed later. 214

Direct emissions, evaluated during the life of the system and 215 during its disposal, depend on the system refrigerant charge *M*, the 216 annual leakage rate of the system *ALR* and the end of life leakage during the phase out of the system *EOL*, and are fully dependent 218 on the considered refrigerant by its GWP value. 219

Both, AIRAH (AIRAH 2012) and IIR (Life cycle climate perfor-220 mance working group - Int 2016) state that TEWI evaluation is 221 subjected to a certain amount of uncertainty due to the large num-222 ber of assumptions to be considered, however, it corresponds to a 223 good metric to contrast the operation of systems or refrigerants for 224 a given application. The following standard values to conduct TEWI 225 computation for stand-alone commercial refrigeration systems are 226 suggested: 227

- Lifetime of the system (*L*): 15 years (Life cycle climate performance working group - Int 2016). 229
- Annual energy consumption (*AEC*): for stand-alone refrigeration systems with low variation of heat rejection temperature 231

Table 1

Performance characteristics of the refr	rigeration system with	the evaluated refrigerants.
---	------------------------	-----------------------------

	GWP _{100yr}	$M_{min}\left(\mathrm{g} ight)$	M_{opt} (g)	DEC_{max} (kW·h·day ⁻¹)	DEC_{opt} (kW·h·day ⁻¹)	$\Delta M(g)$	$\Delta E (kW \cdot h \cdot day^{-1})$
R-454C	146	800	1100	15.84	14.08	300	1.76
R-459B	143	800	1460	15.84	12.83	660	3.01
R-457A	139	800	1500	16.61	12.87	700	3.74
R-455A	146	800	1500	21.52	13.95	700	7.57

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232 during the year, IIR (Life cycle climate performance working 233 group - Int 2016) recommends using the AEC for optimal work-234 ing conditions, since it considers that systems are refilled once 235 a year, and thus the effects on energy consumption are minimal. AIRAH (AIRAH 2012) however, suggests performing an-236 nual energy evaluations according to heat load and environ-237 ment variations to win in precision. Nonetheless, for appliances 238 working in conditioned spaces, such as supermarkets, they rec-239 240 ommend only to use the evaluation at a given temperature. In this case, the reference environment has been considered con-241 242 stant at 25.5 °C and 50% of RH according to the data given in 243 Fig. 3.

- End of life leakage (EOL): for this type of systems, IIR recom-244 245 mends considering that 15% of the refrigerant inside the system is lost during the recovery process (Life cycle climate perfor-246 mance working group - Int 2016) and AIRAH suggests increas-247 ing this value up to 30% for small systems (AIRAH 2012). Here, 248 IIR recommendation has been followed. Nonetheless, since the 249 system is working with low-GWP refrigerants its contribution 250 in the overall impact is minimum, as mentioned below. 251
- Global Warming Potential: (*GWP*): it represents the impact of a substance in relation to the emission of 1 kg of CO₂ to the atmosphere, this metric being updated in the IPCC assessment reports. The UNFCCC and European Regulations considered the 4th assessment report values, however, the most recent values (5th AR (IPCC 2014)) have been used.
- Annual Leakage Ratio (ALR): it accounts for the total annual 258 259 refrigerant leakage during a year, including catastrophic and maintenance escapes, and is expressed as percentage of the ini-260 tial charge. AIRAH suggest to use 2% for self-contained refrig-261 262 eration systems (AIRAH 2012), whereas IIR increments this per-263 centage up to 5% (Life cycle climate performance working group 264 - Int 2016). For the first analyses a 5% value has been considered in this work, and then it has been extended from 0 to 30% 265 to evaluate the impact of this term on the environmental be-266 haviour of the system. 267
- Electricity generation emissions or indirect emission factor 268 (EM): it accounts for the carbon intensity of an electric gen-269 eration system, measured in kg_{CO2,equ}·kWh⁻¹. It is dependent 270 on the selected country and is usually considered as a con-271 stant value. However, the report of Koffi et al. (2017) indi-272 273 cates that they are dependent on the year and show a reduction trend over the years, therefore, it is not convenient to 274 275 use a constant value for systems with expected lifetime of 15 276 years. To increase in precision the methodology proposed by Maiorino et al. (2020) has been followed, which is detailed in 277 278 Section 3.1.

279 3.1. Prediction of indirect emission factor

Results of any environmental evaluation are strongly correlated 280 281 to the carbon intensity of a country; it being quantified by the in-282 direct emission factor (EM). Generally, EM factor is used as a con-283 stant value, such as the carbon intensity values reported by Bran-284 der et al. (Brander et al., 2011), through all the evaluated time 285 span. However, carbon intensity is variable and dependents on the 286 considered year and it is not convenient to use a constant value. 287 Maiorino et al. (2020) suggested to use a prediction of the EM based on historical data (Koffi et al., 2017) to evaluate the trend 288 289 of carbon intensity for each country with the exponential function detailed in Eq. (6), where a, b are the adjustement parameters for 290 each energy mix and s is a scalle factor equal to 1989. 291

$$EM(y) = a * e^{-b * (y-s)} \tag{6}$$

Fig. 4 illustrates the evolution of the *EM* factor for five european countries along the historical data provided by Koffi et al. Table 2

Average EM factor from 2019 to 2034 using forecast predictions according to Eq. (6).

COUNTRY	Germany	UK	Italy	Spain	France
$\overline{\textit{EM}}_{19-34}~(kg_{CO2}~\cdot~kWh^{-1})$	0.4501	0.4129	0.3213	0.2759	0.0673

(Koffi et al., 2017) and the predictions using Maiorino's fitting rela-
tion up to 2034. Furthermore, Table 2 details the average *EM* factor294from 2019 to 2034 according to Eq. (6). The forecast prediction and
the average *EM* factors will be used as reference for the evaluation
of the environmental metrics here.294

3.2. Results of TEWI under classical evaluation

Fig. 5 illustrates the TEWI values calculated with Eqs. (3)–(5) 300 according to the methodology proposed by IIR (Life cycle climate 301 performance working group - Int 2016) and AIRAH (AIRAH 2012) 302 for stand-alone commercial refrigeration systems, where the following figures are assumed: 304

- L: 15 years
- ALR: 5% 306
- EOL: 15% 307
- *GWP*₁₀₀: 5th Assessment Report value (IPCC 2014) with 100 308 years integration time, Table 1. 309
- AEC: that corresponding to the operation of the system at optimum charge conditions (Table 1). In agreement with IIR recommendations (Life cycle climate performance working group -Int 2016), it assumes that the system is refilled once a year at the optimum refrigerant charge.
- *EM*: to be coherent with the calculations of Section 4, *EM* factor 315 considered here is the integrated value from 2019 to 2034 according to the prediction forecast presented in Section 3.1. Used 317 values for the considered countries are detailed in Table 2.

From results of TEWI calculation under the classical methodology (Fig. 5), the following observations can be made: 320

- The direct emissions associated to the use of the refrigeration 321 system with the low-GWP refrigerants are constant for all the 322 countries. They maximum contribution to TEWI depends on the 323 EM factor and are between 0.59% for economies with high car-324 bon intensity values such as Germany and 3.8% for economies 325 with low carbon index, such as France. Nonetheless, is impor-326 tant to highlight that the use of refrigerants with a GWP<150 327 minimizes the direct effect. 328
- The indirect emissions, associated with electricity consumption, 329 represent from 96.2% to 99.4% of the total contribution to TEWI. 330 It indicates that for the evaluation of the TEWI index using low-331 GWP refrigerants it is crucial to represent with the highest accuracy the energy behavior of this fluids. 333
- Among the refrigerants, maximum variation of TEWI index is 334
 3.0% (Germany) and minimum of 0.4% (France), what indicates 335
 that under the classical TEWI methodology no appreciable differences are found among the use of one or another refrigerant. 337
- Among the countries, differences between TEWI values are high, being them nearly directly correlated with the emission 339 factor (Table 2). However, the quotient TEWI between EM gives 340 values with maximum deviation of 2.5% between the countries, 341 therefore, the quotient could be used to extend TEWI calculation to any country.

As summary of the observations derived from the classical 344 TEWI methodology, it can be said that when low-GWP refrigerants 345 are used in a system, nearly all the emissions of greenhouse gases 346 are related with the electricity consumption, thus the most reliable representation of the energy behaviour is important to obtain 348 more conclusions. This is made in Section 4. 349

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Fig. 5. TEWI of refrigeration system under classical evaluation. Emission factor predicted for 2019. ALR=5%.

4. TEWI evaluation considering leakage ratio

351 Daily energy consumption of a stand-alone refrigeration system 352 is dependent on its refrigerant charge (Fig. 3). However, consider-353 ation of an ALR invalidates the classical TEWI methodology, since 354 it considers both refrigerant charge and energy consumption constant and equal to the values obtained in the optimization process. 355 Therefore, results obtained in Section 3 can be questioned and a 356 closer look to the TEWI evaluation is mandatory for this type of 357 358 systems.

359 4.1. Operation of stand-alone system considering leakage ratio

For stand-alone refrigeration systems, assuming that ALR is always constant, the refrigerant mass inside the system as function of time can be expressed by the differential relation (7), where the temporal variable is expressed in months.

363

$$\frac{dM(t)}{dt} = -\frac{ALR}{12} \cdot M(t) \tag{7}$$

Integration of Eq. (7) from the initial situation of a system, corresponding to the moment it is delivered from the factory with an initial charge coincident to the optimum refrigerant charge (M_{opt}), 366 the evolution of mass over time is expressed by Eq. (8). 367

$$M(t) = \begin{cases} M_{opt} \cdot e^{-\frac{AIR}{12} \cdot t} \, if \, M \ge M_{min} \\ M_{opt} \, if \, M < M_{min} \end{cases}$$

$$\tag{8}$$

Starting from the initial charge M_{opt} , the refrigerant mass in the system decreases according to an exponential relation until the minimum refrigerant charge at which the system could operate (M_{min}) is reached. At this moment, user of the refrigeration system detects the failure and refills the system with the optimum charge. 372

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Fig. 6. Evolution of refrigerant charge and daily energy consumption during lifetime of system. R-454C case.

373 According to Eq. (8), the evolution of charge and its associated 374 energy consumption is represented in Fig. 6 for 2, 5 and 10% ALR 375 using R-454C as reference. As it can be observed, for low ALR (2%), 376 the system has always enough refrigerant charge to operate, but energy consumption degrades with time, with significant incre-377 378 ments. However, for higher ALR (5 and 10%) the minimum operating charge in the system is reached at least once and the system 379 is refilled to manufacturing conditions (represented with a circle). 380 Thus, along its lifetime the energy consumption increases and de-381 382 creases through time, more times as larger the ALR is. It needs to 383 be mentioned that for the calculations under leakage conditions it 384 has been assumed that the composition of the refrigerant is always 385 the same.

Eq. (7), as a positive term, represents the leakage from the sys-386 tem over time, thus integrating this relation, the leakage as a func-387 388 tion of time can be evaluated with Eq. (9), it decreasing exponentially in time; and during the maintenance process with Eq. (10), 389 depending the leakage on the grade of recovery of refrigerant dur-390 ing the refilling. 391

$$LK(t) = M_{opt} \cdot \left(1 - e^{-\frac{ALR}{12} \cdot t}\right)$$
(9)

$$LK_{refil} = M \cdot \left(\frac{EOL}{100} - 1\right) \tag{10}$$

392 Using Eqs. (9) and (10), lifetime refrigerant leakage in relation to the ALR has been integrated for the four refrigerants along 15 393 years under two scenarios: first, according to a grade of recov-394 ery of refrigerant during the maintenance of 15% (Life cycle cli-395 mate performance working group - Int 2016); and second, assum-396 397 ing that the remaining refrigerant in the system during each refilling process is sent totally to the atmosphere. Fig. 7 represents 398 399 leakage during lifetime in relation to the ALR. If the gas is recovered during the maintenance, there are no appreciable differences 400 among the refrigerants. However, if it is not recovered, refrigerants 401 with low mass span will produce larger direct emissions. Differ-402 ence between two scenarios in terms of emitted refrigerant kilo-403 grams ranges from 1.8 to 2.5 times. Nonetheless, considering of a 404 405 larger or lower EOL using low-GWP refrigerants will have small ef-



Fig. 7. Refrigerant leakage during lifetime vs. ALR.

fect on the environmental metrics, since their contribution is low 406 (Fig. 5). 407

Lifetime energy consumption bounded to the ALR has been cal-408 culated considering mass charge evolution of Eq. (8) and the experimental measurements given in Fig. 3 using a monthly integration for 15 years. To compute DEC at charges between the experimental measurements a linear interpolation between the measurements has been done. Fig. 8 depicts the results for the considered refrigerants and Fig. 9 the increment in lifetime energy consumption in relation to the energy consumption during lifetime at optimum conditions.

Results of Fig. 8 and Fig. 9 evidence there is an important in-417 crement on the energy consumption until a reduced ALR (3% for 418

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Fig. 8. Lifetime energy consumption vs. ALR.



Fig. 9. Variation of energy consumption during lifetime in relation to Ec at optimum charge vs. ALR.

R-454C and 5% for R-459B, R-457A and R-455A). However, this in-419 crement stabilizes when at least a refilling is done in the system, 420 which happens at a higher ALR. From the third refilling, the incre-421 422 ment on lifetime energy consumption in relation to the optimum 423 conditions achieves a stable value, approximately of 4.45% for R-454C, 10.82% for R-459B, 8.54% for R-457A and 17.34% for R-455A. 424 This percentage is dependent on two parameters that characterize 425 the operation of the system with a given refrigerant (Table 1): the 426 percentage is higher as higher is the mass span, which implies that 427 428 the system is working with reduced refrigerant charge more time or that the refillings are more spaced in time; and the percentage 429 430 is higher when higher the energy span is, which implies a higher energy penalty in low charge conditions. 431

432 Obviously, consideration of energy consumption at optimum charge could bring about important differences on the real energy 433 consumption and on the TEWI computation. Therefore, next sec-434 tion evaluates the impact of real operation of stand-alone systems 435 436 on the TEWI.

4.2. Results of TEWI evolution considering leakage ratio

TEWI evaluation considering a close operation to real systems 438 considers leakage of refrigerant during the operation, the depen-439 dence of the DEC on the charge, the needed refillings and the fore-440 cast prediction of the indirect emission factor. 441

To evaluate it, a monthly integration has been done along 15 442 years using the same assumptions as taken in Section 3.2 for the 443 classical evaluation (L = 15 yr, ALR = 5%, EOL = 15%) using the mea-444 sured operation of the system for the different refrigerants (Fig. 3). 445 Refrigerant mass charge at each month, denoted with the index 'i' 446 has been evaluated with Eq. (11). First, direct emissions, Eq. (12), 447 are split into two terms: the first that considers the monthly leak-448 age of refrigerant during operation and the second the direct con-449 tribution due to the refrigerant unrecovered during each refilling 450 process. Each refilling task is denoted with the index 'j'. Second, 451 indirect emissions, Eq. (13), consider the DEC for the correspond-452 ing refrigerant charge, the number of days of each month and the 453 corresponding carbon index according to Section 3.1. 454

$$M_{i} = \begin{cases} M_{opt} \cdot e^{-\frac{AIR}{12} \cdot i} if M_{i} \ge M_{min} \\ M_{opt} if M_{i} < M_{min} \end{cases}$$
(11)

$$EM_{direct} = \sum_{i=0}^{180} M_i \cdot \frac{ALR}{12} \cdot GWP_{100} + \sum_{j=1}^n M_i \cdot EOL \cdot GWP_{100}$$
(12)

$$EM_{indirect} = \sum_{i=0}^{180} DEC(M_i) \cdot days \cdot EM_i$$
(13)

TEWI index has been evaluated for the same economies and re-455 frigerants than those considered in Section 3.2, being the results 456 presented in Fig. 10 and the percentage differences from the clas-457 sical methodology in Table 3. 458

It can be affirmed for all the cases and countries that the clas-459 sical methodology to evaluate TEWI underestimates the total CO₂ 460 emissions. Larger differences occur in the direct emissions, rang-461 ing from 38.6 (R-457A) to 148.9% (R-454C) and being correlated 462 with the mass span and GWP of the refrigerant (Table 1). How-463 ever, its impact in the total emissions is still low. The deviation 464 in indirect emissions ranges from 4.7 (R-454C) to 19.2% (R-455A). 465 In this case, increments are nearly independent on the considered 466 country or carbon index, but they depend on the mass and en-467 ergy spans (Table 1), so refrigerants with low mass and low energy 468 spans, such as R-454C have lower deviations in the indirect emis-469 sions calculation. However, refrigerants with high mass and high 470 energy spans, such as R-455A, have larger discrepancies. In global, 471 deviation of TEWI between the methodologies ranges from 5.25% 472 (R-454C, Germany) to 19.93% (R-455A, France), differences being 473 larger as larger the energy and mass spans are and lower the car-474 bon intensity of a country is. 475

5. Methodologies discussion

Methodologies contrast made in Section 4 considered a con-477 stant ALR of 5%, value recommended by IIR (Life cycle climate per-478 formance working group - Int 2016). This section contrasts both 479 methodologies as function of the ALR, since ALR is the most in-480 fluential variable on the energy consumption of the refrigeration 481 system during its operating lifetime. 482

To contrast hypothesis, Fig. 11 represents the TEWI differences 483 from both approaches considering a lifetime of 15 yr and an EOL of 484 15% for ALR from 0 to 30%. Three countries are selected, Germany, 485 with the highest carbon index (0.4501 kg_{CO2} ·KWh⁻¹); Italy, with 486 medium index (0.3213 kg_{CO2} ·KWh⁻¹); and France, with the lowest 487 carbon intensity (0.0673 kg_{CO2}·KWh⁻¹). 488 489

Classical TEWI methodology underestimates the emissions for any refrigerant, country and ALR. For ALR tending to zero, devi-490

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Fig. 10. TEWI of refrigeration system under proposed evaluation. Emission factor predicted from 2019 to 2034. ALR=5%.



Fig. 11. Difference in TEWI metric between classical and proposed approach vs. ALR.

Table 3			
Percentage differences between	classical and proposed TEWI	methodologies (ALR=5%	, EOL=15%, L=15yr)

	R-454C		R-459B	459B		R-457A			R-455A			
	△ED (%)	∆ EI (%)	∆TEWI (%)	$\Delta \mathbf{ED}$ (%)	∆ EI (%)	∆TEWI (%)	∆ ED (%)	∆ EI (%)	∆TEWI (%)	$\Delta \mathbf{ED}$ (%)	∆ EI (%)	∆TEWI (%)
Germany	148.9	4.7	5.3	40.6	11.2	11.4	38.5	8.6	8.8	38.5	19.2	19.3
UK	148.9	4.7	5.3	40.6	11.2	11.4	38.5	8.6	8.8	38.5	19.2	19.3
Italy	148.9	4.7	5.6	40.6	11.3	11.5	38.5	8.6	8.9	38.5	19.2	19.4
Spain	148.9	4.9	5.8	40.6	11.4	11.7	38.5	8.7	9.0	38.5	19.2	19.4
France	148.9	4.8	8.7	40.6	11.4	12.5	38.5	8.7	9.8	38.5	19.2	19.9

ations are low; however, they quickly increase as the ARL does.
TEWI increment slope relaxes when the system is refilled for the
first time (represented with a circle), showing stabilizations for
economies with high or medium EM. However, for countries with
low carbon index the deviation increases as the ALR does.

Differences between methodologies are higher for refrigerants
with higher mass and energy spans, such as R-455A, and lower for
refrigerants with low mass and energy spans, like R-454C. How-

ever, it is important to highlight that among the countries, with 499 different EM values, deviations are of the same order of magnitude. 501

It can be affirmed that the discrepancies between TEWI 502 methodologies depend on the ALR, energy or mass span and the 503 EM index. Authors have tried to correlate these variations among 504 the countries and refrigerants, but we have not been able to obtain 505 a general rule to quantify the deviation. 506

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507 6. Conclusions

This work contrasts the classical TEWI methodology, based on 508 509 constant ALR, to evaluate the environmental performance of a stand-alone refrigeration system using low-GWP, with a new ap-510 proach considering the energy dependence of the system with the 511 ALR. 512

Classical TEWI method shows that for systems with low-GWP 513 514 refrigerants (GWP<150), the direct emissions can be neglected, but the influence of underloaded systems on its annual energy con-515 516 sumption is high. With these refrigerants it is crucial to represent 517 with the highest possible accuracy the energy behaviour of the systems. Classical TEWI for the different low-GWP refrigerants do not 518 519 show differences between them inside a country, and differences between countries are correlated with the carbon index. 520

In relation to the real operation, the energy consumption dur-521 ing the lifetime of a system, if it has leakage, increases in relation 522 to that at optimum conditions. If no refilling is needed during the 523 lifetime, the increment reaches between 4.06% (R-454C) to 16.70% 524 (R-455A). But from the third refilling on, the increment in energy 525 consumption during lifetime stabilises, the increments being be-526 527 tween 4.45% (R454C) to 17.34% (R-455A). These increments depend 528 upon the energy and mass spans of the system.

New TEWI methodology, considering the dependence of energy 529 consumption with the charge evolution, indicates that the classical 530 approach always underestimates the total emissions. Increments 531 in direct emissions range from 38.6% to 148.9%. However, its con-532 533 tribution in the total emissions is still low and they can be neglected. Nonetheless, increments in indirect emissions range from 534 4.7% to 19.2%, they being independent on the carbon economy, but 535 being related with the mass and energy spans. In general terms, 536 537 TEWI discrepancies between both methodologies go from 5.25% to 538 19.93%.

It can be affirmed that the classical TEWI approach underesti-539 mates emissions for any country, any refrigerant and any ALR. De-540 viations increase with ALR, especially for those that do not imply a 541 refilling during the lifetime of the system, and trend to stabilise for 542 543 subsequent refillings only for medium and high carbon economies. The deviations are higher as higher the mass and energy spans are. 544

Therefore, we must advice that for employing the TEWI evalu-545 ation for stand-alone systems working with low GWP refrigerants 546 it is crucial to consider the dependence of the energy consumption 547 with the charge of the system, since considering for the evaluation 548 549 that the refrigerant charge keeps constant in the optimum value 550 brings about deviations of this parameter that can reach up to 20%.

551 **Declaration of Competing interests**

The authors declare that they have no known competing finan-552 cial interests or personalrelationships that could have appeared to 553 influence the work reported in this paper 554

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