First nation-wide estimation of tobacco consumption in Spain using wastewater-based epidemiology

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Abstract

Wastewater-based epidemiology (WBE) has become a very useful tool to monitor a population's drug consumption or exposure to environmental and food contaminants. In this work, WBE has been applied to estimate tobacco consumption in seven Spanish regions. To this end, 24 h composite wastewater samples were taken daily for one week in 17 wastewater treatment plants, covering altogether a population of ca. 6 million inhabitants. The samples were treated by enzymatic deconjugation and the wastewater content of two human-specific nicotine metabolites (namely, cotinine and trans-3'-hydroxycotinine) was measured to estimate the daily consumption of nicotine. The population-weighted average nicotine consumption in the seven analyzed regions was 2.2 g/(day·1000 inh.), without any daily pattern. This average estimated nicotine consumption value agreed with the value derived from official tobacco sales data. Differences in consumption among the seven studied regions were found, being Galicia, the region with the lowest rate, and the Basque Country and Catalonia those with the highest rates. However, no conclusive correlation was found between those values and the prevalence data taken from two different national surveys, nor sociodemographic and health data. This study demonstrates that this tool can complement other indicators in order to accurately assess tobacco consumption rates at regional and national levels and provides the most extensive application of the approach in the Spanish territory.

Keywords: nicotine, nationwide monitoring, sewage surveillance, addiction

1 1. Introduction

2 Wastewater-based epidemiology (WBE) has become a well-established technique for 3 assessing the consumption of several legal and illicit drugs [1, 2]. This approach is based on 4 the measurement of the excreted biomarkers (parent compounds or metabolites) of the 5 substances of interest in wastewater from a specific population. Subsequently, some back-6 calculations are performed to estimate consumption rates [3]. WBE has been applied so far 7 to estimate consumption of illicit drugs [4], new psychoactive substances [5], caffeine [6], 8 alcohol [7], nicotine [8, 9], some pharmaceuticals and personal care products (PPCPs) [10], 9 and also to evaluate the exposure to different contaminants, e.g. phthalates [11] or pesticides 10 [12].

11 Nicotine (NIC) is the most consumed substance of licit abuse after alcohol worldwide [13]. 12 According to the World Health Organization (WHO), smoking is one of the main risk factors 13 for several chronic diseases, such as cancer, and respiratory and cardiovascular diseases [14]. 14 Although legislation on its consumption and sale has been tightened in recent years, its use is still very prevalent worldwide [13]. In Spain, ca. 22% of the adult population consumes 15 16 tobacco daily [15]. Thus, identifying areas and regions with the highest incidence of 17 consumption, and potential reasons for these figures, is crucial to design strategies to reduce 18 tobacco use and evaluate the success of the actions undertaken [16]. Furthermore, other 19 sociodemographic factors, such as the average age or the unemployment rate, and the 20 relationship with factors usually associated with tobacco use, such as the incidence of 21 pulmonary or cardiovascular diseases need to be explored.

So far, the main sources of information to determine the prevalence of tobacco use are self reported surveys, sales statistics, and medical diagnosis records. However, conventional

approaches typically underestimate the actual prevalence due to non-response bias and bias in the selection of the sampled populations (case of surveys), the illicit trade markets that are not taken into account (case of sales records), and incomplete medical reports [2]. WBE provides additional information to these traditional sources, and it is considered as a fast and not overly expensive technique to complement and validate consumption figures [17, 18].

29 WBE has been used to estimate tobacco consumption in different countries including the 30 United States [19], Australia [20, 21], China [22, 23], Maldives [24], Italy [6, 8], Spain [9, 31 17], and other European countries [17, 25-27]. In most published studies, the metabolites of 32 NIC, cotinine (COT), trans-3'-hydroxycotinine (OH-COT), and their glucuronides have been 33 analyzed, and back-calculations performed to estimate the amount of NIC consumed by the 34 population. To a lesser extent, other biomarkers of tobacco consumption, such as the 35 alkaloids anabasine and anatabine, have also been used [28]. These compounds are 36 exclusively found in tobacco and not in the products used for nicotine replacement therapies 37 (NRT), so they can be used to discern nicotine metabolites in wastewater coming from such 38 products. However, their concentrations in tobacco are much lower than NIC and their 39 determination in wastewater far more difficult, while there is no data on metabolism for back-40 calculating tobacco use [21].

The aim of this study was to perform the most ambitious assessment of tobacco consumption in Spain by means of WBE. To this end, 17 wastewater treatment plants (WWTPs) from 13 cities belonging to seven different regions were sampled every day during a whole week. NIC metabolites, COT and OH-COT, were measured in the wastewater samples to estimate NIC consumption. The results obtained through the WBE approach were compared with consumption estimates derived from the official data on tobacco sales and with survey data on smoking prevalence. Moreover, potential correlations between tobacco consumption and
socio-economic and health conditions of the population were explored.

49

2. Material and Methods

50 2.1 Reagents and materials

51 High-performance liquid chromatography (HPLC)-grade methanol and acetic acid were 52 supplied by Merck (Darmstadt, Germany); the enzyme β-glucuronidase (from Helix pomatia, 53 Type H-2), ammonium acetate, sodium acetate, and sodium chloride were obtained from Sigma-Aldrich (Steinheim, Germany). GHP polypropylene syringe filters (0.22 µm) were 54 55 acquired from Merck. Ultrapure water was obtained from a Milli-Q water generator 56 (Millipore, Bedford, MA, USA). Standards of COT and OH-COT, as well as their deuterated 57 analogs cotinine-d3 (COT-d3) and trans-3'-hydroxycotinine-d3 (OH-COT-d3), used as 58 surrogate internal standards (IS) in the quantification process, were supplied by Santa Cruz 59 Biotechnology (Santa Cruz, CA, USA). Individual stock solutions (ca. 1 mg/mL) were 60 prepared in methanol (Merck). Two mixture solutions containing the analytes (10 µg/mL) or the IS (0.5 µg/mL) were prepared in methanol and used as working solutions. For the 61 62 enzymatic deconjugation of samples, sodium acetate buffer (1 M, pH 5) for pH adjustment, 63 and a solution containing 3000 units of β -glucuronidase in 0.2% NaCl were used.

64 **2.2 Samples**

The wastewater sampling campaign was done in 2018, at seventeen WWTPs located in thirteen cities of seven Spanish regions: Galicia (one WWTP in Santiago de Compostela), the Basque Country (one WWTP serving Bilbao and its metropolitan area), Community of Madrid (two WWTPs in Madrid and one in Móstoles), Castile-La Mancha (one WWTP in Guadalajara and one in Toledo), Catalonia (Barcelona, Lleida, Reus and Tarragona; one

WWTP in each location), Valencian Community (three WWTPs covering Valencia and its 70 71 metropolitan area, and one WWTP in Castellón), and Balearic Islands (two WWTPs covering 72 Palma de Mallorca), see map in Figure 1). The entire population of all main cities was 73 covered by the catchment area of the WWTPs selected, except for Madrid, where the two 74 WWTPs sampled covered up to 30% of the city population, and Barcelona, where the only 75 WWTP sampled covered 35% of the city population (SEM, Table S1). In the case of Palma 76 de Mallorca (Balearic Islands), part of the flow entering one of the WWTPs was directed to 77 a second one, and hence, for NIC load and back calculations (section 2.5), these two WWTPs 78 were considered together. Thus, hereinafter, the original 17 sampling sites will be referred to 79 as 16. The names of the cities in each region and specific details regarding WWTPs sampling, 80 such as number of inhabitants served, daily wastewater flow rates, etc., are given in the SEM, 81 Table S1. The selected WWTPs serve a population of ca. 6 million people, which represents 82 12.8% of the total population of Spain in 2018 [15]. Raw wastewater composite samples (24 h) were collected daily over a week that did not coincide with local festivities or special 83 84 events. Samples were transferred into glass bottles and shipped frozen to Santiago de 85 Compostela for analysis.

86 **2.3 Sample preparation**

The samples were processed following the protocol developed by Rodríguez-Álvarez et al. [9]. Briefly, 5 mL of wastewater were filtered through 0.22 μ m GHP filters, and an aliquot of 1.14 mL was spiked with 60 μ L of the IS mixture (0.5 μ g/mL) and adjusted to pH 5 with 0.15 mL of a sodium acetate buffer (1 M). Then, 300 units/mL of β-glucuronidase (0.15 mL of a 3000 units solution in 0.2% NaCl) were added and the samples were heated at 37 °C for 5 h to trigger the complete deconjugation of COT and OH-COT glucuronides. Thus, the total
COT and OH-COT contained in the samples were subsequently measured by LC-MS/MS.

94 **2.4 Instrumental analysis**

95 Samples were analyzed using a Varian (Walnut Creek, CA, USA) liquid chromatograph 96 composed of two ProStar 212 high-pressure mixing pumps, an autosampler and a ProStar 97 410 thermostated column compartment, coupled to a Varian 320-MS triple quadrupole mass 98 spectrometer with an electrospray interface (ESI). The experimental parameters used for the 99 determination of analytes and internal standards, such as quantification and qualification 100 transitions, voltages, ratios, etc. are reported in Rodríguez-Álvarez et al. [9] and provided 101 here in SEM, Table S2.

102 **2.5. Back calculations for the estimation of NIC intake**

The estimation of NIC consumption was performed using the concentrations found for COT and OH-COT (after deconjugation) independently [9]. Subsequently, the results obtained from both metabolites were compared. These calculations consider the excretion rate of each metabolite (sum of free and conjugated forms, i.e., 27% for COT and 44.5% for OH-COT on a molar basis [9, 29]) and the ratio between the molecular weights (MW) of NIC and each metabolite. The estimated concentration of NIC is then multiplied by the daily flow rate of the WWTP to calculate the daily loads, as indicated by Eq.1 and Eq. 2.

110 Daily load NIC
$$(g/day) = [COT] \times \left(\frac{MW_{NIC}}{MW_{COT}}\right) \times \left(\frac{100}{27}\right) \times flow$$
 Eq. 1

111 Daily load NIC
$$(g/day) = [OH - COT] \times \left(\frac{MW_{NIC}}{MW_{OH-COT}}\right) \times \left(\frac{100}{44.5}\right) \times flow$$
 Eq. 2

where [COT] and [OH-COT] are, respectively, the total concentrations of COT and OH-COT (in g/L); MW_{NIC}, MW_{COT}, and MW_{OH-COT} are the molecular weights of NIC, COT, and OH-COT, respectively; and flows are expressed in terms of L/day. The average value for the NIC daily loads estimation using COT and OH-COT was finally calculated, since both metabolites provided equivalent results (see section 3.1). Finally, to get populationnormalized consumption rates, the values were divided by the number of inhabitants (n. inh) served by each WWTP (SEM, Table S1) and multiplied by 1000 as indicated in Eq.3.

119 Daily NIC consumption
$$\left(\frac{g}{day \cdot 1000 \text{ inh}}\right) = \text{Daily load NIC } \left(\frac{g}{day}\right) \times \left(\frac{1000}{n.\text{ inh}}\right)$$
 Eq.3

120 **2.6 Official data sources**

121 Several sources were consulted to obtain official information regarding the different 122 variables affecting tobacco consumption. Data related to tobacco sales were taken from the 123 website of the Spanish Tobacco Market Commission ("Comisionado para el Mercado de 124 Tabacos", CMT) [30]. Survey data on prevalence were taken from two different reports: the 125 Spanish Observatory of Drugs and Addictions ("Observatorio Español de las Drogas y las 126 Adjcciones", OEDA) [31] publishes every second year the report "EDADES" (last data from 127 yr. 2017) and the National Institute of Statistics ("Instituto Nacional de Estadística", INE) 128 provides every five years data on several health and lifestyle issues, including smoking 129 prevalence, in the National Health Survey ("Encuesta Nacional de Salud de España", ENSE) 130 (last data from yr. 2017) [32]. Some socioeconomic variables of the population from the 131 seven Spanish regions included in this study were tested to search for potential correlations with tobacco consumption, viz., death rate, unemployment rate, educational level, mean age, 132 and average economic family income. These variables were taken from the website of the 133

INE (data from yr. 2018) [15]. Finally, the number of hospital admissions with a diagnosis of any disease related to tobacco use in each region was obtained from the database CMBD Discharges Record on Hospitalization and Specialized Out-Patient Care from the Spanish Ministry of Health (data from yr. 2017) [33]. The investigated diseases were nicotine addiction, heart stroke, lung and oral cancer, chronic bronchitis, and chronic obstructive pulmonary disease (COPD).

140 **2.7 Statistical analyses**

141 Statistical analyses were performed with the IBM SPSS statistics 25 software. Firstly, the 142 normal distribution of data was tested with the Kolmogorov-Smirnov (K-S) test. Then, a 143 Pearson's correlation test was applied to compare the NIC estimation obtained based on the 144 concentration of the two different metabolites. Analysis of variance (ANOVA) with a Tukey 145 post-hoc correction was performed to compare the results obtained between the different days 146 of the week and WWTPs and regions. A paired t-test was selected to search for differences 147 between the NIC consumption estimated in this work and the NIC consumption calculated 148 from the official sales published by the government. Pearson's test (or Spearman's rank test, 149 depending on the data distribution) was finally used to assess the strength of the correlation 150 between the WBE-derived NIC consumption results with the smoking prevalence (survey 151 data), tobacco-related diseases incidence, and the aforementioned socioeconomic variables, 152 at the 95% confidence level. The American Psychological Association (APA) style is used 153 along the manuscript for reporting the results of statistical tests.

154 **3. Results and discussion**

155 **3.1 Levels of NIC metabolites in wastewater**

156 COT and OH-COT were detected in all samples analyzed at average concentrations per 157 WWTP ranging from 0.6 to 7.7 µg/L and from 0.9 to 13.3 µg/L, respectively, being the lowest 158 values found in the WWTP of Santiago de Compostela and the highest ones in Móstoles and 159 some sampling points in Catalonia (Table S3). Some authors performed the back-calculations 160 for the estimation of NIC using both metabolites (as sum) [8], however, other authors 161 preferred to use only COT, due to high variability found in OH-COT determinations [21]. In 162 our case, such problems were not encountered. The correlation between the concentration values obtained for COT and OH-COT was studied through a Pearson's test, since the 163 164 distribution of both variables was found to be normal. This correlation was positive, strong 165 and statistically significant (r(110)=0.961, p<0.001). This relationship is graphically shown 166 in SEM, Figure S1. The slope of the COT vs OH-COT linear regression model is 1.62 167 (standard deviation = 0.04), which is close to the theoretical value of 1.80 obtained from the 168 average ratio of excretion rates reported for COT and OH-COT metabolism, after 169 glucuronide deconjugation and molecular weight adjustment [29] (theoretical range: 1.36-170 2.42, considering the extreme metabolic excretions reported). The concentration profiles 171 detected in wastewater match metabolism reports and both metabolites provide similar 172 results for NIC consumption, as reported by Lai et al. [20]. Thus, the daily NIC loads were 173 calculated as the average of the estimations obtained from COT and OH-COT individually. 174 The fact that some authors [21] reported a high variability in OH-COT concentration 175 determination can be attributed to an incomplete deconjugation of OH-COT, depending on wastewater and storage conditions as reported in Rodriguez-Alvarez et al. [9], which wasavoided here by implementing an enzymatic deconjugation.

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179 **3.2 NIC consumption**

Measured concentrations of COT and OH-COT were converted to daily NIC loads and to 180 181 population-normalized consumption as previously explained in section 2.5 (Figure 1). The 182 daily average NIC consumption was 2.2 ± 0.7 g/(day·1000 inh). The differences found 183 between WWTPs (F(15, 96)=18.75, p<0.0001) were analyzed by a *post-hoc Tukey* test and 184 the results are presented in Table S4. The WWTPs with the lowest values of NIC 185 consumption were Santiago de Compostela, Madrid (I) and (II), Toledo, Lleida, and Valencia 186 (I) and (III) (Figure 1). The NIC use levels in the city of Santiago de Compostela reported in 187 a previous study were 1.7-1.9 g/(day·1000 inh.) [9]. This study included samples from three 188 different years (2012-2014) taken during the same period of the year. These values are 189 slightly higher than the levels estimated by the present study $(1.4 \pm 0.3 \text{ g/(day 1000 inh.)})$. 190 Although the differences are not statistically significant (F (3, 24) = 1.55, p = 0.22). From 191 these data, a slight diminution of consumption in the city can be assumed from 2014 to 2018, 192 but, obviously, such trend should be confirmed in future campaigns. The results obtained for 193 Móstoles, 3.7 ± 0.8 g/(day 1000 inh.), were the highest, and differ significantly from all the 194 other cities (Table S5).

195 NIC consumption was also evaluated by region since its comparison with other consumption 196 indicators cannot be performed at local scale. In those regions where more than one WWTP 197 was analyzed, the weighted average was calculated considering the population covered by 198 each WWTP (Table 1). Following this classification, Galicia (represented only by the WWTP of Santiago de Compostela) remains with the lowest NIC use values $(1.4 \pm 0.3 \text{ g/(day\cdot1000 inh.)})$, while the Basque Country (represented by the WWTP of Bilbao metropolitan area) and Catalonia (represented by the WWTP of Barcelona, Reus, Lleida and Tarragona) become the regions with the highest consumption rates, 2.8 and 2.6 g/(day·1000 inh.) of NIC, respectively.

204 As shown in Figure S2, the daily overall consumption average ranged between 2.2 and 2.4 205 $g/(day \cdot 1000 \text{ inh.})$, and no trends of tobacco consumption were observed within the different 206 sampling days (F(6, 105)=0.26, p=0.95). This is consistent with previous results reported for 207 one of the studied WWTPs (case of Santiago de Compostela) [9] and also in other locations 208 in the world [8, 20, 21], indicating that tobacco is consumed on a regular daily basis. 209 Conversely, some authors have reported higher NIC consumption during the weekend [26], 210 but they attribute such results to a large concentration of entertainment and nightlife locals, 211 where increased tobacco use is expected.

212 **3.3** Comparison of WBE with sales and survey data

213 The CMT publishes official data regarding tobacco sales in the different Spanish regions 214 (Table 1) [30]. The main sales belong to cigarettes (ca. 84% of the total tobacco sales in 215 economic terms). Deeming that data are provided as boxes of 20 cigarettes and that for back-216 calculations we assumed 0.8 mg NIC absorbed per cigarette that would be equivalent to 16 217 mg per box of 20 cigarettes. The amount of NIC per cigarette (0.8 mg) was estimated through 218 the average of the NIC content reported for 20 different brands using a smoking machine 219 [34], according to our former publication considering Spanish tobacco information [9], and 220 by means of the data reported by the 5 most consumed brands in the country in 2018. If in 221 Spain, ca. 22% of the adult population (\geq 15 years, 84.0% of total population [15] consumes tobacco daily [32], this means that on average an adult smoker consumes 15.1 cigarettes perday.

224 Furthermore, considering the amount of cigarette boxes sold during 2018 and the population 225 of each region, the average NIC officially consumed daily per 1000 inh. in each region was 226 calculated (Table 1). A paired t-test showed no statistically significant differences between 227 the sales- and the WBE-derived estimations of NIC consumption by region (t(6) = -0.86, 228 p=0.41). Furthermore, the WBE national estimate calculated as population-weighted mean 229 of all sites was 2.2 g/(day 1000 inh.), which is in agreement with the sales-derived NIC intake 230 of 2.3 g/(day 1000 inh.) for the same regions. Moreover, this value is close to the figure 231 obtained from sales for the whole of Spain: 2.1 g/(day·1000 inh.), Table 1. Thus, this study 232 shows that there is a very good agreement between sales data and WBE data at national scale. 233 However, the similarity is not that good at regional scale, particularly in Galicia and Balearic 234 Islands, where WBE data is lower than sales statistics (Table 1). Different reasons may 235 explain this finding, e.g. only one week was sampled at each location, which may not be 236 representative of the NIC consumption in the whole year and also the limited amount of 237 population covered by the sampled WWTPs being extrapolate to the whole community. 238 Furthermore, different values for absorbed NIC have been used in back-calculations by other 239 authors, ranging from 0.8 to 1.25 mg/cigarette [8, 9, 20, 21, 23, 25-27], which could affect 240 the final estimations of tobacco consumption. These sources of uncertainty will be discussed 241 in detail in section 3.5.

For further comparison between experimental data derived from wastewater analysis and reported prevalence data, two official general population surveys, namely, EDADES and ENSE were consulted (section 2.6). In both cases, the data are reported by region and the 245 surveyed population was over 15 years old. No significant correlation was found between the 246 NIC daily consumption estimated in our work and the data obtained from surveys (Figure 2). 247 Yet, it is also noteworthy the lack of significant correlation between both surveys (Figure 248 S3). According to EDADES and ENSE surveys, the mean Spanish daily smoking last month 249 prevalence was 34.2% and 21.9%, respectively. In both surveys, the region presenting the 250 lowest smoking prevalence is Galicia (30.9% and 17.8%, respectively), which is also the 251 region with the second lowest sales (Table 1). These results are in agreement with the daily 252 consumption estimates obtained by WBE, according to which Galicia presents also the 253 minimum daily consumption, 1.4 ± 0.3 g/(day 1000 inh). It has been observed also in other 254 studies [21, 27] that survey data differ from the experimental WBE estimations and the 255 official sales data i.e., up to 50% differences [21]. In the first case, the differences between 256 survey data and WBE estimates may be attributed to the distinct parameters being compared, 257 prevalence versus NIC absorbed. These parameters do not necessarily need to go hand-by-258 hand since, for instance, a large proportion of heavy smokers can account for high NIC 259 consumption levels but relatively low prevalence, and the other way around. On the other 260 hand, the differences between sales data and WBE estimates can derive from tobacco 261 purchases not actually being consumed.

262 **3.4 Tobacco consumption, related diseases, and socioeconomic factors**

Thirteen variables related with health condition and lifestyle were tested for correlations with tobacco consumption (WBE estimates of NIC) in the different regions assessed. These variables were created using data from governmental entities (see section 2.6) and included death and unemployment rates, educational level (divided in three variables, primary studies or less, secondary studies, and university studies), mean age, average economic family 268 income and annual rates (number per million of inhabitants) of diagnosis of: nicotine 269 addiction, heart stroke, lung and oral cancer, chronic bronchitis, and COPD. All these 270 variables, except the number of bronchitis diagnosis were normally distributed (p>0.05)271 (Table S6). Thus, a *Pearson*'s correlation test was performed for the normal variables, and 272 correlations with bronchitis were evaluated through a Spearman's rank test. Table 2 shows 273 the correlation coefficients obtained for weighted average NIC consumption and each of the 274 socioeconomic factors tested. NIC consumption was only correlated with the educational 275 level (in the category of primary studies or less) showing a negative correlation (r(5)=-0.773, 276 p=0.041), which means that the higher the ratio of inhabitants with a low educational level, 277 the lower the NIC daily loads measured in the studied regions (Figure 3). This correlation 278 could, however, be biased by the low consumption value obtained in Galicia (which, on the 279 other hand, is only represented by one city). In fact, if Galicia is excluded from this 280 calculation, this negative correlation would not be statistically significant, meaning that these 281 data are not conclusive. None of the other variables showed a statistically significant 282 correlation with NIC consumption. The correlation between these variables and NIC 283 consumption derived from the official sales and prevalence data (EDADES and ENSE 284 surveys) was also evaluated, and no significant correlations were found (data not shown).

285 **3.5 Uncertainties in tobacco estimates**

There are already several publications discussing the uncertainties associated to WBE derived calculations [1, 35]. These include contributions from population estimations, stability of the selected biomarkers, sampling strategy, back-calculations, and analytical measurements. In this work, we have used different population estimation methods, since each WWTP responsible entity provided us with the values calculated by the method that 291 better reflected its specific casuistry. This has been considered the best practice approach 292 already adopted at international level in WBE estimation of illicit drug usage [4, 36]. Also, 293 COT and OH-COT have been proven to be stable in lab-scale experiments simulating a sewer 294 system [37]. In a real sewer, COT and OH-COT concentrations increased [38] due to the 295 (partial) deconjugation of their glucuronides. Bearing in mind that we totally deconjugated 296 both analytes enzymatically, transformation does not seem to be a major source of 297 uncertainty in this study. Within the uncertainties derived from the study design, the selection 298 of a specific sampling week in each region (avoiding festivities, etc.) could not be 299 representative of the entire year. Also, the selected regions, covering ca. 13% of the Spanish 300 population, could not be representative of the whole country. To minimize this latter aspect, 301 regions with a wide range of population densities were included (i.e Community of Madrid and Castile-La Mancha have population densities of 830 and 25.5 inh./Km², respectively). 302 303 Monte Carlo simulations indicate, however, that such aspects play a minor effect in the 304 overall uncertainty of WBE-derived tobacco estimates [39]

305 A relevant aspect is, however, the amount of NIC absorbed by the human body for each 306 tobacco unit, which differs within the published methods, as explained in section 3.3. Thus, 307 working with the different reported values (from 0.8 to 1.25 mg) the variability could be up 308 to 40%. There is no a simple method to calculate this parameter, hence the variability in the 309 figures used by various authors [8, 9, 20, 21, 23, 25-27]. This value depends on the NIC 310 content of the tobacco brands consumed in each country, the style of each individual 311 smoking, etc. In fact, the Monte Carlo simulation study by Wang et al. [39] concluded that 312 this is the factor related to a higher degree of uncertainty, followed by the variability of 313 excretion rates of the metabolites. For this reason, we decided to use the values obtained

314 using smoking machines and the reported by brands sold in Spain, which agreed quite 315 accurately. As regards excretion ratios, the pioneer publication by Castiglioni et al. [8] used 316 a correction factor based on the sum of COT and OH-COT masses, which is not completely 317 correct and was based on a single publication [40]. Conversely, we used the correction factors 318 defined in Rodríguez-Álvarez et al. [9] by using the data compiled by Hukkanen et al. [29] 319 who reviewed 9 different publications. At the same time, we performed calculations on each 320 of the metabolites independently (and the averaged them) which allows to check 321 discrepancies between both biomarker estimates. As shown in 3.1, the ratio between both 322 metabolites in wastewater is close to that proposed in [29] and [9] which provides further 323 confidence and minimizes the contribution of excretion rates to overall uncertainty.

324 A further source of uncertainty in tobacco estimation from NIC metabolites is contribution 325 due to the usage of NRT products (e.g. patches, chewing gum, etc.) or electronic cigarettes 326 with NIC [21]. There are, however, no statistics about NRT products in Spain, while a 327 question about electronic cigarettes has only been included in the last EDADES survey [31]. 328 This survey reflected that 0.7-1.4 % of the (15-65 years old) Spanish population use them on 329 a daily basis, from which 58.6% use electric cigarettes with NIC cartridges and 14.5% 330 alternate NIC-containing and non-containing cartridges. Therefore, the prevalence of NIC 331 consumption through this type of products is ca. 1%, compared to the reported prevalence of 332 tobacco consumption (34.0%) in the same survey.

There is in general a good agreement, if sales and WBE figures are considered at an overall scale. Yet, traditional survey methods generally tend not to agree between them. This points out the fact that different figures are reached from different indicators, and that it is necessary to have several sources of tobacco estimations to get the most accurate picture.

4. Conclusions

338 The results estimated in this study of NIC consumption using both metabolites (COT and 339 OH-COT) are comparable, with no specific trend of consumption observed between the 340 different days of the week. The results of tobacco use differ between the different cities and 341 regions, but they are not correlated with the results of prevalence of consumption reflected 342 in the official surveys. However, these estimated values are a better approximation to the 343 actual consumption reflected by the official sales than the prevalence records taken from the 344 different national surveys, since the available surveys do not correlate with sales nor between 345 them. No clear correlation has been found between tobacco use in the different Spanish 346 regions and the prevalence of related diseases or the socio-economic characteristics. This 347 study demonstrates that the WBE approach is a fast and relatively inexpensive approach to 348 accurately estimate tobacco consumption and to guide public health policies.

349

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365	Captions
366	Supplemental Electronic Material
367 368	Figure S1 : Scatter plot that shows the relationship between COT and OH-COT concentrations ($r(110)=0.961$, $p<0.001$)
369	Figure S2: Overall NIC daily loads by day of the week.
370	Figure S3: Representation of prevalence data from EDADES vs ENSE surveys
371	(<i>r</i> (5)=0.673, p>0.05)
372	
373	Table S1: WWTP details and sampling conditions
374	Table S2: LC-MS/MS instrumental parameters
375	Table S3: Average measured concentration levels of COT and OH-COT by WWTP
376	Table S4: Daily NIC consumption estimated in each WWTP.
377	Table S5: Significance (p) of the HSD Tukey multiple (pair-wise) comparisons for NIC
378	loads g/(day 1000 inh) in each WWTP.
379	Table S6: Results of K-S normality test for data on socio-economic [15] and health
380	condition [33].

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382 Tables:

- Table 1: Comparison of NIC consumption estimated from WBE and official sales data byregion.
- Table 2: Data on socio-economic [15] and health condition [33] by region and correlationwith WBE-measured NIC consumption.

387 Figures:

- 388 Figure 1: Map of Spain summarizing the WWTPs covered and estimated NIC consumption.
- 389 N.B.: two WWTPs were sampled in Palma de Mallorca, but they were considered as one for
- 390 calculations (see details in section 2.2)
- 391 Figure 2: Representation of daily NIC loads vs prevalence data from (a) EDADES and (b)
- 392 ENSE surveys.
- 393 Figure 3: Graphical representation of NIC consumption *vs* educational level (primary or less).

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		Year 2018		
Region	Boxes of 20 cigarretes sold per year ⁽¹⁾	Region population (inh.) ⁽²⁾	Sales derived NIC consumption g/(day1000inh.)	WBE derived NIC consumption g/(day1000inh.) ^(3,4)
Galicia	125587069	2701743	2.0	1.4±0.3 ^(a)
Basque Country	117312935	2199088	2.3	$2.8 \pm 0.4^{(d)}$
Community of Madrid	285251875	6578069	1.9	2.0±1.2 ^(b)
Castile-La Mancha	101741005	2026807	2.2	$2.3 \pm 0.6^{(b,c)}$
Catalonia	420229481	7600065	2.4	$2.6{\pm}0.5^{(b,c,d)}$
Valencian Community	276757572	4963703	2.4	2.1±0.5 ^(b,c)
Balearic Islands	88262042	1128908	3.4	2.3±0.6 ^(b,c)
Total analyzed regions	1415141979	27198383	2.3	2.2±0.7
Total Spain	2231204734	46693630	2.1	

Table 1: Comparison of NIC consumption estimated from WBE and official sales data by region.

⁽¹⁾ Data taken from the Spanish Tobacco Market Comission [30]
 ⁽²⁾ Data taken from the Spanish National Institute of Statistics [15]
 ⁽³⁾ Population-weighted average
 ⁽⁴⁾ Same letter codifies homogeneous groups after *HSD Tukey post-Hoc* test.

	Galicia	Basque Country	Community of Madrid	Castile- La Mancha	Catalonia	Valencian Community	Balearic Islands	Correlation. NIC consumption
D_{a} and D_{b} and D_{b	12.0	10.0	7.0	0.(0.0	0.2	7 1	(1,2)
Death rate(%) year 2018	12.0	10.0	/.0	9.0	0.0	9.2	/.1	-0.300
Unemployment rate (%) year 2018	13.3	9.9	11.5	15.6	12.2	18.2	11.7	-0.315
Education level (%) Primary or less	23.8	17.2	21.0	17.9	14.5	23.7	16.3	-0.773*
Education level (%) Secondary	49.3	44.5	47.0	54.4	47.3	54.5	59.0	-0.166
Education level (%) University	27.0	38.2	32.0	27.7	38.2	21.7	24.6	0.564
Mean age (years) 2018	47.0	45.3	42.7	43.2	42.2	42.8	41.3	-0.432
Average economic family income (€/year) 2018	27658	35049	32763	25207	33055	24401	34007	0.495
Hospital admissions any diag."nicotine addiction" year 2017 (n°/million inh.)	8367	6849	4900	8052	7936	9789	7422	-0.145
Hospital admissions any diag."heart stroke" year 2017 (n ^o /million inh.)	729	469	522	405	1155	699	506	-0.002
Hospital admissions any diag."lung cancer" year 2017 (n ^o /million inh.)	1116	1068	1604	1340	1296	1690	1613	-0.066
Hospital admissions any diag."oral cancer" year 2017 (nº/million inh.)	8.1	10.8	17.0	7.0	6.0	9.8	10.5	-0.088
Hospital admissions any diag."bronchitis" year 2017 (nº/million inh.)	24.2	15.3	12.1	12.3	131.4	59.7	24.6	0.290
Hospital admissions any diag."COPD" year 2017 (n°/million inh.)	6141	5604	6700	6211	6485	6067	7352	-0.128

Table 2: Data on socio-economic [15] and health condition [33] by region and correlation with WBE-measured NIC consumption.

⁽¹⁾ Correlation coefficient between each variable and WBE-derived nicotine estimates. ⁽²⁾ Statistically significant correlations (*p*-value<0.05) are highlighted with an asterisk (*). Correlations were assessed by Pearson test for all variables except bronchitis, which was studied through the ρ -Spearman test since the data were not normally distributed

Figure 1











Highlights:

- Nicotine metabolites measured in 17 wastewater treatment plants (WWTPs)
- The nicotine consumed was estimated through wastewater-based epidemiology (WBE)
- The mean per capita nicotine consumed daily was 2.2 mg (ca. 2.8 cigarettes)
- Largest Spanish study on nicotine by WBE
- The consumption estimates through WBE are consistent with official sales data

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Supplementary electronic material to:

First nation-wide estimation of tobacco consumption in Spain using wastewater-based epidemiology

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Figure S1: Scatter plot that shows the relationship between COT and OH-COT concentrations (r(110)=0.961, p<0.001)

Figure S2: Overall NIC daily loads by day of the week.

Figure S3: Representation of prevalence data from EDADES vs ENSE surveys (r(5)=0.673, p>0.05)

Table S1: WWTP details and sampling conditions

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Table S1: WWTP details and sampling conditions

WWTP code	Region	Population served by the WWTP (inh.)	Locations/distric ts served by the WWTP ⁽¹⁾	% of main city population covered by WWTP(s) ⁽²⁾	Method used to estimate the population served ⁽³⁾	Location of autosampler	Autosampler refrigeration	Time of beginning of the sampling	Sampling mode (4)	Sampling period	Average. Flow (m³/day) ⁽⁵⁾
Santiago de Compostela	Galicia	136500	Santiago de Compostela	100%	H x 2.5	After fine screen	No	9:00	T (150 mL/10 min)	13/03/2018 - 19/03/2018	106627
Bilbao	Basque Country	860237	Bilbao, Abanto- Zierbena, Alonsotegi, Arrigorriaga, Barakaldo, Barrika, Basauri, Berango, Derio, Erandio, Etxebarri, Galdakao, Getxo, Leioa, Lezama, Loiu, Ortuella, Portugalete, Santurtzi, Sestao, Sondika, Sopelana, Trapagaran, Ugao-Miravalles, Urduliz,Zamudio, Zaratamo, Zeberio	100%	C (2016)	After pretreatment	No	8:00	T (100 mL/60 min)	17/04/2018 - 23/04/2018	263818

WWTP code	Region	Population served by the WWTP (inh.)	Locations/distric ts served by the WWTP ⁽¹⁾	% of main city population covered by WWTP(s) ⁽²⁾	Method used to estimate the population served ⁽³⁾	Location of autosampler	Autosampler refrigeration	Time of beginning of the sampling	Sampling mode (4)	Sampling period	Average. Flow (m³/day) ⁽⁵⁾
Madrid I	Gammin	727176	Madrid (Districts: Chamartín, Tetuán, Moncloa- Aravaca, Chamberí, Centro, Arganzuela, Retiro, Ciudad Lineal, Salamanca, Moratalaz, Puente de Vallecas)	30%	COD	After sieving	Yes	8:00	T (400 mL/30 min)	16/05/2018- 22/05/2018	108901
Madrid II	Community of Madrid	227869	Madrid (Districts: Chamartín, Tetuán, Moncloa- Aravaca, Fuencarral-El Pardo, Pozuelo de Alarcón, Las Rozas, Majadahonda)		BOD	After fine screen	Yes	8:00	T (100 mL/60 min)	20/06/2018- 26/06/2018	43563
Móstoles		187281	Móstoles, Alcorcón, Fuenlabrada (all served also by other WWTPs)	90%	H x 3.5	After fine screen	Yes	8:00	T (100 mL/60 min)	17/05/2018- 23/05/2018	26891

WWTP code	Region	Population served by the WWTP (inh.)	Locations/distric ts served by the WWTP ⁽¹⁾	% of main city population covered by WWTP(s) ⁽²⁾	Method used to estimate the population served ⁽³⁾	Location of autosampler	Autosampler refrigeration	Time of beginning of the sampling	Sampling mode (4)	Sampling period	Average. Flow (m³/day) ⁽⁵⁾
Guadalajara	Castile-La	94755	Guadalajara	100%	BOD	Before fine screen	No	10:00	T (200 mL/60 min)	02/05/2018- 08/05/2018	29490
Toledo	Mancha	79793	Toledo	100%	BOD	After sieving	No	8:00	T (100 mL/15 min)	17/04/2018- 23/04/2018	14017
Barcelona		1163154	Barcelona, Cervelló, Cornellà de Llobregat, Esplugues de Llobregat, El Prat de Llobregat, El Prat de Llobregat, San Llobregat, San Joan Despí, San Just Desvern	35%	C (2017)	Mechanical bar screens	Yes	9:00	T (50 mL/10 min)	14/03/2018- 20/03/2018	270672
Lleida	Catalonia	143612	Lleida , Alpicat	100%	C (2017)	Before fine screen	No	6:00	T (200 mL/60 min)	07/03/2018- 13/03/2018	42264
Reus		115000	Reus, Castellvell, Almoster	100%	C (2017)	After fine screen	No	20:00	F	17/04/2018- 23/04/2018	17217
Tarragona		142635	Tarragona , La Canonja, els Pallaresos	100%	C (2017)	Before fine screen	No	8:00-9:00	T (450 mL/60 min)	17/04/2018- 23/04/2018	23985

WWTP code	Region	Population served by the WWTP (inh.)	Locations/distric ts served by the WWTP ⁽¹⁾	% of main city population covered by WWTP(s) ⁽²⁾	Method used to estimate the population served ⁽³⁾	Location of autosampler	Autosampler refrigeration	Time of beginning of the sampling	Sampling mode (4)	Sampling period	Average. Flow (m³/day) ⁽⁵⁾
Valencia I		527222	Valencia		COD	After fine screen	Yes	8:00	T (100 mL/60 min)	10/04/2018- 16/04/2018	124587
Valencia II	Valencia, Albal, Alcasser, Alfafar, Benetusser, Beniparrell, Burjassot, Catarroja, Llocnou de la 1 788242 Corona, Massanassa, Mislata, Paiporta, Paterna, Picanya, Picassent, Sedaví, Silla, Torrent	100%	COD	After fine screen	Yes	8:00	T (100 mL/60 min)	10/04/2018- 16/04/2018	204014		
Valencia III		162249	Valencia metropolitan area: Alaquàs, Aldaia, Manises, Mislata, Quart de Poblet, Xirivella		COD	After fine screen	No	8:00	F	10/04/2018- 16/04/2018	29593
Castellón	-	171669	Castellón De La Plana	100%	C (2015)	Before fine screen	No	8:30	T (100 mL/15 min)	11/04/2018- 17/04/2018	34285
Palma de Mallorca I	Balearic	406492	Palma city , Palma beach, Sant Jordi, El Pil·lari, Son Sant Joan airport	100%	C (2017)	After fine screen	No	10:00	T (100 mL/15 min)	10/04/2018- 16/04/2018	45902
Palma de Mallorca II	Balearic Islands	47961	Palma city , Son Castelló, Can Valero and Son Rosinyol		C (2017)	After fine screen	No	10:00	T (100 mL/15 min)	18/04/2018- 24/04/2018	49292

WWTP code	Region	Population served by the WWTP (inh.)	Locations/distric ts served by the WWTP ⁽¹⁾	% of main city population covered by WWTP(s) ⁽²⁾	Method used to estimate the population served ⁽³⁾	Location of autosampler	Autosampler refrigeration	Time of beginning of the sampling	Sampling mode (4)	Sampling period	Average. Flow (m ³ /day) ⁽⁵⁾
			Industrial States,								
			Marratxí,								
			Esporles and								
			Bunyola								

¹ Name of the main city served by the WWTP marked in bold (some WWTPs receive wastewater from other towns included in the capital metropolitan area)

² Estimated with the total population at 1/01/2018. Source: National Institute of Statistics (INE). WWTPs serving parts of the same main city were considered together

³ C: census (year); BOD: biochemical oxygen demand; COD: chemical oxygen demand; H: number of homes connected to the sewage system

⁴ T: time proportional (volume sampled/frequency of sampling); F: flow proportional

⁵ Average week flow. For back-calculations daily flows were used.

Compound	MRM	Transition type	Capillary	Collision	Transitions ratio
	transition		voltage (V)	Energy (V)	
COT	177 < 80	Quantification	60	19	3.4 ± 0.9
	177 < 98	Qualification	60	15.5	
COT-d3	180 <80	Quantification	60	19	3.4 ± 0.9
	180 <101	Qualification	60	15.5	
OH–COT	193 <80	Quantification	56	21.5	1.4 ± 0.3
	193 <134	Qualification	56	13.5	
OH–COT-d3	196 <80	Quantification	56	21.5	1.4 ± 0.3
	196 <137	Qualification	56	13.5	

Table S2: LC-MS/MS instrumental parameters

Nebulizing (55 psi, 50°C) and drying (18 psi, 200°C) gas: N2. Ion spray voltage: 4500 V. Collision gas: Ar

	[COT]] ng/L	[OH-CO	T] ng/L
WWTP	Mean	SD	Mean	SD
Santiago de Compostela	584	154	860	262
Bilbao	3084	559	4265	587
Madrid (I)	3828	592	5814	1020
Madrid (II)	3954	844	5519	948
Móstoles	7711	1453	13283	3289
Guadalajara	2819	366	4221	664
Toledo	3149	271	4968	516
Barcelona	3675	699	5654	1099
Lleida	2110	229	3214	341
Reus	6011	584	8928	856
Tarragona	5104	1096	7288	1612
Valencia (I)	2380	546	3710	952
Valencia (II)	2985	543	4209	692
Valencia (III)	3672	634	5295	821
Castellón	4434	665	6457	1298
Palma de Mallorca	2987	591	4189	886

Table S3: Average measured concentration levels of COT and OH-COT by WWTP

	NIC g/(day	/ 1000inh.)	
WWTP	Mean	SD	HSD Tukey post-Hoc ⁽¹⁾
Santiago de Compostela	1.4	0.3	а
Bilbao	2.8	0.4	е
Madrid (I)	1.8	0.3	a,b
Madrid (II)	1.4	0.3	а
Móstoles	3.7	0.8	f
Guadalajara	2.7	0.3	e
Toledo	1.8	0.1	a,b
Barcelona	2.6	0.2	c,d,e
Lleida	2.0	0.2	a,b,c
Reus	2.8	0.2	e
Tarragona	2.6	0.5	c,d,e
Valencia (I)	1.8	0.3	a,b
Valencia (II)	2.3	0.5	b,c,d,e
Valencia (III)	2.0	0.3	a,b,c,d
Castellón	2.7	0.3	d,e
Palma de Mallorca	2.3	0.3	b,c,d,e

Table S4: Daily NIC consumption estimated in each WWTP

⁽¹⁾ Same letter codifies homogeneous groups.

	Santiago de Compostela	Bilbao	Madrid (I)	Madrid (II)	Móstoles	Guadalajara	Toledo	Barcelona	Lleida	Reus	Tarragona	Valencia (I)	Valencia (II)	Valencia (III)	Castellón	Palma de Mallorca
Santiago de Compostela		2.9E-08	7.9E-01	1.0E+00	2.3E-12	1.8E-07	9.0E-01	2.0E-06	2.7E-01	4.0E-08	2.3E-06	8.5E-01	2.3E-03	1.3E-01	4.7E-07	2.3E-03
Bilbao	2.9E-08		2.5E-04	1.7E-08	3.3E-03	1.0E+00	1.1E-04	1.0E+00	4.8E-03	1.0E+00	1.0E+00	1.7E-04	4.0E-01	1.4E-02	1.0E+00	4.0E-01
Madrid (I)	7.9E-01	2.5E-04		7.2E-01	2.6E-12	1.2E-03	1.0E+00	7.9E-03	1.0E+00	3.4E-04	8.9E-03	1.0E+00	5.5E-01	1.0E+00	2.6E-03	5.5E-01
Madrid (II)	1.0E+00	1.7E-08	7.2E-01		2.3E-12	1.1E-07	8.5E-01	1.2E-06	2.2E-01	2.4E-08	1.5E-06	7.9E-01	1.5E-03	1.0E-01	2.9E-07	1.5E-03
Móstoles	2.3E-12	3.3E-03	2.6E-12	2.3E-12		7.7E-04	2.4E-12	9.2E-05	2.0E-11	2.6E-03	8.0E-05	2.5E-12	4.7E-08	8.9E-11	3.4E-04	4.7E-08
Guadalajara	1.8E-07	1.0E+00	1.2E-03	1.1E-07	7.7E-04		5.1E-04	1.0E+00	1.8E-02	1.0E+00	1.0E+00	7.7E-04	6.8E-01	4.7E-02	1.0E+00	6.8E-01
Toledo	9.0E-01	1.1E-04	1.0E+00	8.5E-01	2.4E-12	5.1E-04		3.8E-03	1.0E+00	1.4E-04	4.3E-03	1.0E+00	4.0E-01	1.0E+00	1.2E-03	4.0E-01
Barcelona	2.0E-06	1.0E+00	7.9E-03	1.2E-06	9.2E-05	1.0E+00	3.8E-03		8.5E-02	1.0E+00	1.0E+00	5.5E-03	9.4E-01	1.9E-01	1.0E+00	9.4E-01
Lleida	2.7E-01	4.8E-03	1.0E+00	2.2E-01	2.0E-11	1.8E-02	1.0E+00	8.5E-02		6.2E-03	9.3E-02	1.0E+00	9.6E-01	1.0E+00	3.5E-02	9.6E-01
Reus	4.0E-08	1.0E+00	3.4E-04	2.4E-08	2.6E-03	1.0E+00	1.4E-04	1.0E+00	6.2E-03		1.0E+00	2.2E-04	4.5E-01	1.8E-02	1.0E+00	4.5E-01
Tarragona	2.3E-06	1.0E+00	8.9E-03	1.5E-06	8.0E-05	1.0E+00	4.3E-03	1.0E+00	9.3E-02	1.0E+00		6.2E-03	9.5E-01	2.0E-01	1.0E+00	9.5E-01
Valencia (I)	8.5E-01	1.7E-04	1.0E+00	7.9E-01	2.5E-12	7.7E-04	1.0E+00	5.5E-03	1.0E+00	2.2E-04	6.2E-03		4.7E-01	1.0E+00	1.7E-03	4.7E-01
Valencia (II)	2.3E-03	4.0E-01	5.5E-01	1.5E-03	4.7E-08	6.8E-01	4.0E-01	9.4E-01	9.6E-01	4.5E-01	9.5E-01	4.7E-01		1.0E+00	8.1E-01	1.0E+00
Valencia (III)	1.3E-01	1.4E-02	1.0E+00	1.0E-01	8.9E-11	4.7E-02	1.0E+00	1.9E-01	1.0E+00	1.8E-02	2.0E-01	1.0E+00	1.0E+00		8.5E-02	1.0E+00
Castellón	4.7E-07	1.0E+00	2.6E-03	2.9E-07	3.4E-04	1.0E+00	1.2E-03	1.0E+00	3.5E-02	1.0E+00	1.0E+00	1.7E-03	8.1E-01	8.5E-02		8.1E-01
Palma de Mallorca	2.3E-03	4.0E-01	5.5E-01	1.5E-03	4.7E-08	6.8E-01	4.0E-01	9.4E-01	9.6E-01	4.5E-01	9.5E-01	4.7E-01	1.0E+00	1.0E+00	8.1E-01	

Table S5: Significance (p) of the HSD Tukey multiple (pair-wise) comparisons for NIC loads g/(day 1000 inh) in each WWTP.

Significant differences at 95% confidence level marked in bold

	N	D	P1
Death rate(%) year 2018	7	0.166	0.200
Unemployment rate (%) year 2018	7	0.210	0.200
Education level (%) Primary or less	7	0.200	0.200
Education level (%) Secondary	7	0.189	0.200
Education level (%) University	7	0.205	0.200
Mean age (years) 2018	7	0.275	0.118
Average economic family income (€/year) 2018	7	0.282	0.098
Hospital admissions any diag."nicotine addiction" year	7		
2017 (nº/million inh.)		0.166	0.200
Hospital admissions any diag."heart stroke" year 2017	7		
(nº/million inh.)		0.250	0.200
Hospital admissions any diag."lung cancer" year 2017	7		
(nº/million inh.)		0.233	0.200
Hospital admissions any diag."oral cancer" year 2017	7		
(nº/million inh.)		0.257	0.178
Hospital admissions any diag."bronchitis" year 2017	7		
(nº/million inh.)		0.352	0.009
Hospital admissions any diag."COPD" year 2017	7		
(nº/million inh.)		0.181	0.200

Table S5: Results of K-S normality test for data on socio-economic [15] and health condition [33].

¹Lilliefors significance correction