

Elucidating the real-time Ag nanoparticle growth on α -Ag₂WO₄ during electron beam irradiation: experimental evidence and theoretical insights†

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Why and how Ag is formed when electron beam irradiation takes place on α -Ag₂WO₄ in a vacuum transmission electron microscopy chamber? To find an answer, the atomic-scale mechanisms underlying the formation and growth of Ag on α -Ag₂WO₄ have been investigated by detailed *in situ* transmission electron microscopy (TEM) and field emission scanning electron microscopy (FE-SEM) studies, density functional theory based calculations and *ab initio* molecular dynamics simulations. The growth process at different times, chemical composition, size distribution and element distribution were analyzed in depth at the nanoscale level using FE-SEM, operated at different voltages (5, 10, 15, and 20 kV), and TEM with energy dispersive spectroscopy (EDS) characterization. The size of Ag nanoparticles covers a wide range of values. Most of the Ag particles are in the 20–40 nm range. The nucleation and formation of Ag on α -Ag₂WO₄ is a result of structural and electronic changes in the AgO_x ($x = 2, 4, 6, \text{ and } 7$) clusters used as constituent building blocks of this material, consistent with metallic Ag formation. First principle calculations point out that Ag-3 and Ag-4-fold coordinated centers, located in the sub-surface of the (100) surface, are the most energetically favorable to undergo the diffusion process to form metallic Ag. *Ab initio* molecular dynamics simulations and the nudged elastic band (NEB) method were used to investigate the minimum energy pathways of these Ag atoms from positions in the first slab layer to outward sites on the (100) surface of α -Ag₂WO₄. The results point out that the injection of electrons decreases the activation barrier for this diffusion step and this unusual behavior results from the presence of a lower energy barrier process.

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1. Introduction

An important branch of modern science is devoted to the study of a quantum phenomenon associated with the interaction of electron irradiation and electromagnetic radiation with matter. Predicting the response of a material to the passage of electrons and waves is a very challenging problem. The understanding of

spatial scales of atoms and chemical bonds and of temporal scales of electron and nuclear motion has gained increasing sophistication through the development of precise photon and electron probes. The physical and chemical properties of a material are determined by the type of motion its electrons are allowed to execute. Therefore, the ultimate goal is to resolve the fundamental temporal and spatial scales of electron and nuclear motion.

A free electron exerts a force on a nanostructure as it approaches. At long distances this is primarily a Coulomb type interaction but when the electron enters the region of charge density it becomes indistinguishable from the nanomaterial electrons so that additional quantum mechanical exchange interactions become effective. The irradiation of electrons induces the presence of hot (unbound or unconfined) electrons that are not quantized and can thus absorb any amount of energy, modifying the physical and chemical properties of the material. Despite the importance of this phenomenon, the fundamental physical picture is still unclear, and an understanding of the electronic rearrangement processes is mandatory.

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1 Transmission electron microscopy (TEM) and field emission
scanning electron microscopy (FE-SEM) are well recognized
techniques that provide unique capabilities for *in situ* imaging
and control of nanoscale phenomena.^{1–5} They provide, at the
5 same time, morphological and crystallographic information
such as the size and shape of the nanoparticles, the crystal-
lographic phase, the arrangement of atoms in the specimen,
and their degree of order.^{6–9} The effects introduced with an
electron beam of a TEM are directly analyzed from the pro-
10 duced TEM images, and the analysis on the scattering of the
electrons that exit the back surface of the specimen provides
much microstructure/electronic structure information on the
samples based on a variety of electron–solid interaction
mechanisms. Therefore, the direct observation of the structures
15 is a powerful scientific tool in material science and provides
dynamic information on the solid surface, which cannot be
obtained directly by other *in situ* techniques.⁷

Different research groups have utilized the electron beam to
promote the chemical precipitation of nanoparticles from
20 solution,^{2,10–12} and to induce the deposition of metals and
semiconductors from various precursors.^{13–15} The role of the
electron beam in affecting the chemical reactions has been
analyzed.^{2,16} A wide variety of metal nanoparticles with different
morphologies have also been synthesized and characterized by
25 electron microscopy.^{17–25} Some reviews of these syntheses and
related processes involving electron energy loss experiments
have been published.^{26,27} In these studies an electron beam is
used for structural and morphological characterization, and can
be used to carry out dynamic *in situ* experiments.²⁸ In particular,
30 the development of liquid cells for TEM has enabled dynamic
information about nanosystems and the direct observation of
nanoparticle growth in a liquid phase.^{1,29,30}

The effect *in vacuo* is quite different since the liquids have a
strong influence on their physical and chemical properties.
35 During imaging, the electron beam interacts with the sample,
and different research groups have reported on nanoscale
crystallization and growth processes taking place only in the
beam's presence.^{2,10,12,16,30–32} Specifically, plasmonic noble
metal nanocrystals have recently been found to enhance a
40 number of chemical reactions due to localized surface plasmon
resonances.^{33–45} However, the nature of the mechanism of the
plasmonic driven chemistry in the presence of hot electrons or
charged species and the concurrent photoluminescence proper-
ties over plasmonic particles are not well understood.^{33,37,46,47}

This investigation's motivation essentially arises from the
discovery of an unwanted real-time *in situ* nucleation and
growth of Ag filaments on α -Ag₂WO₄ crystals which was driven
by an accelerated electron beam from an electronic microscope
under high vacuum.^{48,49} This is the first example of such
50 studies in the scientific literature and it has become a major
topic in current research. It is a novel example of dynamic
single crystals where electron irradiation induces macroscopic
mobility presenting a visually appealing demonstration of their
potential as a bactericide and in photoluminescent applica-
55 tions,^{50,51} as well as their application as an efficient ozone
sensor.⁵² But, they also provide a unique opportunity to explore

the mechanistic link between collective atomic processes and
their consequences at a macroscopic level. This phenomenon is
of an electron-driven nature. The key difference in this
approach is the use of an electron beam of TEM for growing
Ag nanoparticles rather than for optical or electromagnetic
5 erasing as is the case in the plasmon effect. The knowledge of
the driving force and origin of this phenomenon is of utmost
importance.

An electron beam was used to grow Ag nanoparticles from
 α -Ag₂WO₄ crystals. TEM and field emission scanning electron
10 microscopy (FE-SEM) were used to study these materials. The
growth process (at different times), chemical composition, size
distribution and element distribution were analyzed in depth at
the nanoscale level using FE-SEM, operated at different voltages
(5, 10, 15, and 20 kV), and TEM with energy dispersive spectro-
15 scopy (EDS) characterization. First principle calculations are
essential in understanding the experimental observations and
for gaining a more complete understanding of this intricate
mechanism at atomic levels. First principles density functional
theory (DFT) calculations have been carried out to obtain a better
20 understanding of the processes that occur during the initial
stages of Ag nanoparticle formation. This present work can be
considered a combination of experimental and theoretical stud-
ies based on the results obtained by experimental techniques
and calculations carried out using the DFT method in order to
25 provide a deeper understanding of atomic and electronic struc-
tures associated with the formation of Ag, which was followed by
a subsequent nano- to micro-scale growth process.

The research discussed in the present study provides a
convenient example of a “complex modeling paradigm”, intro-
30 duced by Billinge and Levin,⁵³ as an appropriate procedure for
combining the results of multiple experimental methods and
theory in a self-consistent computational framework leading to
a greater understanding of this process. Four sections complete
this article. The Experimental procedure details are presented
35 in Section 2. The Computational details are given in Section 3.
In Section 4, Experimental and theoretical results are presented
and discussed in detail. A brief summary and the main con-
clusions will be offered in the final section.

2. Experimental procedure

Synthesis of α -Ag₂WO₄

α -Ag₂WO₄ microcrystals were prepared at 358 K for 30 min by a
45 simple precipitation method without the use of any surfactant.
The typical synthesis procedure of α -Ag₂WO₄ crystals is
described as follows: 1×10^{-3} mol of tungstate sodium
dihydrate (Na₂WO₄·2H₂O; 99.5% purity, Sigma-Aldrich) was
dissolved in 5 mL of deionized water and 2×10^{-3} mol of
50 silver nitrate (AgNO₃; 99.8% purity, Sigma-Aldrich) was dis-
solved in 95 mL of deionized water at 358 K. The tungstate
solution was added to the silver solution with stirring. The
resulting suspensions were washed with deionized water and
acetone several times to remove remaining ions and dried at
55 60 °C for 12 h.

1 Experimental characterization of α -Ag₂WO₄

The α -Ag₂WO₄ crystals were structurally characterized by X-ray diffraction (XRD) patterns using a diffractometer model LabX XRD-6000 (Shimadzu[®], Japan) with Cu-K α radiation ($\lambda = 1.5406$ Å) in the 2θ range from 10° to 70° with a scanning velocity of 1° per min. The shapes, sizes, visualization of Ag filaments and element distribution of the α -Ag₂WO₄ microcrystals were observed using a FEG-SEM model Supra 35-VP, Carl Zeiss, Germany operated at 5, 10, 15 and 20 kV, and a TEM operating at 200 kV and an EDS, model Tecnaï G2TF20, FEI.

3. Computational details

Periodic DFT based calculations were performed using the VASP code.^{54–56} The valence electrons are described using a plane wave basis set and the effect of the inner cores on the valence electron density is taken into account using the projector augmented wave (PAW) method.^{57,58} The valence states that are explicitly included in the calculation are 5d and 6s (6 electrons) for W, 4d and 5s (11 electrons) for Ag atoms, and 2s and 2p (6 electrons) for O atoms. The rest of the electrons are considered as core electrons and their densities are kept frozen as in the reference used to extract the PAW potential. A kinetic energy cutoff of 460 eV for the plane wave expansion is used for all systems, which is adequate to obtain total energies converged to at least 1 meV per atom.

The Brillouin zone was sampled using the Monkhorst–Pack method and at different k -point grids according to the system size. The number of k -points was increased for the analysis of the electronic structure with respect to the geometry optimization process and the tetrahedron method with Blöchl corrections⁵⁹ was also used. All calculations were non-spin-polarized. The generalized gradient approximation (GGA) in the Perdew–Burke–Ernzerhof (PBE) formulation was used for the electron exchange and correlation contribution to the total energy.^{60,61} The conjugated gradient (CG) energy minimization method was used to obtain relaxed systems. Atoms are considered to be fully relaxed when the Hellmann–Feynman forces converged to less than 0.005 eV per Å per atom.

Ab initio molecular dynamics simulations were performed in selective cases in the canonical ensemble at 300 K. The time step was 3 fs, and a typical simulation spanned about 3 ps. The nudged elastic band (NEB) method was used to investigate the minimum energy pathways of the Ag atoms from positions in the first slab layer to outward sites on the nanoparticle surface. Both the initial and end point configurations were previously optimized. Then intermediate configurations were generated by a linear interpolation between the initial and end points. Finally, the intermediate configurations were relaxed under the constraint that the ions were connected by springs to keep them equidistant from neighboring configurations. Details of the NEB method are described by Jonsson *et al.*⁶²

4. Results and discussion

Fig. 1 shows the XRD patterns. All of the diffraction peaks of samples can be assigned to the orthorhombic α -Ag₂WO₄ crystals

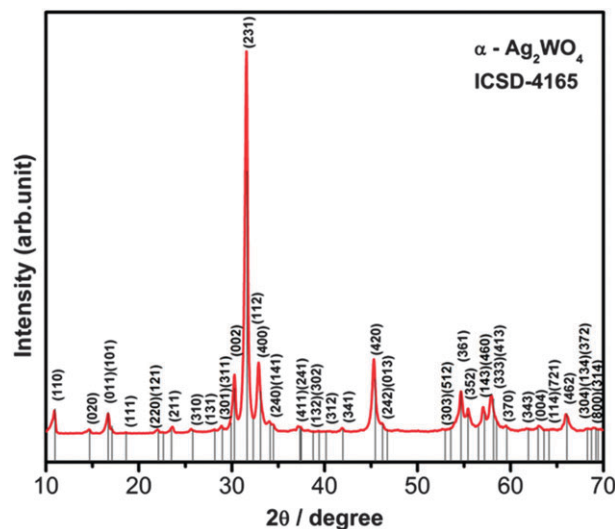


Fig. 1 XRD pattern of an α -Ag₂WO₄ sample.

with a space group $Pn2n$ (JCPDS card No. 4165), and the strong diffraction peaks revealed crystallinity. The sample was totally monophased α -Ag₂WO₄, in good agreement with previous observations in neutral^{63–66} or slightly alkaline⁶⁷ media. In α -Ag₂WO₄ crystals, Ag ions can display different AgO_x clusters corresponding to type-coordination and synergistic coordination modes in order to facilitate the formation of several clusters with low and high coordination numbers ($x = 2, 4, 6$ and 7).

The TEM image in Fig. 2 clearly demonstrates the formation of Ag nanoparticles on α -Ag₂WO₄ microrods at different times (from 0 to 5 minutes). Some nanoparticles assemble into flower-like aggregates. The rod surface is observed to be smooth.

Fig. 3(A–H) shows a FE-SEM image of the crystals that were obtained after a rapid approach and focus adjustment (time zero) at 5, 10, 15 and 20 kV, during the growth of Ag when stimulated by the electron beam on the α -Ag₂WO₄ surface. Further information on the Ag growth process can be found in the ESI.†

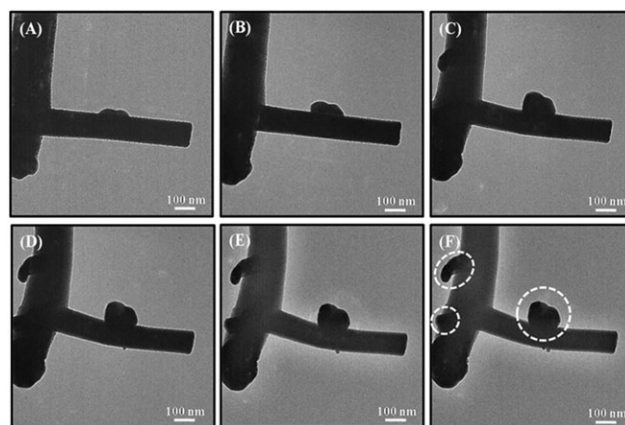


Fig. 2 TEM images of an α -Ag₂WO₄ sample obtained at (A) time zero, (B) 1 min, (C) 2 min, (D) 3 min, (E) 4 min and (F) 5 min of exposure to the electron beam. Ag nanoparticles appear in the middle of both microrods.

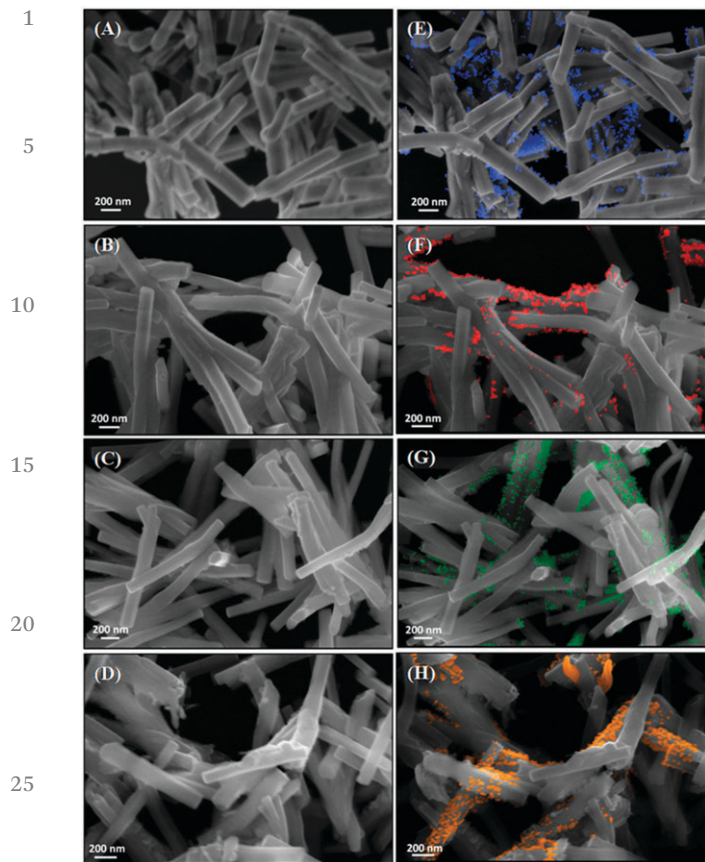


Fig. 3 Time-resolved FE-SEM images of α - Ag_2WO_4 operated at different voltages (A and E) 5 kV, (B and F) 10 kV, (C and G) 15 kV, and (D and H) 20 kV. A–D images were obtained at zero time while E–H images are displayed after 5 min of exposure to the electron beam.

This phenomenon occurs after a few seconds of exposure. An analysis of the images reveals increasing formation of Ag nanoparticles on the surface with an increase in voltage. The size distribution of the Ag nanoparticles at different voltages for 5 minutes has been obtained and the results are displayed in Fig. 4. Different configurations can be formed during the rapid growth of Ag nanoparticles generating Ag vacancy defects, which can be responsible for the different average particle size distributions. An analysis of the results shows two important facts. The first is related to the distribution range that increases with the increase in time and the second is that the nanoparticle size increases with an increase in voltage.

In this typical semiconductor, the electronic structure of the α - Ag_2WO_4 microcrystal is governed by both inter-clusters (intermediary range) and intra-clusters (local range) of the cluster constituents of this material, AgO_2 , AgO_4 , AgO_6 , and AgO_7 , and the electron-hole pairs are produced in these clusters. When the electrons hit the surface, the oxidation of stoichiometric Ag_2WO_4 into non-stoichiometric $\text{Ag}_{2-x}\text{WO}_4$ can accelerate the generation of LSPRs.^{68,69} All of these LSPRs are based on the tunable hole concentration induced by the Ag vacancies in the Ag_2WO_4 surface. This perspective addresses the exciting fundamental opportunities arising from the plasmon resonances

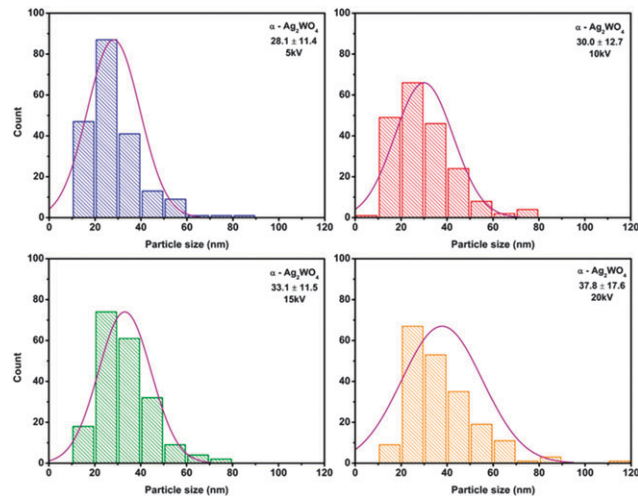


Fig. 4 Mean particle diameter distribution at 5 minutes of electron beam exposure for different voltages. Violet curves are normal fits.

of semiconductors. The LSPR can be considered, in the most general sense, as an optical signature of any arbitrary collection of charge carriers. It is not essential for the arbitrary collection of charge carriers. It is not essential for these charges to have purely free character.^{20,70–72}

Fig. 5 illustrates the TEM images with EDS characterization. The composition results are shown in Table 1. Therefore, the end of the region that emerged due to the electron exposure (point 1) confirmed the composition of 100% Ag in relation to W. It is possible to see the crystallographic planes revealing the Ag crystallinity. The region near the interface, point 2, is composed of about 92.5% Ag and 7.5% W; while, the internal

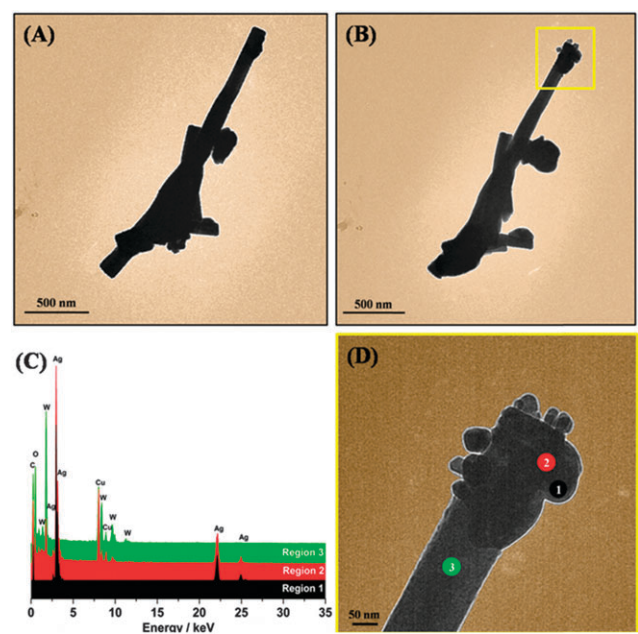


Fig. 5 TEM images of α - Ag_2WO_4 at (A) time zero and (B) after 5 minutes of exposure. (C) EDS spectra of the three regions, corresponding to points 1, 2 and 3, respectively, are depicted in (D).

1 **Table 1** Ag and W relationship shown by EDS

Points	Ag (%)	W (%)
1	100	0
2	92.50	7.49
3	3.1	96.9

region, point 3, shows 96.9% W. These results reinforce the fact that the Ag atoms come from the interior deep regions of the particles. This behavior induces the presence of Ag defects inside the α -Ag₂WO₄ material and this semiconductor changes from an n to a p type.

Adsorption and absorption of electrons by the semiconductor Ag₂WO₄ followed by removal of silver causes distortion and breaking of the crystal symmetry. This physico-chemical effect produces the polarization between the clusters that makes the population of electronic excited states easy. These electrons reduce [AgO_x][V'Ag · O_x] and Ag⁰, but are not energetic enough to reduce [WO₆]^x clusters. The cluster-to-cluster charge transfer in α -Ag_{2-x}WO₄ crystals containing more than one kind of cluster is characterized by excitations involving electronic transitions from one cluster to another cluster. Therefore, these clusters have favorable conditions to promote the charge transfer process from [V'Ag · O_x] clusters to [AgO_x] clusters. This electronic transition between clusters probably occurs when α -Ag_{2-x}WO₄ microcrystals with distorted clusters are able to absorb electrons with a quantum confinement effect associated with electron reduction of silver clusters.

Ag nanoparticles emerge from the structure of α -Ag_{2-x}WO₄ and xAg⁰. Collective plasmon excitations provide a connection between the semiconductor and Ag nanoparticles resulting in an increase of silver nanowire carriers, *i.e.* these emerging nanowires have a high defect density and the interactions at both intermediate and short ranges result in a dynamic equilibrium of formation of unstable clusters which leave the surface of the nanowires, forming clusters. This coupling semiconductor-metal-cluster opens the perspective of a new phenomenon of nature involving the effect of quantum confinement on plasmon resonance, *i.e.*, an optical signature nanoscale produced by charge carriers.

Bulk calculations

The α -Ag₂WO₄ bulk structure was calculated under full structural relaxation, which allowed for changes in the lattice parameters and the internal atomic positions. The calculated cell parameters were $a = 11.234$ Å, $b = 12.574$ Å and $c = 5.812$ Å which compare well with experimental values.^{45,63} α -Ag₂WO₄ crystals belong to an orthorhombic structure with a space group of $Pn2n$, with a point-group $C_{2v}^{(10)}$ symmetry.⁷³ The bulk structure is formed by clusters of [WO₆] and [AgO_y] ($y = 2, 4, 6$ and 7).

(100) surface

The difference between surface and bulk properties is linked to the role of dimensionality, and we have studied the (100) surface, which is the most stable one. This surface is modelled

using supercells with surface parameters of 11.624×12.574 Å. In order to test the reproducibility of results with respect to the slab thickness, we chose two different slab sizes of 3 and 6 layers resulting in systems with 84 and 168 atoms, respectively. The vacuum distance in this direction was set to 14 Å, which is large enough to avoid the interaction between the surface and its images. Once the supercell was created, all further relaxation processes were carried out allowing for the internal atomic positions to relax without changing the lattice parameters.

When cleaving the crystal, the coordination number of the surface atoms changes from the bulk situation. Thus, the W atoms change to a tetrahedral coordination, whereas the Ag atoms are found with coordination numbers of 4 and 6. The presence of these under-coordinated atoms modifies the electronic structure and distinguishes the surface from their bulk counterparts because of the bond contraction and bond energy elevation induced by atomic under-coordination. *Ab initio* molecular dynamics simulations of both slab models show that this oxide surface made of under-coordinated Ag atoms is suitable to undergo delicate structural rearrangements even at ambient temperature. The optimization process for both slabs leads to structures with some slight differences (see Fig. 6). However, and more importantly, the coordination number of all Ag atoms is preserved in both models, and the structural environment of each Ag atom can be considered approximately equivalent in both models. There are eight surface Ag atoms, which are arranged in four different arrangements. Ag-1 and Ag-2 are the outermost external Ag atoms and are four-fold coordinated. Ag-3 and Ag-4 are also four-fold

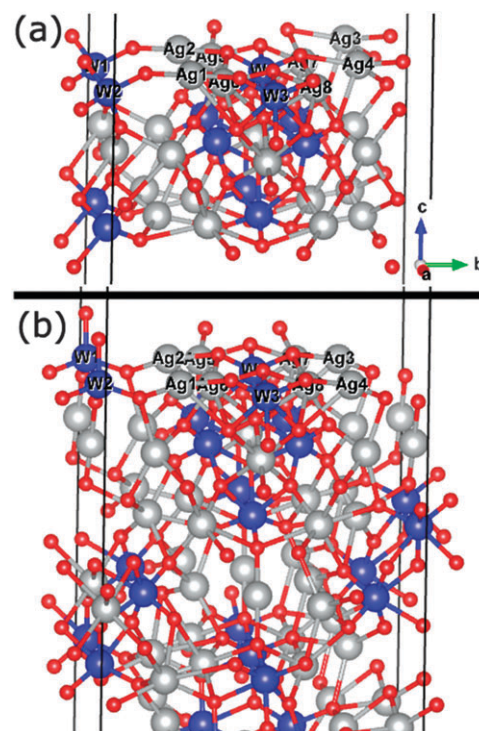
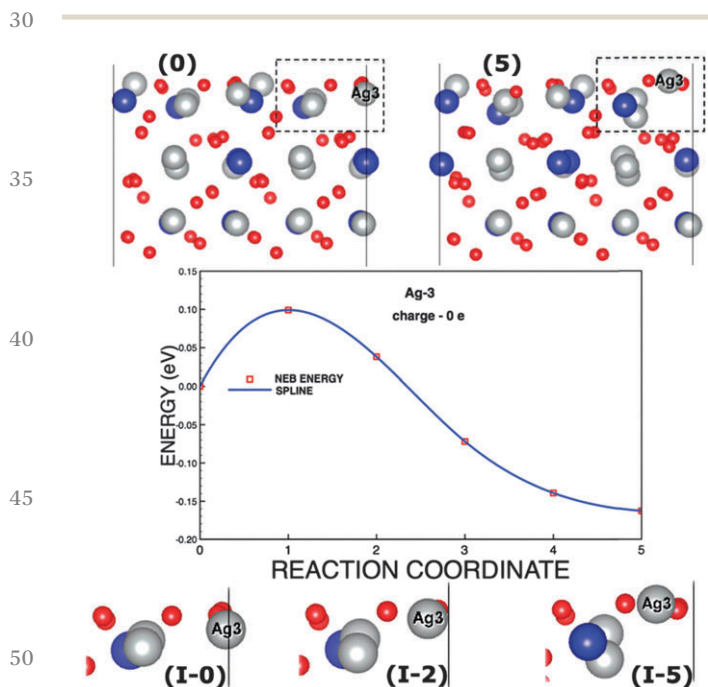


Fig. 6 Relaxed slab models for the (100) surface. (a) 3-Layer and (b) 6-layer models.

1 coordinated, but they are in more internal positions. The next
 2 Ag atoms are even further into the slab and they are all six-fold
 3 coordinated. Only the smallest supercell model was used for
 4 performing the calculations that are described next, because
 5 the use of the 6-layer model would be too computationally
 6 demanding.

7 The mechanism of surface Ag nucleation induced by the
 8 electron injection starts from the presence of Ag adatoms on
 9 the surface. These reduced adatoms diffuse from the bulk
 10 material when hit by the electron bombardment. Presumably,
 11 the outermost external atoms would be more easily removed
 12 and would initiate the process. Geometry optimization of the
 13 system was done when each Ag atom is displaced to a higher Z
 14 coordinate outside the surface. There are no stable situations
 15 for the Ag-01, Ag-02, Ag-05, Ag-06 atoms and they were put
 16 back to the original positions. Conversely, the system is energetically
 17 favored at 0.25 eV when Ag-03 and Ag-04 are in external sites. In
 18 a similar way, the system is stabilized at 0.52 eV when Ag-07
 19 and Ag-08 move outward, but a considerable surface rearrange-
 20 ment occurs simultaneously. We have computed the energy
 21 barrier for the diffusion process of Ag-03 and Ag-04 by means of
 22 the NEB method.

23 Fig. 7 shows the structures of the NEB evolution along the
 24 reaction coordinate (RC) from the initial position RC-00 (a) up
 25 to the final position RC-05 (f). Also, the energy profile along
 26 the reaction coordinate is depicted in the central plot. It cor-
 27 responds basically to the movement of the Ag atom from the
 28 relaxed surface (RC-00) to the optimized situation when the

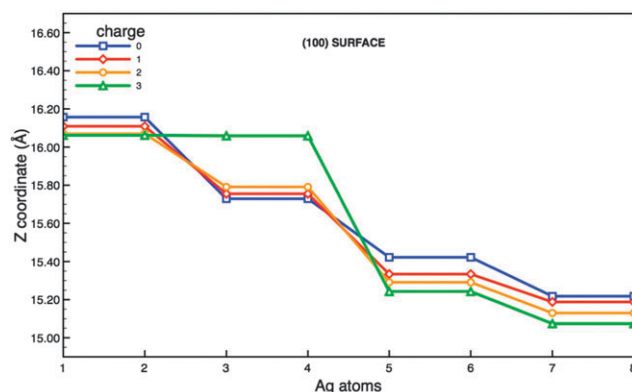


29 Fig. 7 Energy profile and structures obtained from a NEB calculation. The
 30 top two images labeled (0) and (5) are the initial and final structures,
 31 respectively. Insets of these structures and other intermediates along
 32 the reaction coordinate are labeled as (I-0), (I-5) and (I-2), respectively.
 33 The graph shows the energy profile along the reaction coordinate displaying a
 34 small barrier of 0.10 eV.

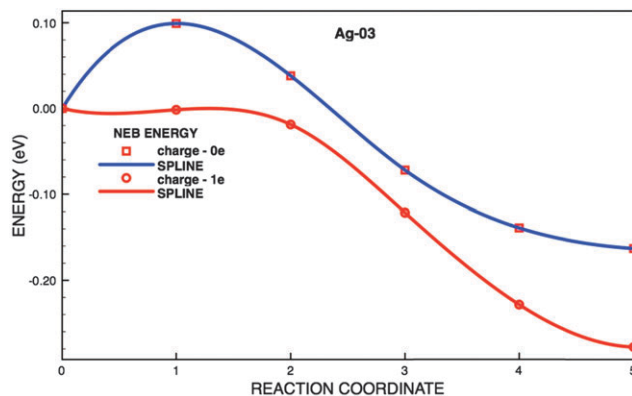
35 atom is on the outer site (RC-05), which corresponds to a
 36 displacement of 0.65 Å. It can be seen that the energy cost
 37 is only around 0.10 eV, a low energy barrier, which makes this Ag
 38 surface atom very mobile and a good candidate to exit the
 39 surface and initiate the metal nucleation process.

40 Although these Ag-3 and Ag-4 surface atoms appear to be
 41 very mobile, the charge effect provided by irradiation further
 42 helps this process. In order to verify this effect, the electron
 43 absorption process on the surface structure was also investi-
 44 gated. 1 to 3 electrons were added and relaxation of the surface
 45 clusters was analyzed. Fig. 8 shows how the Z coordinates of the
 46 surface Ag atoms change for each charge addition. It can be
 47 seen that as the number of injected electrons increases, the
 48 Ag-3 and Ag-4 atoms move outward whereas the positions of the
 49 other atoms do not significantly change.

50 This result indicates that excess electrons favor Ag-3 and Ag-
 51 4 atoms to move to the outermost external sites. To confirm
 52 this behavior, NEB calculations have been used to estimate the
 53 energy barrier for diffusion of these atoms when one electron is
 54 added into the system. Fig. 9 shows the diffusion energy profile
 55 and demonstrates that it is a non-barrier pathway. The small
 56 barrier presented (see Fig. 7) in the diffusion process (red
 57 squares) changes to a barrierless profile (red circles) upon



58 Fig. 8 Z coordinates of the surface Ag atoms for each charge addition.



59 Fig. 9 Energy profiles for the diffusion process of the Ag-03 atom in a
 60 neutral system (blue line and red squares) when one electron is added into
 61 the system (red circles).

charging the system with one electron. Therefore, these charge injection effects favor the escape of Ag atoms to form new Ag structures, nanowires and clusters as observed in the experiments presented in this article and in previous publications.^{49–52}

5. Conclusions

In this study, α -Ag₂WO₄ samples were grown using a simple co-precipitation method. How the *in situ* Ag nanoparticle growth and morphological evolution on α -Ag₂WO₄ take place during electron beam irradiation has been analyzed. These events were directly monitored in real-time using *in situ* TEM and FE-SEM spectroscopic techniques and first principle calculations to provide a deeper insight and understanding of these processes in individual nanostructures at the atomic to nanoscale levels.

This promising methodology was demonstrated based on the combination of experiments and calculations, through their application to a long-standing challenging problem, namely, the Ag nanoparticle growth and morphological evolution on α -Ag₂WO₄ during electron beam irradiation. The results of the present study can be summarized as follows: (i) the formation of Ag nanoparticles takes place on the α -Ag₂WO₄ surface after a few seconds of exposure to an electron beam and is not dependent on the electron beam voltage. (ii) The sizes of Ag nanoparticles cover a wide range of values, with most of the Ag particles being 20–40 nm in size. (iii) First principle calculations of the (100) surface, as the most stable of α -Ag₂WO₄, allow a detailed analysis of the Ag active centers. (iv) The theoretical results point out that Ag-3 and Ag-4 four-fold coordinated centers, located in the sub-surface, are the most energetically favorable to suffer the diffusion process to form metallic Ag. (v) *Ab initio* molecular dynamics simulations and NEB calculations point out that the injection of electrons decreases the activation barrier for the diffusion process of these Ag centers.

The present study has drawn a fundamental physical picture for understanding electron-enhanced activity, and the data reveal real-time insights into the activation of α -Ag₂WO₄ by the electron beam and constitutes a new example of how and where silver nanoparticles are formed by modifying the electron density and opens the door to future transformations and applications.

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