

1 Aesthetic perception of photovoltaic integration within new 2 proposals for ecological architecture

Núria Sánchez-Pantoja^a, Rosario Vidal^a, M. Carmen Pastor^b

^a Department of Mechanical Engineering and Construction, GID, Universitat Jaume I, Av. Sos Baynat s/n, 12071 Castellón, Spain.

^b Department of Psychology, Universitat Jaume I, Av. Sos Baynat s/n, 12071 Castellón, Spain.

Corresponding author: Rosario Vidal Tel: +34 964729252. Email: vidal@uji.es. Postal address: Department of Mechanical Engineering and Construction, Universitat Jaume I, Av. Sos Baynat s/n, 12071 Castellón, Spain.

3 **ABSTRACT**

4 Architecture has become an important field of research on the mitigation of climate change. The
5 literature contains a number of environmental studies of buildings, energy efficiency improvements
6 analysis and important advances have also been made by integrating renewable energies within the
7 building envelope. In architecture, however, it must be remembered that the formal aspect is as
8 important as the functional one, and therefore rating the aesthetic perception of these new technologies
9 is very interesting to better integrate more sustainable technologies in the city. This work focuses on the
10 real opinion of the citizens about the aesthetic impact resulting from the use of photovoltaic systems in
11 buildings. A survey was conducted using the Self-Assessment Manikin (SAM) to evaluate the feelings
12 through two classic dimensions of affect: hedonic valence (pleasant-unpleasant) and arousal (activation
13 or emotional intensity). Overall, results (error range of 5%) show that all the prototypes were rated
14 positively and with a medium level of arousal, although the integrated systems obtained higher values
15 in both dimensions of affect. The degree of appreciation of the installation by the observer has proved
16 to be a powerful factor. On a socio-demographic level, respondents' age was observed as an influential
17 factor in these subjective evaluations.

18 **KEY WORDS:** Photovoltaic integration, aesthetic perception, SAM, BIPV, BAPV, SDE 2014.

19 1 INTRODUCTION

20 Architecture, as a discipline, has undergone very significant changes in recent years. Apart from
21 aesthetic factors or the innovative and challenging architectural designs frequently offered by the world's
22 most renowned architects, the construction of buildings has become an important field of research
23 investigating ways to mitigate climate change. Terms such as bioclimatic design, sustainable
24 construction, energy efficiency and environment-friendly or natural materials are associated with the
25 latest advances in an architecture that strives to adapt increasingly better to a more sustainable global
26 development.

27 The literature contains studies which include environmental assessment as a means to help architects
28 in their search for materials and construction solutions that guarantee the development of buildings that
29 generate a lower environmental impact. The Life Cycle Assessment (LCA) methodology has been used
30 in a number of studies as a tool that allows the calculation of environmental impacts and, therefore, the
31 comparison of different aspects ranging from specific materials to whole buildings, including the entire
32 construction process, the use phase and even the end of life of the building (Azzouz, Borchers, Moreira,
33 & Mavrogianni, 2017; Bastos et al., 2014; Bonomo, Frontini, De Berardinis, & Donsante, 2017; Buyle et
34 al., 2013; Cabeza et al., 2014; Ghattas et al., 2013; Hemmerle & Hemmerle, 2016; Ortiz et al., 2009;
35 Ramesh et al., 2010; Sagani, Mihelis, & Dedoussis, 2017; Werner & Richter, 2007; Zabalza Bribián et
36 al., 2009, 2011). Many studies have shown that the greatest environmental impacts are produced during
37 the use phase of the building, as this is the one with the greatest energy consumption (Azzouz et al.,
38 2017; Ghattas et al., 2013; Peuportier, 2001; Ramesh et al., 2010), mainly due to the use of heating and
39 air conditioning, household appliances and lighting. It therefore follows that a significant part of the
40 research conducted focuses on reducing the impacts during this phase.

41 One possible way to lower the impact is to directly reduce the energy consumption of the building, which
42 depends on a number of factors such as the construction solutions, the climatic conditions of the area
43 where it is located and the type of installations the building is equipped with. A second way, however,
44 consists in using renewable energy sources. This has led to a significant increase in the use of
45 photovoltaic energy over the last 20 years, both in Spain and in Europe as a whole. Initially, this
46 technology was implemented in the form of "solar farms" integrated within rural areas, which
47 occasionally modified a landscape that had remained practically unaltered for years except for the

48 introduction of extensive agriculture (Torres-Sibille et al., 2009). Yet, the requirements set out in
49 increasingly more sustainable European and worldwide policies have gradually led to the presence of
50 these facilities within the urban landscape, mainly in the form of systems for capturing solar power, which
51 today generate a significant part of the European energy supply. The European Union has launched a
52 plan to create an Energy Union to ensure a safe, affordable supply while also respecting the climate.
53 The goals of this plan require that 20% of the energy must be obtained from renewable sources by 2020
54 and 27% by 2030. The advances being made in this sense are considered to be positive, as the quota
55 of renewable energy rose from 8.5% in 2005 to 14.1% in 2012, according to figures from the European
56 Commission. The scope of these goals nevertheless requires an active commitment by both the
57 industrial and the residential sectors as regards the use of renewable energies.

58 In different European countries, the building regulations demand an increasingly significant application
59 of this kind of installations, which are starting to become common features in the urban landscape. An
60 example of this is the Technical Building Code in Spain (Gobierno de España, 2013), which requires the
61 installation of photovoltaic systems in industrial buildings and thermal systems in those for residential
62 use. Hence, energy efficiency improvement systems – especially those capturing solar power – are
63 today considered just another element of buildings.

64 A great deal of research has been conducted within the field of photovoltaic technology in recent
65 decades, but its integration within urban environments has only been seen as an interesting proposition
66 in the last 5-10 years. The earliest studies basically investigated the energy potential of the installations,
67 focusing on the areas of the roofs of buildings and their capacity to house photovoltaic installations. The
68 main aim of these studies was to optimise the installations from the point of view of energy efficiency.
69 To do so, they analysed the different existing technologies and their suitability in different climates and
70 urban settings. Examples of such work include the review conducted by Makrides and collaborators (G.
71 Makrides et al., 2013; George Makrides et al., 2010, 2012; Vivar et al., 2014) or studies that analysed
72 the conditions produced in shaded areas in the urban setting (D’Orazio et al., 2013; Loulas et al., 2012).

73 One factor that is considered important in several studies is the repercussion of the economic cost of
74 installing photovoltaic systems for the whole building (Bonomo et al., 2017; Hemmerle & Hemmerle,
75 2016; Sagani et al., 2017; Yang & Zou, 2016). And a recent study even states that, in order to decide
76 whether to incorporate a PV system into the building, the main motivation is personal economic benefit

77 ahead of the contribution to environmental protection (Fleiß, Hatzl, Seebauer, & Posch, 2017). It must
78 be remembered, however, that the use of these systems in the building envelope falls within the field of
79 architecture and hence not only the efficiency and cost of the installation are important but the aesthetic
80 also plays a very important role. The acceptance of this new technology by citizens, as users of the city,
81 is today a topic of growing interest that can be an invaluable aid in designing these installations in the
82 future. The integration of photovoltaic technology in buildings has a great potential for application if it is
83 addressed as of the design phase of the building (Johnston, 2007). Conversely, installing these systems
84 at the end of the process involves a higher economic cost and results in an aesthetically less attractive
85 building. The literature contains several studies that, without analysing the users' perception at the
86 aesthetic level, do evaluate people's acceptance and even their willingness to use photovoltaic systems
87 that are integrated within the envelope (Haw et al., 2009; Radmehr et al., 2014). These studies conclude
88 that, in order to raise people's awareness regarding the use of photovoltaic technology in buildings, it is
89 necessary to find a way to apply them without upsetting the aesthetic of the façade. How the elements
90 of the installation are introduced into the building envelope is therefore of great importance.



91 *Figure 1 The Eco-House prototype exhibited at SDE 2012. BIPV systems are used in the façade and BAPV on the roof.*

92 Depending on the way the photovoltaic technology installations used in the envelope are fitted they can
93 be classified as BIPV (Building-integrated photovoltaics, which are totally integrated within the building
94 envelope) or BAPV (Building-applied photovoltaics, which are mounted upon a metallic support structure
95 on the roof of the building) (see Figure 1).

96 In the case of BAPV, no special interest is given to the aesthetic integration of the system and priority is
97 generally granted to its ability to capture solar radiation. The type of installation and its location (normally
98 on the roof) are often decided at an advanced stage of the project or even after it has finished, the
99 resulting aesthetic being less attractive and less closely linked to architectural design. The use of BIPV
100 technology, in contrast, requires technical planners to consider the installation from the initial phase of

101 the design of the building in order to achieve a good aesthetic integration within the building as whole.
102 The use of this technology is becoming more popular as the wide range of possibilities for integrating it
103 become known to architects and builders (Henemann, 2008) and some researchers and architects are
104 devoting part of their work to exhibiting the state-of-the-art of BIPV products (Cerón et al., 2013; Petter
105 Jelle, Breivik, & Drolsum Røkenes, 2012). As some authors conclude (Athienitis & Candanedo, 2010;
106 Michael et al., 2010), if special innovative designs are used, in some cases such integration could
107 require a higher initial economic investment, but other studies confirm the long-term economic
108 advantages of using BIPV systems (Portolan dos Santos & Rüther, 2012). The development of this type
109 of photovoltaic systems and their capacity for integration within architecture is an ongoing field of
110 research, both for the scientific community and for the photovoltaic industrial sector.

111 But what perception does the user have of the presence of these installations in his or her surroundings?
112 How can the industrial sector know whether its products are being accepted or not? Studies that take
113 into account the users' opinion in order to be able to evaluate the perception and their visual impact in
114 the city are lacking. Some more recent research that includes aspects related to image, perception of
115 the city or the immediate surroundings and take citizens into account (Guarachi Flores et al., 2016;
116 Strazzera & Statzu, 2017) also fail to offer any data with which to evaluate people's opinion regarding
117 the presence of these new technologies.

118 Collecting the opinions expressed by citizens and being able to consider them valuable research data
119 would require having access to a varied sample of photovoltaic systems, installed in different types of
120 buildings, so that they could be observed and evaluated by a significant sample of persons in an
121 anonymous manner. Although this appears somewhat impractical, these characteristics can be found at
122 a world-famous event that puts society in direct contact with the latest advances in photovoltaic
123 technology for residential buildings. This event is the Solar Decathlon (SD), which was held for the first
124 time in 2002 in the USA and has gone on to become, since the second edition in 2005, an important
125 biannual contest for universities. The first European version of the competition (Solar Decathlon Europe,
126 SDE) took place in Madrid in 2010 and was repeated in the same city in 2012 before moving to Versailles
127 (Paris) for the 2014 exhibition. Each edition of this contest is an interesting showcase of the latest
128 initiatives in the field of photovoltaics (Cronemberger et al., 2014).

129 This research is based on the projects presented at Solar Decathlon Europe 2014 (SDE 2014) (Figure
130 2), where 20 prototypes of solar-powered dwellings were submitted over a period of two weeks to 10
131 appraisals in order to evaluate aspects such as architecture, energy efficiency, sustainability, comfort
132 conditions and innovation. In line with the European commitment to meet the 20/20/20 challenge, two
133 main objectives were established for the SDE competitions: 1) to promote innovation and knowledge
134 generation in order to improve the energy efficiency and sustainability of buildings and towns, as well
135 as the integration of renewable energies, by transferring knowledge to the industrial domain, and 2) to
136 make use of all types of media to raise society's awareness regarding the importance of using energy
137 in a responsible way and of building together a more sustainable world (Vega Sánchez & Rodriguez
138 Ubiñas, 2014).



139

Figure 2 The Cité du Soleil, the venue where the Solar Decathlon Europe 2014 was held in Versailles, Paris. Image obtained from the official website: www.solardecathlon2014.fr/en

140 The SDE is an event that is open to all, and the most common visitor profile is that of a young person
141 with a university education who is well aware of the need to respect the environment. While the event is
142 being held, the public can visit the inside of the prototypes and receive all kinds of information about
143 them and about the way they work.

144 The aim of this study is to provide data that reflect what citizens feel when they see these “new
145 installations”, which would be part of the urban landscape seen on a day-to-day basis. This information
146 is of great interest and will enable researchers to continue to work to improve future applications. The
147 work carried out represents an important novelty as it collects data on the aesthetic perception of the
148 use of photovoltaic technology in buildings, directly from the citizens through a survey. Another
149 noteworthy novelty presented in this work is the analysis of aesthetic perception by comparing
150 prototypes of self-sufficient solar homes, which offer us a wide range of ways to integrate photovoltaic
151 energy in buildings. Therefore, the aesthetic perception was analysed for the two types of photovoltaic

152 installations that can be found in buildings, namely, BIPV and BAPV. In addition, the prototypes have
153 been categorized according to the appreciation of the installation from a street level point of view in
154 order to know the influence on the final assessment. Overall, the results obtained show a new and
155 interesting approach to the future development of sustainable building projects.

156 Since the use of these elements in the façade or the roofing of our buildings has a notable effect on their
157 aesthetic, this study aims to identify society's acceptance or non-acceptance of this technology. There
158 is widespread agreement on the fact that the application of photovoltaic technology changes the
159 appearance of the urban landscape and this change may be positive or negative (Strazzera & Statzu,
160 2017). While some see it as an opportunity to modernise the city, others – especially in historic districts
161 – are more critical about these aesthetic modifications.

162 Due to formal and design reasons, there are expected to be differences in the evaluation of the visual
163 impressions caused by (fully integrated) BIPV and (superposed) BAPV technologies. This research
164 therefore includes an analysis of the differences in the way the two types of technology are perceived
165 by citizens. By so doing it will be possible to evaluate whether, in terms of the final aesthetic effect
166 achieved, there is any justification for technicians to pay greater attention during the design phase to
167 the use and development of BIPV rather than BAPV systems, given the higher rating for the aesthetic
168 perception of the former.

169 **2 METHOD**

170 **2.1 Validity of the data and limitations**

171 Data were collected by means of two surveys: (a) one with the prototypes that included BIPV technology
172 in the envelope, and (b) one with the prototypes that used BAPV systems. Because the exhibition was
173 open to the public for two weeks and more time was needed to obtain a significant sample, some of the
174 surveys were carried out “on the spot” with the intention of later using photographs to compare and
175 validate the results obtained after the event finished. The data analysis could therefore be performed
176 using data from three experimental samples: (1) the surveys conducted in situ (BIPV-Versailles), used
177 as a reference; (2) those carried out by means of pictures of integrated systems (BIPV-images); and (3)
178 those carried out by means of pictures of superposed systems (BAPV-images).

179 Firstly, the data obtained with samples 1 and 2 were analysed to check for the existence of any
 180 similarities between them that made it possible to justify the ecological validity of the data obtained by
 181 evaluating images. Secondly, the acceptance of the prototypes was assessed by analysing the
 182 respondents' positive (pleasant) or negative (unpleasant) aesthetic perception and the degree of
 183 emotional activation (arousal). Finally, the results obtained for the prototypes with BIPV and BAPV
 184 technology were compared, and an analysis was also performed to determine the possible influence of
 185 sociodemographic variables on their aesthetic perception. The statistical software that was used to
 186 analyse the survey data was IBM SPSS Statistics.

187 Inevitably, the assessment of PV integration is, in a way, influenced by the architectural design. It must
 188 nevertheless be borne in mind that the housing design phase is a global process in which the aesthetic
 189 of the building affects the design of the PV system and vice versa. It would thus be incorrect to assess
 190 different kinds of PV systems for the same building. 20 solar housing prototypes with diverse types of
 191 PV installations were selected and used to compare the different outcomes offered by each of them and,
 192 in order to avoid the architectural influence when conducting the surveys, participants were informed of
 193 the specific location of the photovoltaic installation for each image.

194 The structure of the surveys was the same for the different samples that participated in the study, and
 195 consisted of a first part aimed at obtaining generic descriptive data and a second part that collected data
 196 referring to the respondents' feelings when they were shown pictures of the prototypes.

197 In order to reach a greater number of people, the surveys were conducted in pen and paper format but
 198 also via the Internet (using e-mail). In this regard, some studies (Roth, 2006) suggest that online surveys
 199 are appropriate and provide acceptable values regarding objectivity, reliability and the possibility of
 200 generalising the data.

201 2.2 Generic descriptive statistics

VALUE	AGE	GENDER	LEVEL OF EDUCATION	CONNECTED WITH ARCHITECTURE	ENVIRONMENTAL CONCERN	HOUSING
1	between 16 and 25	man	None	No	None	Big city
2	between 26 and 40	woman	Primary School	Yes	Little	Small city/town
3	between 41 and 55		Secondary school		Quite high	Semi-rural & rural area
4	between 56 and 70		University		High	
5	more than 70					

Table 1 Parameters used for the descriptive study of the samples.

202 A profile of the sample was obtained using personal data such as age, gender, level of education,
203 relationship between their qualifications and the field of architecture (to anticipate possible differences
204 in the aesthetic perception of this group), their concern for the environment and the type of setting they
205 live in. Table 1 summarises the values used in the study to define the levels of each of these variables.

206 2.3 Prototypes

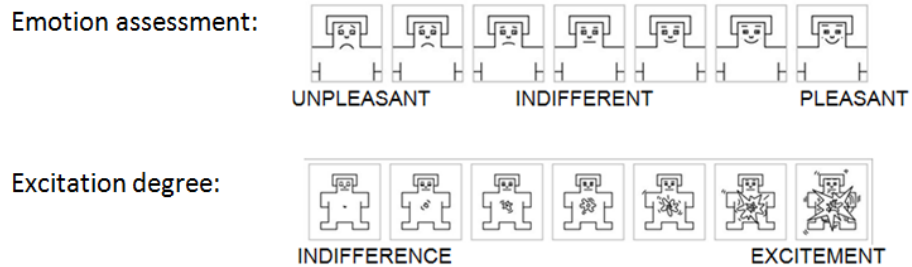
207 A total of 22 prototypes were on show at the SDE 2014 exhibition: 20 of them were official participants
208 in the contest and two were only on display. The surveys evaluated all the prototypes except two. “Casa
209 Fénix” was excluded from the statistical analysis because some of the answers were missing from the
210 surveys, which gave rise to an incorrect discrimination of the data with respect to the other prototypes.
211 The prototype “Efden” was also excluded because its promoters failed to complete the construction of
212 its envelope and it was therefore not considered suitable as a model for measuring the aesthetic
213 perception of the system. Although they did not enter the SDE appraisals as competitors, both
214 “éBRICKhouse” and “Membrain” were included as they were part of the exhibition of prototypes that the
215 public were able to visit. Altogether the assessment took into account the 20 prototypes shown in Figure
216 7, half of which employed BIPV technology, while the other half used BAPV.

217 2.4 Evaluation of the aesthetic perception

218 The subjective estimations of the emotions triggered by the prototypes (in the dimensions pleasant-
219 unpleasant and emotional activation) were obtained using the Self-Assessment Manikin (SAM)
220 (Margaret M. Bradley & Lang, 1994; Grimm & Kroschel, 2005). SAM is a non-verbal instrument that
221 includes a pictorial scale for assessing emotional reactions when faced with different types of stimuli,
222 which makes it easier to carry out the survey in a multicultural setting such as the SDE, while at the
223 same time avoiding possible mistakes due to misinterpretation resulting from changes of language. Only
224 two dimensions were used for the affective assessment: hedonic valence (pleasant-unpleasant) and
225 arousal (level of excitation). Dominance was not considered as a dimension because a pilot study
226 showed it to be difficult to interpret. In fact, previous research suggests that hedonic valence and
227 emotional arousal are the most important dimensions as regards connotative meaning and feelings (M
228 M Bradley, Codispoti, Sabatinelli, & Lang, 2001a) (Mehrabian & Russell, 1974) and another study also
229 used them to assess the aesthetic impact of buildings (Gifford, 2000).



Tick the picture that best identifies your feelings:



230

Figure 3 Example of the survey using the SAM method to score the parameters Valence and Arousal.

231 In our study, each dimension of the SAM was represented using a scale of 1-7 points, as shown in
 232 Figure 3. Participants were asked to mark the
 233 figure whose expression best matched their own feelings when they saw each prototype.

234 3 RESULTS AND DISCUSSION

235 3.1 Participants and characterisation of the sample

236 The sizes of the three samples considered in this study are appropriate with a confidence level of 95%
 237 and a margin of error of 5%. Sample 1, which was produced onsite (BIPV-Versailles), consists of a
 238 total of 87 surveys in which 10 prototypes were evaluated, resulting in 870 ratings. Sample 2, which was
 239 produced by means of images of prototypes with integrated systems (BIPV-images), consists of a total
 240 of 253 surveys, resulting in 2529 ratings. And sample 3, which was produced by means of images of
 241 prototypes of superposed systems (BAPV-images), consists of 165 surveys that also evaluated 10
 242 prototypes, resulting in 1650 ratings. The total number of ratings included in the study was 5049. In all
 243 the surveys pictures were shown to identify the prototypes to be evaluated, but the respondents in

244 Versailles were able to see the prototypes *in situ*, whereas the others could only see an image of each
 245 one.

246 The samples were characterised by performing a detailed analysis of the profile of the participants in
 247 each of them, taking into account the values used for the different parameters defined in **¡Error! No se**
 248 **encuentra el origen de la referencia..** In the surveys carried out with images, the samples are
 249 representative of all the age groups, but in the case of the surveys in Versailles the mean age was below
 250 30, as the majority of visitors who attend the SDE are university students. The numbers of males and
 251 females in all the samples were quite balanced. Moreover, the number of participants with training
 252 related to architecture was low, around 10%, and thus future studies could extend the sample to include
 253 more representatives of this group. Concern for the environment was rated as “3- Quite high” or “4- High”
 254 by almost all the respondents and, in the majority of cases, the place of residence was predominantly a
 255 small town for the surveys carried out in Spain, but also large cities for many of the respondents in
 256 Versailles.

		BIPV-Versailles (onsite)			BIPV-Spain (photos)			BAPV (photos)		
		TOTAL (87P.)	MEN (50p.)	WOMEN (37p.)	TOTAL (253P.)	MEN (121p.)	WOMEN (132p.)	TOTAL (165P.)	MEN (88p.)	WOMEN (77p.)
Age		1.41	1.42	1.41	2.61	2.49	2.72	2.73	2.60	2.88
		(0.782)	(0.778)	(0.788)	(1.255)	(1.194)	(1.299)	(1.256)	(1.193)	(1.310)
Level of studies		3.76	3.78	3.73	3.65	3.74	3.56	3.70	3.74	3.65
		(0.570)	(0.581)	(0.553)	(0.699)	(0.597)	(0.772)	(0.637)	(0.631)	(0.640)
Are architects	n	9	4	5	24	18	6	23	19	4
	%	10.5%	4.7%	5.8%	9.5%	7.1%	2.4%	13.9%	11.5%	2.4%
Environment		3.2	3.16	3.24	3.19	3.19	3.19	3.19	3.17	3.21
		(0.760)	(0.739)	(0.786)	(0.612)	(0.684)	(0.538)	(0.571)	(0.611)	(0.521)
Residence		1.68	1.66	1.7	2.03	2.04	2.02	2.07	2.08	2.05
		(0.766)	(0.739)	(0.802)	(0.547)	(0.581)	(0.514)	(0.457)	(0.508)	(0.392)

Table 2 Mean (and standard deviation) of the sociodemographic variables requested in the survey.

257 Table 2 shows the mean values (and the standard deviation) of the sociodemographic variables that
 258 were most representative of the profile of those who answered the survey, together with the number of
 259 people who took part in each sample, the total number of surveys being 505. In the case of the variable
 260 “relationship between the respondent's studies and the field of architecture”, the value is given as the
 261 number and percentage of respondents with this relationship, since it is a dichotomous variable.

262 **3.2 Comparison BIPV-Versailles vs. BIPV-Spain**

263 A comparison of the data obtained in the presence of the prototypes and those obtained later by means
 264 of photographs shows the ecological (or statistical) validity of the results (Table 3). This is of great
 265 interest for a case study like SDE 2014, a single one-off event where the exhibition has a limited duration
 266 of two weeks. It cannot be said that the perception is identical for the two samples, since the statistically
 267 significant result of the t test (0.001 level) concludes that there is no equality of means. The Levene test,
 268 however, assumes equality of variances for both hedonic valence and arousal.

	BIPV-Versailles (onsite)			BIPV-Spain (photos)			test	sig.
	TOTAL (87P.)	MEN (50p.)	WOMEN (37p.)	TOTAL (253P.)	MEN (121p.)	WOMEN (132p.)		
Valence	4.57 (1.616)	4.51 (1.520)	4.64 (1.735)	4.81 (1.674)	4.75 (1.621)	4.86 (1.720)	variances (Levene)	.207
							means (t test)	.000
Arousal	4.32 (1.696)	4.34 (1.644)	4.28 (1.764)	3.91 (1.705)	3.94 (1.671)	3.88 (1.735)	variances (Levene)	.966
							means (t test)	.000

Table 3 Mean (and standard deviation) of the perception variables hedonic valence and arousal for samples 1 and 2. Comparison of means for the two independent samples (Levene and t test).

270 Table 3 shows that a slightly higher value is obtained for valence using photographs, but the
 271 respondent's activation or excitation is greater in the presence of the prototype. These results are to be
 272 expected if it is borne in mind that there are a number of factors that influence the process, such as the
 273 aesthetic quality of the photograph, which may be showing a better perspective of the prototype in
 274 optimal climatic conditions. In contrast, those who visited the SDE have seen all the façades of the
 275 building and the weather conditions were those prevailing in that moment. Exposure time is also
 276 different: whereas the photos are shown for approximately half a minute, visitors at the SDE spend
 277 several minutes looking at each building. Another factor which may be influencing the visitors' rating at
 278 the SDE is the fact that they know how the installations work and are used; this information is not
 279 available to the participants who rated the aesthetic perception by means of pictures.

280 Yet, despite the fact that the results of the t test and these factors could cause certain imbalances in
 281 perception, the statistical validity of the data can be considered as acceptable because, within the scale
 282 from 1 to 7 that was used, similar values for perception are always found. It can therefore be said that
 283 the results obtained by means of photographs are valid for predicting whether the installation is seen as
 284 pleasant or not and whether the observer finds it stimulating or not.

285 As there was a notable difference of age between samples 1 and 2, the validity of these conclusions
286 was tested by considering the same age bracket for both samples, a similar result being obtained.



287

Figure 4 The prototype Rhome for DenCity from SDE 2014.

288 Of the 10 prototypes with BIPV technology considered in the survey, it should be noted that the best
289 rated, in terms of hedonic valence, in sample 1 (BIPV-Versailles) is also the prototype that obtained the
290 best classification in the architecture appraisal, Rhome for DenCity (Figure 4). As can be seen in **¡Error!**
291 **No se encuentra el origen de la referencia.**, however, this prototype was rated second from last in
292 sample 2 (BIPV-images).



293

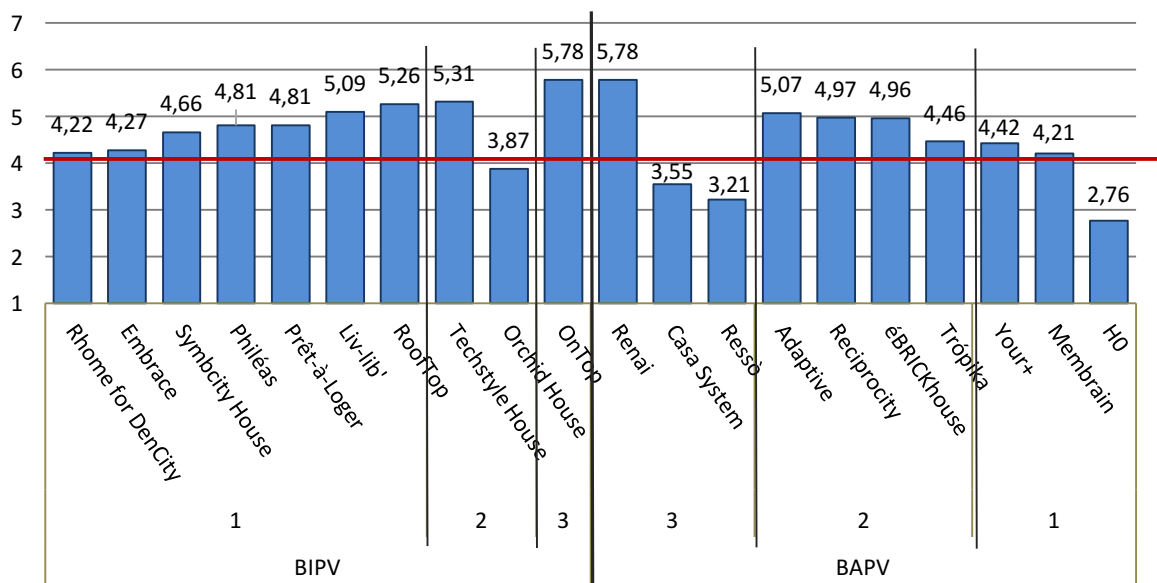
Figure 5 The prototype Orchid House from SDE 2014.

294 As regards the degree of excitation, the prototype Rhome for DenCity had the second greatest impact,
295 behind Techstyle House, in sample 1 (BIPV-Versailles). As in the case of hedonic valence, however, in
296 Figure 8 it can be seen that it was rated last but one in terms of impact in sample 2 (BIPV-images), only
297 slightly better than the prototype Orchid House.
298 In spite of the differences that may exist between the subjective ratings of the different prototypes, Orchid
299 House stands out as a special case (Figure 5). This prototype was seen as less pleasant and as having
300 less impact in both samples (1 and 2).

301 **3.3 Overall rating of hedonic valence and arousal**

302 After testing the statistical validity of the results obtained by means of photographs, samples 2 (BIPV-
 303 images) and 3 (BAPV-images) were considered for the analysis of the aesthetic perception of the
 304 prototypes. Evaluation data collected *in situ* were therefore discarded.

305 It is important to point out that in order to evaluate the aesthetic perception of the real observer, the
 306 images must have a similar point of view to the one we have at street level. Therefore, the appreciation
 307 of the installation is not the same, according to its degree of integration or visibility/hiddenness in the
 308 design. For this reason, the results have been obtained separately for the BIPV and BAPV prototypes,
 309 but at the same time they have been categorized in 3 groups according to the following criterion: 1) the
 310 PV installation is seen, perceived and understood; 2) part of the PV installation is seen, perceived; and
 311 3) the PV installation is not seen. We can see the 20 prototypes in Figure 7. More information and the
 312 detailed classification is available in a supplementary document.



313
 314 Figure 6 Mean values of hedonic valence for each prototype (rating with photographs).

315 As regards hedonic valence, the mean value of the scale (4) would represent the threshold indicating
 316 whether a prototype is liked (>4) or not (<4). Hence, on looking at Figure 6, with the BIPV prototypes on the left and the BAPV prototypes on the right, it
 317 can be said that in general terms the rating is positive, since only 20% of the prototypes were given a
 318 score below 4.
 319

Prototype pictures
summary

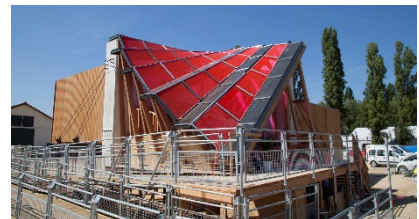
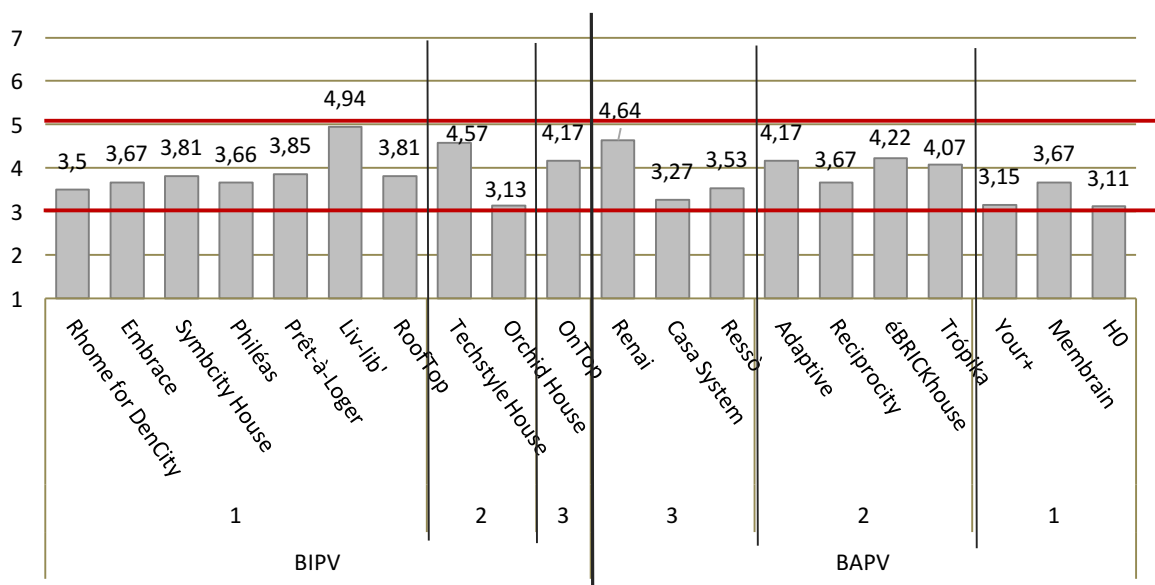


Figure 7 Prototypes from the SDE 2014 exhibition that were evaluated in the surveys, shown in rows in this order: Rhome for DenCity, Embrace, Symbcity House, Philéas, Prêt-à-Loger, Liv-lib', RoofTop, Techstyle House, Orchid House, OnTop, Renai, Casa System, Resso, Adaptive, Reciprocity, éBRICKhouse, Trópika, Your+, Membrain and H0.

320 In addition, with the exception of the cases of Orchid house, Casa System and Resso, and regardless
 321 of whether it is BIPV or BAPV, we see a clear trend that the more you see and perceive the photovoltaic
 322 installation the less you score the hedonic valence. This is of great interest for the design of future
 323 installations, since the better the designer is able to integrate, conceal and disguise the installation, the
 324 greater the acceptance of the aesthetic result. If we look at the 3 cases that fall outside this standard,
 325 we see that the three prototypes coincide in that they belong to category 2, have simple geometric
 326 shapes and use plastic materials as coverings. These characteristics are certainly the cause of lower
 327 aesthetic acceptance.

328 With regard to arousal, the minimum value (1) represents the absence of activation on viewing the image
 329 (indifference). As can be seen in Figure 8, which also shows the BIPV prototypes on the left and the
 330 BAPV on the right, values between 3 and 5 were obtained for all the cases, thereby reflecting a medium
 331 degree of excitement. There is no relationship between the 3 categories and the score obtained.



332 *Figure 8 Mean values of arousal for each prototype (rating with photographs).*

333 These results were to be expected and somehow agree with the findings from other research conducted
 334 on the affective evaluation of standardised images, such as the International Affective Picture System
 335 (IAPS) (Lang, P.J., Bradley, M.M., & Cuthbert, 1997) (Moltó et al., 2013), since pictures of houses, which
 336 can initially be considered “neutral”, involve a rather low level of activation, that is to say “calm”.
 337 Furthermore, it can be observed how there is quite a lot of variation in the subjective ratings in the
 338 dimension arousal for the images that were found to be more pleasant (>5), thereby also confirming that
 339 affective valence is independent of the level of arousal.

340 **3.4 Comparison BIPV vs. BAPV**

341 As shown in **¡Error! No se encuentra el origen de la referencia.**, where the BIPV prototypes have
 342 clearly better scores than the BAPV installations, the total mean values for affective valence and arousal
 343 are higher for sample 2 than for sample 3. These data can be seen in Table 4, where the rating of the
 344 prototypes is generally positive with a medium-low degree of excitation for both samples.

	BIPV-Spain (photos)			BAPV (photos)		
	TOTAL (253P.)	MEN (121p.)	WOMEN (132p.)	TOTAL (165P.)	MEN (88p.)	WOMEN (77p.)
Valence	4.81 (1.674)	4.75 (1.621)	4.86 (1.720)	4.34 (1.730)	4.40 (1.598)	4.26 (1.868)
Arousal	3.91 (1.705)	3.94 (1.671)	3.88 (1.735)	3.75 (1.748)	3.75 (1.663)	3.75 (1.841)

Table 4 Mean (and standard deviation) of the affective evaluations of hedonic valence and arousal for samples 2 and 3.

345 The results of the t test summarised in Table 5 show that the equality of means between the two samples
 346 is not assumed for either of the two variables studied. That is to say, there are significant differences in
 347 the rating that offset the increased amount of work required to develop BIPV technology, as well as the
 348 initial economic investment needed to install it. If the integration of photovoltaic technology is not taken
 349 into consideration from the construction design phase, the result is an aesthetically less attractive
 350 building (Johnston, 2007). Even though BIPV technology is generally perceived as being expensive or
 351 even as having a prohibitive price (Yang & Zou, 2016), this does not appear to have a negative influence
 352 on aesthetic perception. The respondent presumably also perceives that an economic investment has
 353 to be made in the case of BAPV technology, and therefore economic cost is not an especially significant
 354 factor in this study.

		Independent samples test								
		Levene test and equality of variances			t test for equality of means					
		F	Sig.	t	df	Sig. (bilateral)	Means difference	Standard error difference	95% conf. interval of the difference	
									Inferior	Superior
Pleasant/unpleasant (valence)	Equal variances are assumed	3.057	.080	-8.741	4177	.000	-.469	.054	-.574	-.364
	Equal variances are not assumed			-8.680	3441.043	.000	-.469	.054	-.575	-.363
Degree of excitation (arousal)	Equal variances are assumed	4.324	.038	-2.958	4177	.003	-.161	.054	-.268	-.054
	Equal variances are not assumed			-2.942	3461.735	.003	-.161	.055	-.269	-.054

Table 5 Results of the Levene and Student's t tests for the independent samples BIPV and BAPV.

355 However, it should be remembered that the rating is quite similar for the two technologies and this
356 difference could therefore be reduced if the respondent perceives the technological development and
357 economic investment required for the installation of the BIPV system to be very high. There are factors
358 that limit the applicability of these systems in different countries, such as energy and economic policies
359 or the geographical and climatologic conditions (Radmehr et al., 2014).

360 If we take into account the visibility of the installation and therefore the categorisation we have made in
361 point 3.3, there is uncertainty in the BAPV prototypes of group 3 (Renai, Casa System and Resso) since,
362 as the installation is not seen, it can be perceived as a BIPV installation even if it is not. The testing of
363 test t by removing these 3 prototypes from the calculation has given us very similar results to those
364 obtained with all the prototypes.

365 As regards the influence of the sociodemographic parameters on aesthetic perception, Table 6 shows
366 the tests that were conducted and the results obtained in each of them. The only parameter found to
367 have a clear influence on both variables is age. For both BIPV and BAPV technology, the younger the
368 respondent is, the higher the degree of activation he or she experiences. Also in the case of hedonic
369 valence, in the prototypes with BAPV technology the younger the respondent is, the higher the values
370 are. This indicates that, generally speaking, older people are less impressed and are less willing to
371 accept the industrial aesthetics offered by BAPV solutions. These results are in line with those of several
372 studies that also use the SAM to evaluate affective images from the International Affective Picture
373 System (IAPS). In those studies, the results show a greater intensity of affect on the part of the younger
374 group, but also greater emotional control and higher levels of positive affect for the older group (Bucks
375 et al., 2005). Moreover, in the case of the pleasant images, the older group experiences a lower degree
376 of excitation than the members of the younger group (Grühn & Scheibe, 2008).

377 The degree of concern for the environment was found to be high for most of the respondents. The results
378 on the use of BIPV technology indicate that people with greater environmental awareness rated the
379 prototypes with higher scores on both affective valence and activation.

380 Although some differences are observed in Table 3 and Table 4, the results of the statistical analyses
381 suggest that gender does not have any substantial influence on the participants' aesthetic perception.
382 These findings coincide with those of another study (M M Bradley, Codispoti, Sabatinelli, & Lang,
383 2001b), in which it was found that women tend to give more extreme scores on the hedonic valence

384 dimension (especially in the case of unpleasant images), whereas the affective reactions to normal life
 385 events (whether pleasant or unpleasant) are quite similar for men and women.

BIPV:

Variable	Test		Sig.	Results	Conclusion
Gender	t	Valence	.016	NO equality of variances	The variable Gender does not influence perception.
			.119	YES equality of means	
		Arousal	.029	NO equality of variances	
			.367	YES equality of means	
Age	ANOVA	Valence	.140	YES equality of means	The variable AGE DOES INFLUENCE THE LEVEL OF AROUSAL, but not the valence. Older people are less impressed.
		Arousal	.000	NO equality of means	
Level of education	ANOVA	Valence	.144	YES equality of means	The variable Level of education does not influence perception.
		Arousal	.124	YES equality of means	
Architects?	t	Valence	.001	NO equality of variances	Whether or not the respondents have some relationship with architecture DOES INFLUENCE AESTHETIC PERCEPTION. In both variables, those who have some relationship with architecture score higher.
			.000	NO equality of means	
		Arousal	.683	YES equality of variances	
			.007	NO equality of means	
Concern for the environment	ANOVA	Valence	.000	NO equality of means	The degree of concern for the environment DOES INFLUENCE AESTHETIC PERCEPTION for both variables.
		Arousal	.002	NO equality of means	
Place of residence	ANOVA	Valence	.873	YES equality of means	The place of residence does not influence perception.
		Arousal	.192	YES equality of means	

BAPV:

Variable	Test			Results	Conclusion
Gender	t	Valence	.000	NO equality of variances	The variable Gender does not influence perception.
			.106	YES equality of means	
		Arousal	.000	NO equality of variances	
			.973	YES equality of means	
Age	ANOVA	Valence	.000	NO equality of means	AGE DOES INFLUENCE AESTHETIC PERCEPTION. Both in valence and in arousal, the younger the respondents are, the higher they score the two variables.
		Arousal	.000	NO equality of means	
Level of education	ANOVA	Valence	.000	NO equality of means	This variable appears to influence aesthetic perception, but this result is a consequence of the fact that practically all those with a secondary education belong to the group of those aged over 56, who, as we have already seen, give lower scores.
		Arousal	.000	NO equality of means	
Architects?	t	Valence	.000	NO equality of variances	Whether or not respondents have some relationship with architecture DOES INFLUENCE THE LEVEL OF EMOTIONAL AROUSAL, but not hedonic valence. Architects give higher scores in activation.
			.136	YES equality of means	
		Arousal	.000	NO equality of variances	
			.047	NO equality of means	
Concern for the environment	ANOVA	Valence	.205	YES equality of means	The degree of concern for the environment does not influence aesthetic perception.
		Arousal	.603	YES equality of means	
Place of residence	ANOVA	Valence	.007	NO equality of means	There is a certain relationship between the highest scores and participants who live in big cities, but the participation by members of this group is very low.
		Arousal	.000	NO equality of means	

Table 6 Tests conducted to analyse the influence of the sociodemographic parameters on perception.

386 Finally, the respondents' relationship with architecture and their place of residence showed a certain
 387 influence on the evaluation of aesthetic perception, but the sample is not sufficiently representative to
 388 be able to consider these results as conclusive. However, regarding the architects, results agree with

389 the conclusions of other research which concludes that the assessment by architects and non-architects
390 is different (Gifford, 2000).

391 4 CONCLUSIONS

392 Despite the differences in aesthetic between the two systems, the prototypes were generally given a
393 positive rating, with scores above 4 for 80% of all the prototypes evaluated. It can therefore be deduced
394 that the presence of these new technologies in the envelope of buildings is well accepted by users. The
395 level of activation (arousal or emotional intensity) triggered by the prototypes was not especially notable,
396 as the mean values always ranged between 3 and 5 on a scale of 1 (indifference) to 7 (excitement).

397 Nevertheless, from a comparison of the results obtained for the two types of photovoltaic systems it can
398 be concluded that there are relevant differences in how they are perceived (error range of 5%). BIPV
399 technology, which requires greater attention in the design and a higher initial economic investment, also
400 enjoys greater aesthetic acceptance by respondents with higher values for both hedonic valence and
401 level of emotional intensity. The range of scores, however, was not very wide and this is the reason why
402 the differences between the two systems were not very pronounced. As a result, an excessively high
403 cost or an important technological difficulty could be significant factors that, in some cases, lead to a
404 BAPV system being chosen rather than a BIPV installation.

405 One factor that has proved to be of great relevance is the degree of appreciation of the system, since
406 there is a clear trend that the more you see and perceive the photovoltaic installation the less you score
407 the hedonic valence. This result is very interesting and should be taken into account by engineers,
408 architects and the PV sector for the development of future sustainable cities design.

409 Lastly, the analysis of the sociodemographic factors that could affect aesthetic perception show that age
410 is a factor that clearly influences the rating. The younger group generally gave higher scores on
411 emotional intensity. With regard to hedonic valence, the younger the age of the respondent is, the better
412 the acceptance of BAPV technology is, which is the one that gives the prototypes a more "industrial"
413 appearance. Consequently, it can be understood that older people are the ones who penalise to a
414 greater extent the lack of a careful integration of the system within the building envelope.

415 This research did not receive any specific grant from funding agencies in the public, commercial or not-
416 for-profit sectors.

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