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### Abstract

Oregano essential oil (OEO) has good antioxidant and antimicrobial activity and its incorporation into food packaging is challenging because of its intense flavor, high volatility and instability, and insolubility in aqueous systems. Additionally, the sensory perception of EO can be changed as a consequence of oxidation, heating, volatilization or chemical interactions. Cyclodextrins (CDs) can be used to avoid these inconveniences because they are able, due to their peculiar chemical structure, to encapsulate hydrophobic molecules such as OEO improving its aqueous solubility and reducing its volatility. In this work, kneading (KM) and freeze-drying (FDM) methods were evaluated to encapsulate OEO in two cyclodextrins types ( $\alpha$ -CD and  $\gamma$ -CD). After, the  $\alpha$ -CD:OEO and  $\gamma$ -CD:OEO inclusion complexes (ICs) were included in poly(3-hydroxybutyrate-co-3-hydroxyvalerate) (PHBV) electrospun fibers by electrospinning and annealed for film formation. Results showed that the encapsulation efficiency of oregano essential oil is influenced by both the encapsulation methods and the cyclodextrin type. The antimicrobial and antioxidant activity of the α-CD:OEO and y-CD:OEO inclusion complexes were higher compared with pure OEO. The optimal concentration of α-CD:OEO inclusion complexes and γ-CD:OEO inclusion complexes for homogeneous and continues films formation was 15 and 25 wt%, respectively. At these concentrations, the films showed a strong antimicrobial and antioxidant activity, up to 15 days. In addition, the mechanical properties of the films were also improved (Young's modulus + 35%). In conclusion, this work shows that the encapsulation of CD:OEO inclusion complexes by electrospinning into PHBV polymeric matrix opens a new path in the development of bioactive packaging materials using EOs.

| Keywords                              | poly(3-hydroxybutyrate-co-3-hydroxyvalerate); cyclodextrins; oregano essential oil; antioxidant; antibacterial.  |
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authors: K. J. Figueroa-Lopez , D. Enescu, S. Torres-Giner, L. Cabedo, M. A. Cerqueira, L. Pastrana, P. Fuciños, J.M. Lagaron, to be published in Food Hydrocolloids.

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# HIGHLIGHTS

- Electrospinning of cyclodextrin:oregano essential oil inclusion complexes into poly(3-hydroxybutyrate-*co*-3-hydroxyvalerate) polymeric matrix was studied for the first time.
- The new packaging material revealed a good antimicrobial and antioxidant activity, up to 15 days.
- The Young's modulus of the new packaging material was increased up to 35%.



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Time (days)

1 Potential of the encapsulation of oregano essential oil/ $\alpha$ - and  $\gamma$ -2 cyclodextrin inclusion complexes into poly(3-hydroxybutyrate-*co*-3-3 hydroxyvalerate) films by electrospinning in the development of bioactive 4 food packaging

- 5
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17 ABSTRACT Oregano essential oil (OEO) has good antioxidant and antimicrobial activity and its 18 incorporation into food packaging is challenging because of its intense flavor, high volatility and instability, 19 and insolubility in aqueous systems. Additionally, the sensory perception of EO can be changed as a 20 consequence of oxidation, heating, volatilization or chemical interactions. Cyclodextrins (CDs) can be used to 21 avoid these inconveniences because they are able, due to their peculiar chemical structure, to encapsulate 22 hydrophobic molecules such as OEO improving its aqueous solubility and reducing its volatility. In this work, 23 kneading (KM) and freeze-drying (FDM) methods were evaluated to encapsulate OEO in two cyclodextrins 24 types ( $\alpha$ -CD and  $\gamma$ -CD). After, the  $\alpha$ -CD:OEO and  $\gamma$ -CD:OEO inclusion complexes (ICs) were included in 25 poly(3-hydroxybutyrate-co-3-hydroxyvalerate) (PHBV) electrospun fibers by electrospinning and annealed for 26 film formation. Results showed that the encapsulation efficiency of oregano essential oil is influenced by both 27 the encapsulation methods and the cyclodextrin type. The antimicrobial and antioxidant activity of the  $\alpha$ -28 CD:OEO and  $\gamma$ -CD:OEO inclusion complexes were higher compared with pure OEO. The optimal 29 concentration of  $\alpha$ -CD:OEO inclusion complexes and  $\gamma$ -CD:OEO inclusion complexes for homogeneous and 30 continues films formation was 15 and 25 wt%, respectively. At these concentrations, the films showed a strong 31 antimicrobial and antioxidant activity, up to 15 days. In addition, the mechanical properties of the films were 32 also improved (Young's modulus + 35%). 33

- In conclusion, this work shows that the encapsulation of CD:OEO inclusion complexes by electrospinning
- 34 into PHBV polymeric matrix opens a new path in the development of bioactive packaging materials using EOs.
- 35

#### 36 HIGHLIGHTS

- Electrospinning of cyclodextrin:oregano essential oil inclusion complexes into poly(3-hydroxybutyrate-co-
- 38 3-hydroxyvalerate) polymeric matrix was studied for the first time.

• The new packaging material revealed a good antimicrobial and antioxidant activity, up to 15 days.

- The Young's modulus of the new packaging material was increased up to 35%.
- 41
- *Keywords*: poly(3-hydroxybutyrate-co-3-hydroxyvalerate), cyclodextrins, oregano essential oil, antioxidant,
   antibacterial.
- 44

## 45 **1. Introduction**

46 Essential oils (EOs) are mixtures of volatile organic compounds obtained from aromatic plants that are well 47 known for their fragrant properties. They are also used in food preservation and in antimicrobial, analgesic, 48 sedative, anti-inflammatory, spasmolytic and locally anesthetic remedies (Bakkali, Averbeck, & 49 Idaomar, 2008; Ribeiro-Santos, Andrade, Melo, & Sanches-Silva, 2017). Their mechanisms of active and 50 bioactive action, particularly at the antimicrobial level, have been well reported (Owen & Laird, 2018; Sharifi-51 Rad et al., 2017). The global market of EOs was 226.9 kton/year in 2018 (Research, 2018) while approximately 52 160 essential oils are considered as Generally Recognized as Safe (GRAS) by the U.S. Food and Drug 53 Administration (FDA, 2016). Therefore, their application is currently growing in the food and beverage, 54 personal care & cosmetics, aromatherapy, and pharmaceutical industries (Bakhtiary, Sayevand, Khaneghah, 55 Haslberger, & Hosseini, 2018; Prakash, Kedia, Mishra, & Dubey, 2015; Prakash, Singh, Kedia, & Dubey, 2012; 56 Raut & Karuppayil, 2014).

Among EOs, oregano essential oil (OEO) is one of the most interesting since it is FDA approved and it is also included by the Council of Europe in the list of chemical flavorings that may be added to foodstuffs (EU No 872/2012; De Vincenzi, Stammati, De Vincenzi, & Silano, 2004). In particular, OEO contains a mixture of bioactive related components such as carvacrol and thymol that can be used as antioxidant and antimicrobial agents for active packaging purposes (Figueroa-Lopez, Vicente, Reis, Torres-Giner, & Lagaron, 2019). However, the incorporation of OEO into a food packaging material is a challenging task due to several factors such as potent flavor changes, variations of the sensory perception as a consequence of oxidation, high volatility, chemical instability, low solubility in aqueous systems, etc. (Ju et al., 2019). In particular, OEO can evaporate
easily and it decomposes and oxidizes during formulation, processing, and storage due to exposure to heat,
pressure, light, or oxygen (Beirão-da-Costa et al., 2013; Hosseini, Zandi, Rezaei, & Farahmandghavi, 2013).
These inconveniences can be effectively minimizing by encapsulation processes in different systems such as
films, capsules, liposomes or inclusion complexes (Crini, 2014; Marques, 2010; Sherry, Charcosset, Fessi, &
Greige-Gerges, 2013). Encapsulation allows creating a physical barrier between the core and the wall materials
to protect OEO from the external medium (moisture, heat, light) and, thus, it enhances stability and maintains

71 bioactivity (Sagiri, Anis, & Pal, 2016).

72 Cyclodextrins (CDs) are cyclic oligosaccharide consisting of six, that is,  $\alpha$ -cyclodextrin ( $\alpha$ -CD), seven, that 73 is,  $\beta$ -cyclodextrin ( $\beta$ -CD) or eight, that is,  $\gamma$ -cyclodextrin ( $\gamma$ -CD) glucopyranose units modified starch 74 molecules shaped like a hollow truncated cone (Del Valle, 2004). CDs are fairly water soluble, however  $\beta$ -CD 75 shows remarkably lower solubility than  $\alpha$ -CD and  $\gamma$ -CD. During crystallization in aqueous medium, some 76 molecules of water are entrapped into the CD cavity whereas others molecules of water are present as integral 77 parts of the crystal structure, the so-called crystal water. CD inclusion complexes are formed by the substitution 78 of the water molecules of the CD cavity by the appropriate guest molecule (Harada, Suzuki, Okada, & Kamachi, 79 1996; Szejtli, 1998). These inclusion complexes can be used to encapsulate different compounds since CDs 80 cannot only stabilize the compound encapsulated against the degradation mechanisms triggered by 81 environmental conditions but they also can reduce the sensory changes by masking strong flavors (Marques, 82 2010; Szejtli, 1998). Furthermore, CDs can also offer a controlled and sustained release of aromatic substances 83 (Marques, 2010; Wang & Chen, 2005). In addition, the CD chemical structures are habitually inert and they do 84 not interfere with the biological properties of EOs (Bilia et al., 2014; Del Valle, 2004). They are additionally 85 relatively cost effective, biodegradable, do not pose a significant safety concern, and encapsulation can be 86 performed both in solution and solid state (Crini, 2014). Several procedures have been then developed to prepare 87 inclusion complexes, for instance kneading method (KM), co-precipitation, heating in a sealed container, 88 freeze-drying method (FDM), spray drying, and supercritical fluid technology (Loftsson & Brewster, 1996). 89 The essentials oils are thermolabile substances sensitive to the effects of light, oxygen, humidity and high 90 temperatures and can be lost activity. It is for this reason that the electrospinning encapsulation technology has 91 been used for the protection, stabilization, solubilization and delivery of the active substances (Gao et al., 2019).

92 In this regard, the electrospinning process is a novel technology that produces ultrathin fibrous mats made of a 93 wide range of polymers and biopolymers with fiber diameters ranging from several nanometers to a few microns 94 (Li & Xia, 2004). This technique is highly suitable for the nanoencapsulation of active and bioactive substances, 95 which is the case of CDs, due to both the high surface-to-volume ratios of the electrospun materials and the 96 high porosity of their mats (Torres-Giner, Pérez-Masiá, & Lagaron, 2016; Torres-Giner, Wilkanowicz, 97 Melendez-Rodriguez, & Lagaron, 2017). Furthermore, allow processing volatile substances such as CDs 98 because the process is performed at room temperature (Kayaci & Uyar, 2012). The resultant electrospun fibers 99 can be potentially applied in sustainable food packaging applications (Torres-Giner, 2011; Torres-Giner, 100 Busolo, Cherpinski, & Lagaron, 2018) either in the form of coatings or interlayers with bioplastic films (Quiles-101 Carrillo, Montanes, Lagaron, Balart, & Torres-Giner, 2019a; Torres-Giner, Martinez-Abad, & Lagaron, 2014). 102 Moreover, the electrospun mats can be subjected to a thermal post-treatment, also called annealing, by which 103 they form mechanically strong and transparent films with little porosity due to the fibers coalescence 104 (Cherpinski, Torres-Giner, Cabedo, & Lagaron, 2017). Due to the advantages described above, electrospinning 105 has been recently employed to produce multi-functional fibers from different biopolymers (Gao et al., 2019), 106 such as polyhydroxyalkanoates (PHAs), that are biodegradable microbial polyesters (Zhang, Shishatskaya, 107 Volova, da Silva, & Chen, 2018). Indeed, PHAs are excellent candidates for food packaging applications due 108 to their resistance to water, low oxygen permeability, thermoplastic processability, and good physical and 109 mechanical properties (Dietrich, Dumont, Del Rio, & Orsat, 2019).

In this context, the aim of this research work was to encapsulate oregano essential oil into cyclodextrins and their inclusion into poly(3-hydroxybutyrate-*co*-3-hydroxyvalerate) (PHBV) fibers by electrospinning to develop an active packaging material with improved antioxidant and antimicrobial activity to design biodegradable packaging that can prolong the shelf life of certain food products.

114

## 115 2. Experimental

## 116 2.1. Materials

117 Oregano essential oil (OEO) with a purity >99% and a density of 0.925–0.955 g/mL was obtained from Gran 118 Velada S.L. (Zaragoza, Spain) and it was processed as received. Wacker Chemie AG (Munich, Germany) 119 supplied both food-grade cyclodrextin (CD):  $\alpha$ -CD, known as trademark - CAVAMAX<sup>®</sup> W6 FOOD with

| 120 | molecular (M <sub>W</sub> ) of 972.84 g/mol and $\gamma$ -CD, known as trademark - CAVAMAX <sup>®</sup> W8 FOOD with M <sub>W</sub> of 1297 |
|-----|---|
| 121 | g/mol. Their respective empirical formulas were $C_{36}H_{60}O_{30}$ and $C_{48}H_{80}O_{40}$ while the chemical structures are             |
| 122 | shown in Fig. 1 and further details are gathered in Table 1. Commercial PHBV was ENMAT <sup>™</sup> Y1000P,                                 |
| 123 | produced by Tianan Biologic Materials (Ningbo, China) and delivered in the form of pellets by Nature Plast                                  |
| 124 | (Ifs, France). According to the manufacturer, this biopolymer resin presents a density of 1.23 g/cm <sup>3</sup> and a melt                 |
| 125 | flow index (MFI) of 5-10 g/10 min (190 °C, 2.16 Kg). The 3HV fraction in the copolyester is 2-3 mol%.                                       |
| 126 | 2,2,2-trifluoroethanol (TFE), ≥99% purity and 2,2-diphenyl-1-picrylhydrazyl radical (DPPH) were purchased                                   |
| 127 | from Sigma Aldrich S.A. (Madrid, Spain). Ethanol, analytical grade with purity of 99.8%, was supplied by                                    |
| 128 | Sigma-Aldrich. Water Milli-Q <sup>®</sup> was obtained using a Millipore purification system (resistivity $\Box$ 18.2 M $\Omega$ cm         |
| 129 | at 25 °C).  |
| 130 |   |

- 131
- 132

Fig. 1

133 Preparation of the Inclusion Complexes

134 To prepare the inclusion complexes, OEO was dispersed in CD aqueous solutions at the weight ratios of 20:80 135 wt/wt and 15:85 wt/wt for  $\gamma$ -CD and  $\alpha$ -CD, respectively. These weight ratios between guest:host (OEO:CD) 136 were chosen based on the maximum encapsulation efficiency reported by (Petrovi, Stojanovi, & Radulovi, 137 2010) and (Haloci et al., 2014).

138

139 2.2.1. Kneading Method (KM)

The inclusion complexes was carried out by KM as described by (Santos, Kamimura, Hill, & Gomes, 2015) and (Hedges, 1998) with some modifications. Briefly, OEO was dispersed in the CD aqueous solutions and water was added at 0.25 mL. The resultant CD:OEO mixture was kneaded thoroughly in a mortar and pestle for 18 min until a homogenous blend was obtained. The kneaded inclusion complexes (pasty mass) obtained was dried in a desiccator under vacuum for 48 h at room temperature (25 °C) and then weighted, sealed, and stored at -20 °C.

146

147 2.2.2. Freeze Drying Method (FDM)

148 The preparation of the inclusion complexes by the FDM was carried out according to (Santos et al., 2015) with 149 also slight modifications. OEO was dispersed in 2.5 ml of the CD aqueous solutions and the resultant mixtures 150 were magnetically stirred at 250 rpm in a sealed container for 48 h at room temperature (25 °C) to allow complex 151 formation. Paraffin film and aluminium foil was used to prevent loss of volatiles and to protect the samples 152 from the light. The suspensions were then frozen first at -20 °C for 24 h and then at -80 °C for 24 h and finally 153 lyophilized at -50 °C and 0.1 mbar in a Freeze Dryer (LyoQuest -55 Plus Eco Telstar<sup>®</sup> Life Science solutions, 154 Hampton, USA) until the water was sublimated (approximately 48 h). The freeze-dried inclusion complex was 155 weighted, sealed, and stored at -20 °C.

156

157 2.3. Inclusion Complexes Characterization

158

160

#### 159 2.3.1. Entrapment Efficiency and Loading Capacity

161 10 mg of each type of inclusion complexes ( $\alpha$ -CD:OEO and  $\gamma$ -CD:OEO at 80:20 and 15:85 weight ratios), 162 were dispersed in 10 mL of absolute ethanol and stirred for 30 min in an Eppendorf mixer device 163 (ThermoMixer<sup>TM</sup> C, Fisher Scientific<sup>®</sup>, Hampton, USA) at 1000 rpm to allow the entrapped OEO in the CD 164 cavity to be released to the solution for analysis. Then, the solution obtained was sonicated in a ultrasonic bath 165 for 30 min at 37 Hz and 90 W at room temperature and thereafter centrifugated for 30 min at 2500 rpm to 166 remove any CD from the solution. This resulted in a solution with a supernatant containing the OEO, which 167 was used for analysis. The OEO content was determined spectrophotometrically (Ultraviolet-Visible 168 spectrophotometer, UV-VIS 2250, Shimadzu) monitoring the absorbance at wavelength 275 nm. This 169 wavelength absorption belongs to the maximum absorbance wavelength of carvacrol, which is the most 170 representative compound and major component of OEO (Santos et al., 2015). From this wavelength absorption, 171 the mass of the encapsulated OEO in the absolute ethanol solutions was calculated. A calibration curve of the 172 absorbance versus the concentration of the OEO was previously performed by using OEO solutions of known 173 concentrations dissolved in ethanol. 174 The encapsulation efficiency (EE, %) and loading capacity (LC, %), for each sample, were calculated

174 The encapsulation efficiency (EE, %) and loading capacity (LC, %), for each sample, were calculated 175 according to Equations (1) and (2), respectively (Santos, et al. 2015). Encapsulation efficiency (EE, %) is the 176 encapsulated amount of essential oil expressed as a percentage of the quantity initially used to prepare the solid 177 inclusion complex. The UV-VIS analysis was carried out in triplicate. 178

179 Encapsulation Efficiency (%) =  $\frac{\text{Total amount of encapsualted essential oil (mg)}}{\text{Initial amount of essential oil to be encapsulated (mg)}} \times 100 (\%)$  (1)

180

181

Loading Capacity (%) = 
$$\frac{\text{Total amount of encapsualted essential oil (mg)}}{\text{Total amount of ICs (mg)}} \times 100$$
 (%) (2)

182

# 183 2.3.2. Morphological characterization of CD:OEO inclusion complexes184

185 The morphology of empty CDs and CD:OEO inclusion complexes were examined using a scanning electron 186 microscope (FEI Quanta 650 FEG, Thermo Fisher Scientific<sup>®</sup>, Germany). The samples were fixed on 187 aluminium stubs with a double-stick conductive carbon substrate and sputter-coated with gold for 63 s at a 188 working pressure of 1.4 E<sup>-3</sup> mbar before the SEM measurements to prevent the build-up of an electric negative 189 charge in the specimen, which would induce "imaging artefacts" and to enhance resolution. Observations were 190 carried out with voltage acceleration of 10 kV and 15 kV at spot 3. Transmission Electron Microscopy (TEM) 191 was also used. Droplets of 0.1 % (w/v) and 1 % (w/v) aqueous suspensions (i.e. empty "as-received"  $\alpha$ -CD and 192  $\gamma$ -CD;  $\alpha$ -CD:OEO and  $\gamma$ -CD:OEO inclusion complexes) were placed on a copper grid and air-dried overnight. 193 For negative staining, one drop of UranyLess 22409 was used. Observations were carried out with voltage 194 acceleration of 200 kV at α<sub>3</sub>, spot 1 and magnification: 30KX-100KX (JEOL JEM 2100, Izasa Scientific<sup>®</sup>, 195 Portugal). The X-ray diffractograms of empty  $\alpha$ -CD and  $\gamma$ -CD;  $\alpha$ -CD:OEO and  $\gamma$ -CD:OEO inclusion complexes 196 were obtained using a X-ray diffractometer (PANalitycal X'pert MPD-PRO (PANalytical, Model: X PERT 197 PRO MRD) Bragg-Brentano  $\theta$ - $\theta$  geometry using CuK $\alpha$  radiation at 45kV and 40 mA. The 2 $\theta$  scan range was 198  $5^{\circ}$  - 80° with a step size of 0.01° and a time/step of 0.5 s.

199

## 200 2.4. Electrospinning Process

201 2.4.1. Preparation of Solutions

A PHBV solution for electrospinning was prepared by dissolving 10 % of biopolymer in TFE (wt/vol) at room

203 temperature. The γ-CD:OEO and α-CD:OEO inclusion complexes were incorporated into the PHBV solution

- at 10, 15, 20, 25 and 30 wt% in relation to the biopolymer. PHBV solutions with  $\gamma$ -CD and  $\alpha$ -CD (25 and 15
- 205 wt%, respectively), without OEO, were also prepared as a control sample.
- 206

#### 207 2.4.2. Electrospun Fibers

The PHBV solutions containing  $\gamma$ -CD:OEO and  $\alpha$ -CD:OEO were electrospun using a high-throughput electrospinning/electrospraying pilot line Fluidnatek® LE 500 manufactured and commercialized by Bioinicia S.L. (Valencia, Spain). The solutions were processed under a constant flow using a 24 emitter multi-nozzle injector, scanning vertically onto a flat slightly negatively charged collector. A voltage difference of 18 kV, a flow-rate of 6 mL/h per single emitter, and a tip-to-collector distance of 20 cm were used as these were the most optimal conditions (Melendez-Rodriguez et al., 2019).

214

215 2.4.3. Electrospun Films

An annealing treatment was thereafter applied to the electrospun mats in a 4122-model press from Carver, Inc.

217 (Wabash, IN, USA) at 160 °C, for 10 seconds, without pressure. These conditions were selected based on our

- 218 previous study (Melendez-Rodriguez et al., 2019). The resultant film samples had an average thickness of
- approximately 80 μm.
- 220

221 2.4.4. Characterization of the Electrospun Films

222 2.4.4.1. Film Thickness

223 Before testing, the thickness of all films was measured using a digital micrometer (S00014, Mitutoyo, Corp.,

224 Kawasaki, Japan) with  $\pm$  0.001 mm accuracy. Measurements were performed and averaged in five different

225 points, two in each end and one in the middle.

226

227 2.4.4.2. Morphology

228 The particle shape and size (diameter) distributions of  $\gamma$ -CD and  $\alpha$ -CD, the PHBV electrospun fibers and their 229 films containing  $\gamma$ -CD:OEO and  $\alpha$ -CD:OEO were examined by scanning electron microscopy (SEM; Hitachi 230 S-4800, Tokyo, Japan) and transmission electron microscopy (TEM; Hitachi HT7700, Tokyo, Japan). For cross-231 section observations by SEM, the films were previously crvo-fractured by immersion of the sample in liquid 232 nitrogen. The SEM micrographs were taken at an accelerating voltage of 10 kV and a working distance of 8 -233 10 mm, the samples were previously sputtered with a gold palladium mixture for 3 min under vacuum. The 234 size distribution of the particles and average fibers diameter was determined via ImageJ software using at least 235 20 SEM images.

236

## 237 *2.4.4.3. Transparency*

The light transmission of the films was determined in specimens of 50 x 30 mm<sup>2</sup> by quantifying the absorption
of light at wavelengths between 200 nm and 700 nm, using an UV–Vis spectrophotometer VIS3000 from Dinko,
Instruments (Barcelona, Spain). The transparency value (T) was calculated using Equation 3 (K. FigueroaLopez, Andrade-Mahecha, & Torres-Vargas, 2018):

$$T = \frac{A_{600}}{L} \tag{3}$$

243 Where  $A_{600}$  is the absorbance at 600 nm and L is the film thickness (mm).

244

## 245 2.4.4.4. Thermal Analysis

Thermogravimetric analysis (TGA) of the  $\gamma$ -CD,  $\alpha$ -CD, films containing  $\gamma$ -CD:OEO and  $\alpha$ -CD:OEO was performed under nitrogen atmosphere in a Thermobalance TG-STDA Mettler Toledo model TGA/STDA851e/LF/1600 analyzer. TGA curves were obtained after conditioning the samples in the sensor for 5 min at 30 °C. The samples were then heated from 25 °C to 700 °C at a heating rate of 10°C/min. First derivative of thermogravimetry (DTG) curves, expressing the weight loss rate as the function of time, were obtained using TA analysis software. All tests were carried out in triplicate.

252

## 253 2.4.4.5. Mechanical Tests

Tensile tests were performed according to ASTM Standard D 638 on an Instron Testing Machine (Model 4469; Instron Corp; Canton, MA, USA). The film samples were dumbbell-shaped. The cross-head speed was fixed at 10 mm/min. At least six samples were tested for each material and the average values of the mechanical parameters and standard deviations were reported. Tensile modulus (*E*), tensile strength at break ( $\sigma_b$ ), and elongation at break ( $\varepsilon_b$ ) were calculated from the stress–strain curves, estimated from the force–distance data.

259

260 2.5. Antimicrobial Activity

261 Staphylococcus aureus CECT240 (ATCC 6538p) and Escherichia coli CECT434 (ATCC 25922) strains were

262 obtained from the Spanish Type Culture Collection (CECT: Valencia, Spain) and stored in phosphate buffered

saline (PBS) with 10 wt.% tryptic soy broth (TSB, Conda Laboratories, Madrid, Spain) and 10 wt.% glycerol

at -80 °C. Previous to each study, a loopful of bacteria was transferred to 10 mL of TSB and incubated at 37 °C for 24 h. A 100 µL aliquot from the culture was again transferred to TSB and grown at 37 °C to the midexponential phase of growth. The approximate count of 5 x  $10^5$  CFU / mL of culture having absorbance value of 0.20 as determined by optical density at 600 nm (UV–Vis spectrophotometer VIS3000 from Dinko, Instruments, Barcelona, Spain).

269 The minimum inhibitory concentration (MIC) and bactericide (MIB) values of  $\gamma$ -CD:OEO and  $\alpha$ -CD:OEO 270 against food-borne bacteria was tested following the plate micro-dilution protocol based on our previous work 271 (Figueroa-Lopez et al., 2019). For this, a 96-well plate with an alpha numeric coordination system (columns 12 272 and rows A-H) were used, where 10  $\mu$ l of the tested samples were introduced in the wells with 90  $\mu$ l of the 273 bacteria medium. In the wells corresponding to A, B, C, E, F, and G columns different concentrations of 274 CD/OEO (0.039, 0.078, 0.156, 0.312, 0.625, 1.25, 2.5, 5, 10, 20 µg/mL), were tested, in triplicate, from rows 1 275 to 10. Columns D and H were used as control of CD:OEO in TSB without bacteria. Row 11 was taken as 276 positive control, that is, only TSB, and row 12 was used as negative control, that is, S. aureus and E. coli in 277 TSB. The plates were incubated at 37 °C for 24 h. Thereafter, 10 µl of resazurin, a metabolic indicator, was 278 added to each well and incubated again at 37 °C for 2 h. Upon obtaining the resazurin change, the wells were 279 read through color difference. The MIC value was determined as the lowest concentration of  $\gamma$ -CD:OEO and 280  $\alpha$ -CD:OEO presenting growth inhibition.

281 The antimicrobial performance of the electrospun PHBV films containing  $\gamma$ -CD:OEO and  $\alpha$ -CD:OEO was 282 evaluated by using a modification of the Japanese Industrial Standard (JIS) Z2801 (ISO 22196:2007) ( 283 Figueroa-Lopez, Castro-Mayorga, Andrade-Mahecha, Cabedo, & Lagaron, 2018). A microorganism 284 suspension of S. aureus and E. coli was applied onto the test films containing  $\gamma$ -CD:OEO and  $\alpha$ -CD:OEO, films 285 without CD:OEO (negative control) sizing  $1.5 \times 1.5 \text{ cm}^2$  that were placed in either open bottles. After incubation 286 at 24 °C and at a relative humidity (RH) of at least 95 % for 24 h, bacteria were recovered with PBS, 10-fold 287 serially diluted and incubated at 37 °C for 24 h in order to quantify the number of viable bacteria by conventional 288 plate count. The antimicrobial activity was evaluated from 1 (initial day), 8, and 15 days. The value of the 289 antimicrobial activity (*R*) was calculated using Equation 4:

290 
$$R = \left[ Log\left(\frac{B}{A}\right) - Log\left(\frac{C}{A}\right) \right] = Log\left(\frac{B}{C}\right)$$
(4)

Where *A* is the average of the number of viable bacteria on the control sample immediately after inoculation, *B* is the average of the number of viable bacteria on the control sample after 24 h, and *C* is the average of the number of viable bacteria on the test sample after 24h. Three replicate experiments were performed for each sample and the antibacterial activity was evaluated with the following assessment: Nonsignificant (R < 0.5), slight ( $R \ge 0.5$  and <1), significant ( $R \ge 1$  and <3), and strong ( $R \ge 3$ ) (Sergio Torres-Giner, Torres, Ferrándiz, Fombuena, & Balart, 2017).

297

## 298 2.6. Antioxidant Activity

299 The DPPH inhibition assay was used to evaluate the free radical scavenging activity of the neat OEO,  $\gamma$ -300 CD:OEO,  $\alpha$ -CD:OEO, and the electrospun PHBV films containing  $\gamma$ -CD:OEO and  $\alpha$ -CD:OEO. Samples were 301 weighed in triplicate in cap vials and then an aliquot of the DPPH solution (0.05 g/L in methanol) was added to 302 each one. Vials without samples were also prepared as controls. All the samples were prepared and immediately 303 stored at room temperature for 2 h in darkness. After this, the absorbance of the solution was measured at 517 304 nm in the UV 4000 spectrophotometer from Dinko Instruments. Results were expressed as the percentage of 305 inhibition to DPPH following Equation 5 (Busolo & Lagaron, 2015) and µg equivalent of trolox per gram of 306 sample, employing a previously prepared calibration curve of trolox.

Inhibition DPPH (%) = 
$$\frac{A_{Control} - (A_{sample} - A_{blank})}{A_{control}} * 100$$
 (4)

307

308 Where  $A_{control}$ ,  $A_{blank}$ , and  $A_{sample}$  are the absorbance values of the DPPH solution, methanol with the test 309 sample, and the test sample, respectively.

310

311 2.7. Statistical Analysis

The results of the encapsulation efficiency and loading capacity of the CD:OEO inclusion complexes, mechanical test and antioxidant activity were evaluated by analysis of variance (ANOVA) and a multiple comparison test (Tukey) with 95% significance level ( $p \square 0.05$ ). For this purpose, we used the software OriginPro8 (OriginLab Corporation, USA).

316

#### 317 **3. Results and discussions**

318 *3.1. Encapsulation Efficiency (EE,%) and Loading capacity (LC, %) of the CD:OEO Inclusion Complexes* 

319 The EE and LC of the  $\alpha$ -CD:OEO and  $\gamma$ -CD:OEO inclusion complexes prepared by FDM and KM are presented 320 in Table 2. The results show that both types of cyclodextrins ( $\alpha$ -CD and  $\gamma$ -CD) are efficient wall materials for 321 encapsulation of oregano essential oil presenting EE values ragging from 36.03 % to 98.5 % depending on the 322 weight ratio (CDs:OEO) as well as on the preparation method. Indeed, by the FDM is obtained a lower 323 encapsulation efficiency than by KM method, and this is in accordance with those described by Ozdemir et al. 324 (2018), who studied the encapsulation of black paper oleoresin in  $\beta$ -cyclodextrin with encapsulation efficiencies 325 from 90.2 % to 79.3 % for KM and FDM, respectively. The higher encapsulation efficiency obtained by KM 326 compared to FDM can be related to the high shear rate applied (Ozdemir et al., 2018), and the use of low amount 327 of water during the inclusion complex formation. In an aqueous solution, the cyclodextrin cavity is slightly 328 polar and occupied by water molecules, and can therefore be readily replaced by appropriated guest molecules, 329 that are less polar than water (Ponce Cevallos, Buera, & Elizalde, 2010). It is also worthy to mention that some 330 differences in the EE values could be associated with evaporation of volatile components during the preparation 331 process studies (Ozdemir et al., 2018; Santos et al., 2015). In conclusion, the preparation parameters of the  $\alpha$ -332 CD:OEO and  $\gamma$ -CD:OEO inclusion complexes, that is, weight ratio host-guest, nature of cyclodextrin, use of 333 co-solvent and its quantity, mixing time, and shear rate applied could affect the properties of the obtained 334 complexes such as the encapsulation yield. Thus, based on the results obtained in terms of EE, KM revealed to 335 be the most efficient method for the encapsulation of OEO in the CD cavity, and it also added value in terms of 336 simplicity, rapidity, and the desired characteristics of the final product.

337 338

#### Table 2

339 3.2. Morphology of the CD:OEO Inclusion Complexes340

The morphology of the CD:OEO inclusion complexes was observed by SEM and compared with the empty  $\alpha$ -CD and  $\gamma$ -CD. The SEM images showed that the size and shape of the inclusion complex (Figs. 2b, 2d, 2e, 2f, 2g, 2h) are different of the empty  $\alpha$ - and  $\gamma$ - CD (Figs. 2a, 2c, 2i, 2j) as it was confirmed at different magnifications. The shape of empty "*as-received*"  $\alpha$ - and  $\gamma$ - CD appear uneven and the size range from 24 µm up to 254 µm; large particle sizes which seem to pile up thus forming large aggregates. The relative larger size of empty "*as-received*"  $\alpha$ - and  $\gamma$ - CD could be attributed to the agglomeration of empty "*as-received*"  $\alpha$ - and  $\gamma$ -CD particles via hydrogen bonding. In the absence of a guest molecule empty "*as-received*"  $\alpha$ - and  $\gamma$ - CD tend 348 to cluster and agglomerate due to lack of significant net charge on the particles, that is, no repulsive forces to 349 prevent agglomeration (Hill, Gomes, & Taylor, 2013). This is also consistent with the observation of smaller 350 particles attraction and adherence to the larger particles (see in detail in Figs. 2a  $2a_1 2a_2$  and  $2c 2c_1 2c_2$ ); similar 351 observations were presented by Santos et al. (2015). Contrarily, this behaviour not occurs in the formed  $\alpha$ -352 CD:OEO and  $\gamma$ -CD:OEO inclusion complexes; the reduction of the particle size in the  $\alpha$ -CD:OEO and  $\gamma$ -353 CD:OEO inclusion complexes indicated a conformational change of empty  $\alpha$ -CD and  $\gamma$ -CD that obstructed the 354 agglomeration among them (Guimarães et al., 2015; Seo, Min, & Choi, 2010). Indeed, compared with empty 355 "as-received"  $\alpha$ - and  $\gamma$ - CD (i.e., particle size: 24 µm ÷254 µm; similar to that found by Gauret et. al. (2018), 356 their inclusion complexes showed a remarkable decrease in particle size, range from  $\sim 5 \,\mu m$  up to nanometric 357 level (~100 nm) and with well-defined lamella shaped (tetragonal crystals). In both types of inclusion 358 complexes were observed lamella-like sheets and microrods (Figs. 2b and 2d). 359 Figs. 2a, 2b, 2c, 2d 360 In addition, the microrods from ICs had a very high aspect ratio (see Figs 2e and 2f). Observation over a large 361 number of SEM images suggests that these long microrods stack together to produce the lamella-like sheets. 362 Figs. 2e and 2f 363 364 The morphology similarity of both types of  $\alpha$ -/ $\gamma$ - CD: oregano essential oil inclusion complexes could be 365 explained by considering the solubility of the used CDs (see Table 1). Saokham et al. (2018), and the referenced 366 cited within examinated why the solubility of  $\alpha$  and  $\gamma$  is different towards  $\beta$ ; briefly,  $\gamma$ -CD, which is the largest 367 of the three, is the most soluble (23.20 mg/100 ml  $H_2O$ ) while  $\beta$ -CD, which is in the intermediate, is the least 368 soluble in water (1.8 g/100 ml H<sub>2</sub>O). These differences in the solubility of the CDs are related to the way the 369 CD glucose units are geometrically aligned with each other. It has been proposed that in the  $\beta$ -CD molecule, all 370 the 7 glucose units lie in the same plane. Hence, in this arrangement, all the glucose primary hydroxyl groups 371 at the CD narrower end are able to form hydrogen bonds with each other. At the same time, all the secondary 372 hydroxyl groups at the wider CD opening form hydrogen bonds with each other. The hydrogen bonding below 373 and above the ring leads to secondary belts which increases the rigidity of the  $\beta$ -CD and therefore causes low 374 solubility. Contrary to,  $\alpha$ - and  $\gamma$ - CD which do not have secondary belts therefore their structures are flexible, 375 and are hence very soluble due to the availability of free hydroxyl groups. During trituration of cyclodextrins 376 in aqueous medium, a few water molecules could entrap into the cyclodextrin cavity, whereas other molecules 377 of water are present as integral parts of the crystal structure (crystal water). According to Rusa et al. (2002);

378 Szeijtli (1998); Das et al. (2015) the cyclodextrin - inclusion complexes are formed by the substitution of
379 included water from cyclodextrin cavity by the appropriate guest molecule.

380 Using the above reasoning, the threading of oregano essential oil can occur faster in  $\alpha$ -CD and  $\gamma$ -CD 381 molecules than that with  $\beta$ -CD. Hence, the morphology similarity of both types of ICs ( $\alpha$ -CD:OEO and  $\gamma$ -382 CD:OEO) studied in this work could be explained using the above reasoning. Moreover, the particle size 383 distribution appears quite homogenous and have rather smooth and parallel surfaces (Figs. 2b, 2d, 2e, 2f, 2g, 384 2h). They all have sharp edges, as expected from crystalline structures. The growth of the crystals is definitely 385 preferential in 2D (lamella-shape). Figs. 2g and 2h show other details; the two arrows point out the thickness, 386 around a few hundreds of nm, of a platelet with a size of 100 nm - ~ 5µm. Smaller platelets (under 500 nm) 387 appear to have the same thickness. These results show that the morphological characteristic of inclusion 388 complexes are indeed different from empty "as-received"  $\alpha$ -CD and  $\gamma$ -CD; empty "kneaded for 18 minutes at 389 R.T."  $\alpha$ -CD and  $\gamma$ -CD, and empty" kneaded for 18 minutes with 0.25 mL distilled water at R.T."  $\alpha$ -CD and  $\gamma$ -390 CD. This morphological difference between the empty CDs and the inclusion complexes obtained is in 391 agreement with the observations reported by Guimaraes et al. (2015), and the references cited within.

392

#### Figs. 2g, 2h, 2i, and 2j

393 To elucidate if the morphology, in terms of particle size and well-defined shape, of empty "*as-received*" α- and 394  $\gamma$ - CD was changed due to the encapsulation of essential oil (i.e. low particle size and well-definite lamella 395 shape), was performed SEM also on empty "kneaded for 18 minutes at R.T."  $\alpha$ - and  $\gamma$ - CD, and empty "kneaded 396 for 18 minutes at R.T. with 0.25 ml distilled water (the same quantity used at the preparation of inclusion 397 *complex*)"  $\alpha$ - and  $\gamma$ - CD. The results showed that compared with empty "*as-receive*"  $\alpha$ - and  $\gamma$ - CD there is not 398 significant morphological modification after their kneaded for 18 minutes at R.T. (Figs.  $2a_1$  and  $2c_1$ ) as well as 399 after their kneaded for 18 minutes at R.T. with 0.25 ml distilled water (Figs. 2a<sub>2</sub> and 2c<sub>3</sub>). The presence of 400 aggregates of size from  $\sim 2 \,\mu m$  up to 242  $\mu m$  with undefined shape was revealed; with the exception of empty 401 "kneaded 18 minutes at R.T. with 0.25 ml distilled water" γ-CD where was seen a defined shape, i.e. prisms 402 shape structure (Fig. 2c<sub>2</sub>). Similar observations on "the agglomeration of the free cyclodextrin", were revealed 403 by Rakmai et al. (2017). It indicates the hydrogen bonding of the cyclodextrin molecules (empty) interact with 404 each other in water producing the cluster of cyclodextrin. In addition, Shan et al. (2016) have demonstrated that 405 CDs particle agglomeration might be induced also by moisture content.

406 The  $\alpha$ -CD:OEO and  $\gamma$ -CD:OEO inclusion complexes morphology in the aqueous suspension was also 407 revealed using TEM, and Fig. 3 shows clear lamella shapes with diameters of 0.1  $\mu$ m - ~1  $\mu$ m. In details, at 0.1 408 % (w/v) were detected single lamellas with diameters of 350 nm over a large number of grid holes (Fig. 3a), 409 whereas in Figs.  $3b_{1,3}$  were reported several representative TEM micrographs for 1 % (w/v), lamellas with 410 diameters 170 - 519 nm. In addition, some of these lamellas fused together as indicated by the white arrow, 411 indicating that the lamellas don't simply interact through their surfaces but are able to merge completely in 412 aggregate. Thus, TEM measurements corroborated the results that were obtained from SEM, i.e. a well-defined 413 lamella-shape structures of the inclusion complexes. In contrast, empty  $\gamma$ - CD (1 % w/v, aqueous suspension 414 vortex 10 minutes at 2500 rpm, R.T) presented aggregates made up of a larger number of small spherical 415 particles with diameters of about 5 nm - 325 nm (Figs.  $3c_{12}$ ). These spherical particles seem to interact and 416 form rodlike shape structure with diameters of about 406 nm - 1786 nm (inset of Figs. 3c<sub>3.4</sub> diameter: 790.86 417 nm). Empty  $\alpha$ -CD and  $\alpha$ -CD:OEO inclusion complexes revealed similar morphologies (data not shown). These 418 results support the hypothesis that the spherical particles are not indefinitely stable, thus tend to form a larger 419 structure. These observations are in agreement with those revealed by several groups: Harada et al. (1990), 420 (1992), (1993), and Ceccato et al. (1997) reported the formation of the so-called "molecular tube" a rodlike 421 rigid molecule with an empty hydrophobic cavity that can behave as a host for ions or small organic molecules. 422 Furthermore, Bonini et al. (2006) reported evidence of β-cyclodextrin self-aggregation in water; it was showed 423 also that the concentration plays a critical key on their morphology: polydisperse spherical objects with 424 diameters of about 100 nm were present at low concentration, whereas micrometer planar aggregates are 425 predominated at higher concentrations.

426

#### Fig. 3

Finally, wide-angle X- ray diffraction (WAXD) studies were conducted to confirm the formation of CD:OEO inclusion complexes. According to Marques (2010) and references cited herein, X-ray powder diffraction is the most useful method for the detection of inclusion complexes formation, especially in the case of the guest in the form of liquid molecules (e.g. oils and volatiles), because the liquid guest molecules produce no diffraction patterns and any changes in the diffractogram reflects the formation of a new crystal lattice. Figs. 4a and 4b show the WAXD patterns of empty  $\alpha$ -CD and  $\gamma$ - CD compared with their inclusion complexes. Empty  $\alpha$ -CD and  $\gamma$ -CD differed from each other in their diffraction patterns. The WAXD pattern of empty  $\alpha$ -CD and  $\gamma$ -CD 434revealed several diffraction peaks which are indicative of their crystalline nature, but, according to Rusa et al.435(2002) for α-CD there are three salient peaks associated with its crystal structure occurring at  $2\theta = 12.1^{\circ}$ ,  $14.5^{\circ}$ ,436and  $21.8^{\circ}$  (Fig. 4a). In the diffract grams of both CD:OEO inclusion complexes, some of these characteristic437diffraction peaks disappear.

438 In  $\alpha$ -CD:OEO inclusion complex a new intense diffraction peak appeared at  $2\theta = 19.6^{\circ}$ , which was not 439 observed in empty  $\alpha$ -CD. According to Rusa et al. (2002) the peak at  $2\theta \sim 20^{\circ}$  in the WAXD of  $\alpha$ -CD inclusion 440 complexes is characteristic for the channel structure of  $\alpha$ -CD when including long guest molecules and 441 polymers in particular.

In  $\gamma$ -CD:OEO inclusion complex a new sharp diffraction pick at  $2\theta = 7.6^{\circ}$  (Fig. 4b) was revealed; this pick was not observed in empty "as-received"  $\gamma$ -CD. According to Harada et al. (1996); Rusa et al. (2002) this pick has been suggested as an indicator for  $\gamma$ -CD inclusion complex channel structures. Hence, this behaviour could be attributed to an interaction between cyclodextrin and oregano essential oil showing the presence of a new solid phase.

447

#### Fig. 4

448 3.3. Morphology of the Electrospun CD:OEO inclusion complexes-containing PHBV Films

449 The SEM micrographs of the electrospun fibers of the neat PHBV and the fibers containing the  $\gamma$ -CD:OEO and 450  $\alpha$ -CD:OEO inclusion complexes are shown in Fig. 5. The mean fiber diameters obtained from the SEM images 451 are gathered in Table 3. The diameter of the electrospun fibers of neat PHBV were  $0.89 \pm 0.30 \mu m$ , being very 452 similar to those reported in our previous research work (Melendez-Rodriguez et al., 2019). Figs. 5a, 5b, 5c, 5d, 453 5e show the PHBV fibers containing different concentrations of  $\gamma$ -CD:OEO inclusion complexes, that is, 10, 454 15, 20, 25, and 30 wt%, in which one can observe that the fiber diameters for all samples ranged between 0.87 455  $-0.91 \mu m$ . Up to 25 wt%  $\gamma$ -CD:OEO inclusion complexes, the electrospinning process yielded regular and 456 continuous fibers of PHBV. In the case of the fibers containing 30 wt%  $\gamma$ -CD:OEO inclusion complexes, the 457 fibrilar morphology was affected, losing the homogeneity and continuity due to the high concentration of CD. 458 Figs. 5f, 5g, 5h, 5i, 5j shows the electrospun fibers of PHBV containing different concentrations of  $\alpha$ -CD:OEO 459 inclusion complexes, that is, 10, 15, 20, 25, and 30 wt%. The electrospun fibers containing 10 and 15 wt%  $\alpha$ -460 CD:OEO showed mean diameters of approximately of 0.89 and 0.90 µm, respectively, being homogeneous and 461 with smooth surfaces. The diameter for the fibers containing 20 wt%  $\alpha$ -CD:OEO inclusion complexes

462 increased, with a mean value of  $1.03 \pm 0.25 \,\mu\text{m}$ , and it also presented beaded regions due to potential CD 463 agglomerations. The fibers with 25 and 30 wt%  $\alpha$ -CD:OEO inclusion complexes, shown respectively in Figs. 464 51, 51, presented diameters of 1.17 and 1.22  $\mu$ m. This slight increase in the fiber diameters can be related to the 465 relatively high amount of CDs that aggregated during electrospinning and resulted in destabilization of the 466 electrified jet. The present results agree with the reports of (Topuz & Uyar, 2019), concluding that at low 467 hydroxypropyl-β-CD/Laponite the fibers do not present any significant change in diameter and shape while at 468 high concentrations the nanocomposite nanofibers from a non-polymeric system diameter decreases and also 469 generates aggregates. Furthermore, changes in the solution properties such as viscosity or conductivity may 470 cause variations in the electrospun morphologies. For instance, Aytac, Ipek, Durgun, Tekinay, & Uyar (2017) 471 determined that the diameters of nanofibers containing methylated-β-CD/linalool were lower than those of 472 hydroxypropyl- $\beta$ -CD/linalool due to the lower viscosity and higher conductivity of the aqueous solution. 473 Therefore, the most optimal fibrillary morphologies were attained for PHBV containing 25 wt%  $\gamma$ -CD:OEO 474 inclusion complexes and 15 wt%  $\alpha$ -CD:OEO inclusion complexes.

475

#### Fig. 5

476

#### Table 3

477 The electrospun fibers mats were subjected to annealing in order to obtain a continuous film (Figueroa-Lopez 478 et al., 2019; Melendez-Rodriguez et al., 2019). The surface and cross-section areas of the PHBV films 479 containing  $\gamma$ -CD:OEO inclusion complexes and  $\alpha$ -CD:OEO inclusion complexes were observed by SEM 480 images. As shown in Fig. 6, the surface of the electrospun PHBV films containing 10, 15, 20, and 25 wt%  $\gamma$ -481 CD:OEO inclusion complexes were homogeneous and continuous with a mean thickness of approximately 61 482  $\pm$  1.1, 63  $\pm$  0.98, 70  $\pm$  0.94, and 72  $\pm$  0.72  $\mu$ m, respectively (see Table 3). This is in agreement with the 483 electrospun fiber morphologies described above (see Fig. 5) that showed proper fiber formation until 25 wt%. 484 Moreover, the film containing 30 wt% showed a surface with some cracks due to the high concentration of  $\gamma$ -485 CD:OEO inclusion complexes that difficulted the formation of a continuous film with higher thickness (~  $77 \pm$ 486 0.68  $\mu$ m). The film thicknesses also increased with the concentration of  $\gamma$ -CD:OEO inclusion complexes. Based 487 on these results, the best concentration to attain uniform and homogenous films of PHBV was 25 wt%  $\gamma$ -488 CD:OEO inclusion complexes.

489

490 Fig. 7 showed the surface and cross-section of the films containing  $\alpha$ -CD:OEO inclusion complexes. The 491 thicknesses of the films containing 10 and 15 wt%  $\alpha$ -CD:OEO inclusion complexes were 73 ± 0.99 and 75 ± 492 0.77  $\mu$ m, respectively. These films also showed a homogeneous surface. When the concentrations of  $\alpha$ -493 CD:OEO inclusion complexes increased, the thicknesses were also higher, reaching values of  $81 \pm 0.91$ ,  $83 \pm$ 494 0.86, and  $85 \pm 0.69 \ \mu m$  for 20, 25, and 30 wt%  $\alpha$ -CD:OEO, respectively (see Table 3). Increasing the 495 concentration from 20 wt% also affected the surface and generated cracks with different sizes. This 496 phenomenon has been ascribed to the weak interfacial bond between the CDs and the biopolyester matrix 497 (Ashori, Jonoobi, Avrilmis, Shahreki, & Fashapoveh, 2019). Melendez-Rodriguez et al. (2019) also found that 498 at high concentrations of silica nanoparticles with eugenol, that is, 15 and 20 wt%, the electrospun films showed 499 greater porosity and also some plastic deformation, which was attributed to a plasticization generated by the 500 released oil and a possible migration during the annealing process. In this case, the best concentration to get 501 uniform and homogenous films was 15 wt%  $\alpha$ -CD:OEO inclusion complexes.

502 503

#### Fig. 7

504 3.1. Visual Aspect of the Electrospun CD:OEO-containing PHBV Films

505 The visual aspect of the electrospun PHBV films containing different concentrations of  $\gamma$ -CD:OEO and  $\alpha$ -506 CD:OEO was observed to ascertain their contact transparency. In Fig. 8 it can be observed that the contact 507 transparency was high and though some differences among the samples were seen. The neat PHBV film had a 508 transparency value of  $4.78 \pm 0.08$  and opacity of  $0.037 \pm 0.001$ . When 10 wt%  $\gamma$ -CD:OEO was incorporated, 509 slight changes were observed with respect to the neat PHBV's transparency whereas opacity values without 510 significant differences were obtained. The transparency value and opacity of the films containing 15, 20, and 25 511 wt%  $\gamma$ -CD:OEO increased significantly respect to neat PHBV and 10 wt%. When was incorporate 30 wt%  $\gamma$ -512 CD:OEO the transparency and opacity values were higher respect to the others samples  $(9.23 \pm 0.59 \text{ and } 0.065 \pm$ 513 0.004, respectively). Also, the films containing  $\alpha$ -CD:OEO presented high changes in the transparency and 514 opacity respect to the  $\gamma$ -CD:OEO. In particular, for the film sample containing 10 wt%  $\alpha$ -CD:OEO, a 515 transparency value of  $6.36 \pm 0.63$  and opacity of  $0.047 \pm 0.005$  was obtained. For the 15 and 20 wt%  $\alpha$ -CD:OEO-516 containing films the values were similar while those based on 25 and 30 wt%  $\alpha$ -CD:OEO presented the highest 517 values. For both inclusion complexes, the increment of the concentration caused a light scattering that produced 518 lower transparency and higher opacity. This phenomenon can be important in the design of food packaging 519 materials due to some food products are sensible to the ultraviolet-visible (UV-Vis) light, which can trigger

520 different enzymatic and oxidative reactions (Figueroa-Lopez et al., 2018).

521

#### Fig. 8

## 522 3.2. Thermal Stability of the Electrospun CD:OEO-containing PHBV Films

523 The TGA curves for the neat PHBV,  $\gamma$ -CD,  $\alpha$ -CD, inclusion complexes of  $\gamma$ -CD:OEO and  $\alpha$ -CD:OEO, and the 524 PHBV films containing the inclusion complexes are shown in Fig. 9. The values of mass loss at 5% ( $T_{5\%}$ ), mass 525 at 160 °C (%), which corresponds to annealing temperature of the films (see section 2.4.2), degradation 526 temperature (T<sub>deg</sub>), weight loss at T<sub>deg</sub> (%), and residual mass (%) at 700 °C are gathered in Table 4. In our 527 previous study, the TGA curve for the neat OEO showed a low thermal stability, presented a mass loss at 160 °C 528 around of 40.3 %, with its T<sub>deg</sub> value was 178.4 °C and mass loss was 74.16% at T<sub>deg</sub>, corresponding to the 529 volatilization and/or degradation of principal volatile compounds, such as carvacrol, thymol, and pinene 530 (Figueroa-Lopez et al., 2019). This value is similar to the value of T<sub>deg</sub> of 168 °C reported by (Guimarães et al., 531 2015). The mass loss at 160 °C for the empty CDs were 8.86 % ( $\gamma$ -CD) and 9.42 % ( $\alpha$ -CD), while the T<sub>deg</sub> values 532 were 323.12 °C with a mass loss of 83.01 % for  $\gamma$ -CD and 326.43 °C with a mass loss of 86.29 % for  $\alpha$ -CD. As 533 other authors have indicated, the thermal degradation of powdered molecules can be affected by different factors 534 such as chemical structure, crystallinity, crystal size, and morphology (Campos et al., 2018; Kohata, Jyodoi, & 535 Ohyoshi, 1993). Thus, the thermal stability of CDs depends on the size of the crystal, showing greater thermal 536 stability the larger crystals (Giordano, Novak, & Moyano, 2001; Nakanishi et al., 1997). When the essential oil 537 was encapsulated into CDs, the inclusion complexes enhanced the thermal stability due to the interactions 538 between the guest molecule and the cavity of the cyclodextrins achieving a protection of the volatiles compounds 539 (Kayaci & Uyar, 2012). The mass loss for  $\gamma$ -CD:OEO inclusion complexes at 160 °C was nearly 16.9%, having 540 a T<sub>deg</sub> of 326.28 °C with a mass loss of 86.11 % at T<sub>deg</sub>. In the case of  $\alpha$ -CD:OEO inclusion complexes, the mass 541 loss at 160 °C was 9.69% and T<sub>deg</sub> was 330.50 °C with a mass loss at T<sub>deg</sub> of approximately 85.70%. The inclusion 542 complexes showed two mass losses, one below 100 °C corresponding to the loss of water from the cavity and 543 another above 280 °C, which is attributed to the main thermal degradation of CDs (Aytac et al., 2017). Shin, 544 Kathuria, & Lee (2019) reported similar results for triacetyl (TA) encapsulated in  $\beta$ -CD, obtaining a T<sub>deg</sub> of 293.99 545 °C for  $\beta$ -CD and for the inclusion complex of TA- $\beta$ -CD its T<sub>deg</sub> was 340.62 °C. The thermal degradation of the 546 PHBV films containing inclusion complexes increased slightly the value of T<sub>deg</sub> respect to the neat PHBV. The 547 mass loss values at 160 °C for all films containing CDs and the inclusion complexes were similar, showing values 548 between 1.21 - 1.71%. The slight differences can be ascribed to the size and load capacity of the two tested CDs. 549 The value of T<sub>deg</sub> for the PHBV with 25 wt%  $\gamma$ -CD:OEO was 322.52 °C with a mass loss of 96.12 %, while T<sub>deg</sub> 550 for the PHBV with 25 wt%  $\gamma$ -CD without OEO was slightly lower (320.11 °C). Furthermore, the PHBV film 551 containing 15 wt% α-CD:OEO showed a T<sub>deg</sub> around 313.70 °C with a mass loss of 96.98 % and the films with 552 15 wt%  $\alpha$ -CD without OEO presented a T<sub>deg</sub> of 309.27 °C and mass loss around 96.28 %. Then, the thermal 553 stability of OEO was improved in the electrospun PHBV films. In this regard, (Yildiz, Celebioglu, Kilic, Durgun, 554 & Uyar, 2018) reported an improvement of the thermal stability of CD:menthol inclusion complex in aqueous 555 solutions nanofibers. Other studies have also suggested that the addition of substances such as powder, 556 nanoparticles, EOs into electrospun biopolymer films increased the maximum decomposition temperature 557 (Melendez-Rodriguez et al., 2019; Quiles-Carrillo, Montanes, Lagaron, Balart, & Torres-Giner, 2019b; 558 Zainuddin, Kamrul Hasan, Loeven, & Hosur, 2019). 559 Fig. 9 560 Table 4 561

562 3.3. Mechanical Properties of the Electrospun CD:OEO-containing PHBV Films

563 The mechanical properties of the electrospun PHBV films containing the inclusion complexes are shown in Table 564 5. The neat PHBV film presented a E value of 1252 MPa, a  $\sigma_b$  value of 18.1 MPa, and a  $\Box_b$  value of 2.4%, being 565 very similar values than those reported in our previous work (Melendez-Rodriguez et al., 2019). The elastic 566 modulus increased when CDs were included in the PHBV matrix. The E value for the PHBV film with 25% wt 567  $\gamma$ -CD was 1692 MPa and for the PHBV film with 15% wt  $\alpha$ -CD, the E value was 1594 MPa. Likewise, the E 568 values were higher in the PHBV films containing CDs with OEO compared with the films with CDs without 569 OEO, showing a E value of 1472 MPa for the PHBV with 25% wt  $\gamma$ -CD:OEO and an E value of 1698 MPa for 570 the PHBV with 25% wt  $\alpha$ -CD:OEO. These significant increases of elasticity of PHBV films were induced by the 571 presence of powder particles, that is, CDs, which potentially generated low interfacial interactions between the 572 hydrophilic compounds of  $\gamma$ -CD and  $\alpha$ -CD and the hydrophobic PHBV matrix and OEO, producing a reduction 573 in ductility and consequently an increment in mechanical resistance (Zainuddin et al., 2019). Indeed, the values 574 of  $\sigma_b$  decreased in the PHBV containing CDs, with values between 9.04 MPa and 9.83 MPa, while the  $\Box_b$  values 575 of PHBV films also decreased from 2.4% to 0.78 % due to presence of CDs and OEO. As reported by (Shin et 576 al., 2019), the addition of  $\beta$ -CD containing allyl isothiocyanate (AITC) reduced the tensile strength and 577 elongation by 84% and 96%, respectively, of LDPE films obtained by extrusion. In another work, (Melendez-578 Rodriguez et al., 2019) reported an improvement of the elastic modulus and tensile strength of electrospun PHBV 579 films when mesoporous silica nanoparticles containing eugenol were incorporated. The PHBV films here-580 prepared with the inclusion complexes are slightly less deformable and therefore have greater elasticity than films 581 produced using other commercial biopolymers, which facilitates the development of materials for the design of 582 packaging to protect food (Ouiles-Carrillo et al., 2019b).

583

#### Table 5

584 3.4. Antimicrobial activity of the Electrospun CD:OEO-containing PHBV Films

585 Table 6 showed the MIC and MBC values of  $\gamma$ -CD:OEO, and  $\alpha$ -CD:OEO against S. aureus and E. coli. In our 586 previous studies it was reported that the MIC and MBC for pure OEO against S. aureus was 0.312 µL/mL and 587 for E. coli was 0.625 µL/mL (Figueroa-Lopez et al., 2019). Results showed that the encapsulation of OEO in CDs 588 increased the antibacterial activity. The MIC and MBC values of  $\gamma$ -CD:OEO against S. aureus was 0.039 µg/mL 589 and against *E. coli* was 0.078  $\mu$ g/mL. In the case of  $\alpha$ -CD:OEO, the MIC ad MBC values were 0.078  $\mu$ g/mL, 590 against S. aureus, and 0.156 µg/mL, against E. coli, so that these values agree with that reported by (Liang, Yuan, 591 Vriesekoop, & Lv, 2012) for  $\alpha$ -CD/carvacrol (MIC = 0.125 µg/mL). The higher antibacterial activity of  $\gamma$ -592 CD:OEO inclusion complexes can be attributed to its higher cavity size, encapsulation efficiency, and loading 593 capacity compared with  $\alpha$ -CD:OEO inclusion complexes, as reported above in Table 2. For both inclusion 594 complexes, S. aureus was more sensitive than E. coli. In this regard, inclusion complexes have been reported to 595 elevate the aqueous solubility of encapsulated hosts resulting in improved antimicrobial efficiency of EOs and 596 their components at lower concentration (Das et al., 2019). (M. Zhang et al., 2018) evaluated the antimicrobial 597 activity of  $\gamma$ -CD/alamethicin complex against L. monocytogenes, showing that the use of CD increased the 598 solubility of alamethicin in aqueous medium thereby allowing more alamethicin to interact with the cell 599 membranes resulting in higher antimicrobial activity.

600

#### Table 6

601

602 Figs. 10a and 10b show the antibacterial activity results of the PHBV films containing 25 wt% γ-CD:OEO and 603 15 wt% α-CD:OE in an open and closed system for up to 15 days. The films used as control (samples without 604 the inclusion complexes) presented an E. coli and S. aureus growth in the range between 4.16 x 10<sup>6</sup> and 6.05 x 605 10<sup>6</sup> CFU/mL. As shown in Fig. 10a, the reduction versus S. aureus and E. coli for the films containing 25 wt% 606  $\gamma$ -CD:OEO was strong (R  $\geq$  3), reaching a reduction for up to 3.63 and 3.28 Log<sub>10</sub> (CFU/mL), respectively, 607 after 15 days of evaluation. The PHBV films containing 15 wt%  $\alpha$ -CD:OEO at day 1 presented a significant 608 inhibition ( $R \ge 1$  and <3) and at days 3, 8, and 15 the inhibition was strong showing a reduction of up to 3.15 609 Log<sub>10</sub> (CFU/mL) against S. aureus. The inhibition achieved for E. coli was significant, obtaining a reduction of 610 2.64 Log<sub>10</sub> (CFU/mL) at day 15. These results correlate well with the antimicrobial properties included in Table 611 6 and Fig. 10 that show that the antibacterial activity of the  $\gamma$ -CD:OEO inclusion complexes was higher than 612 the  $\alpha$ -CD:OEO inclusion complexes.

613 The reduction of the S. aureus and E. coli using PHBV films containing 25 wt% y-CD:OEO inclusion 614 complexes and 15 wt%  $\alpha$ -CD:OEO inclusion complexes in a closed system for up to 15 days of analysis are 615 showed in Fig. 10b. All values in the closed system showed slightly higher values of reduction compared with 616 the open system due to the release of the volatile compounds that were accumulated in the headspace. The film 617 containing 25 wt%  $\gamma$ -CD:OEO inclusion complexes presented a strong activity against both bacteria during the 618 15 days of the study (R  $\geq$  3). The antimicrobial activity for  $\gamma$ -CD:OEO inclusion complexes was higher than  $\alpha$ -619 CD:OEO inclusion complexes, which is in accordance to the characteristics of this type of CD, that are, a higher 620 solubility and a bigger pore size  $(-\gamma)$  (Szejtli, 1998). Moreover, this is produced by the CD inclusion complexes 621 mechanism that increases the solubility and therefore provides an efficient release of the hydrophobic agent in 622 bacterial medium (Liang et al., 2012). Likewise, (Celebioglu, Umu, Tekinay, & Uyar, 2014) observed that films 623 containing triclosan/HP $\beta$ CD and triclosan/HP $\gamma$ CD inclusion complexes showed better antibacterial activity 624 against both bacteria compared to the film with uncomplexed pure triclosan. Furthermore, the inhibition of S. 625 aureus was slightly higher compared to E. coli due to the cellular wall differences between Gram negative (G-626 ) and Gram positive (G+) bacteria (Rakmai, Cheirsilp, Mejuto, Torrado-Agrasar, & Simal-Gándara, 2017). 627

628

#### Fig. 10

629 3.4.1. Antioxidant Activity of the Electrospun CD:OEO-containing PHBV Films

630 The inhibition percentage (%) of DPPH and concentration (eq. trolox/g sample) of DPPH for the pure OEO,  $\gamma$ -

631 CD:OEO inclusion complexes,  $\alpha$ -CD:OEO inclusion complexes, and the electrospun PHBV films containing  $\gamma$ -

CD:OEO and  $\alpha$ -CD:OEO are shown in Fig. 11 and Table 7. These systems were also evaluated in an open and 632

633 closed system for 15 days. Neat OEO presented a high percentage of inhibition (91.96%) attributed to its main 634 active compounds (*i.e.*, carvacrol, thymol, p-cymene,  $\gamma$ -terpinene) (Figueroa-Lopez et al., 2019). The DPPH 635 inhibition for  $\gamma$ -CD:OEO inclusion complexes was 82.51 % and for  $\alpha$ -CD:OEO inclusion complexes it was 76.32 636 %. Therefore, OEO decreased the percentage of inhibition when it was encapsulated in CDs, which can be related 637 to the encapsulation efficiency and loading capacity of the inclusion complexes reported above (see Table 2). The 638 higher antioxidant activity attained with  $\gamma$ -CD:OEO inclusion complexes can be related to its greater 639 encapsulation efficiency when compared with  $\alpha$ -CD:OEO inclusion complexes. As indicated by Lu, Cheng, Hu, 640 Zhang, & Zou (2009) the antioxidant activity of resveratrol in free form showed little difference with that of 641 resveratrol in complex form at the same concentration.

642 The antioxidant activity of biodegradable films is generally proportional to the amount of antioxidant additives 643 added whereas the thermal process to obtain the films cab also highly affect bioactivity since most bioactive 644 compounds are sensitive to temperatures above 80 °C (Jouki, Yazdi, Mortazavi, & Koocheki, 2014). The 645 electrospun films containing OEO, that is, PHBV with 10 wt% OEO, showed a low inhibition of DPPH (24.54%) 646 with respect to the films containing the inclusion complexes, which were 53.16% for PHBV with 25 wt%  $\gamma$ -647 CD:OEO inclusion complexes and 45.34% for PHBV with 15 wt%  $\alpha$ -CD:OEO inclusion complexes, at day 1 of 648 evaluation. From day 3, all the PHBV films started to show lower antioxidant activity. In the closed system, the 649 films presented a slightly higher DPPH inhibition than the films of the open system due to the release of OEO 650 volatile compounds to the packaging headspace. For the last day of evaluation, that is, day 15, the PHBV with 10 651 wt% OEO films showed an inhibition of DPPH in the open and closed system of 14.90-15.24 % (15.75 - 16.47 652  $\mu g$  eq trolox/g sample), respectively. The PHBV film containing 15 wt%  $\alpha$ -CD:OEO inclusion compelses 653 presented an inhibition of 36.11–37.24 % (38.42 - 39.26 µg eq trolox/g sample) while the PHBV film with 25 654 wt%  $\gamma$ -CD:OEO inclusion complexes presented the highest antioxidant activity with a DPPH inhibition of 45.26– 655 47.02 % (48.17 - 49.95 µg eq trolox/g sample). These results demonstrate that the here-prepared inclusion 656 complexes can successfully protect the volatile compounds responsible for the active properties of OEO, a 657 thermolabile substance, in a similar way that observed above in the antimicrobial test. These results also agree 658 with the research work of (Aytac et al., 2017) where the antioxidant activity of electrospun fibers of PLA 659 containing  $\beta$  – CD:gallic acid was slightly superior to the fibers of PLA containing neat gallic acid, being this 660 effect attributed to the solubility of gallic acid in alcohols and the position of gallic acid in the cavity of  $\beta$ -CD.

| 661 | Likewise, Kaolaor, Phunpee, Ruktanonchai, & Suwantong (2019) determined a high antioxidant activity of                      |
|-----|---|
| 662 | $\beta$ – CD:curcumin in poly(vinyl alcohol) (PVOH) blend films, which was attributed to the complexity of curcumin         |
| 663 | in the cavity of $\beta$ -CD. In conclusion, the electrospun films of PHBV with 25 wt% $\gamma$ -CD:OEO inclusion complexes |
| 664 | managed to maintain a high antioxidant activity for a longer period, which indicates that this material can be used         |
| 665 | in the design of active packaging that helps to maintain the physical, chemical, and microbiological characteristics        |
| 666 | of the food products (Robertson, 2005).   |
| 667 |   |
| 668 | Fig. 11   |
| 669 | Table 7   |
| 670 |   |

#### 671 4. Conclusions

686

672 The KM and FDM were used to prepare inclusion complexes between  $\alpha$ - and  $\gamma$ -CD (host) and oregano 673 essential oil (guest). Both methods presented high encapsulation efficiencies, with KM complexes having 674 higher encapsulation efficiencies than FDM based ICs, that can be justified by the shear rate applied. In addition, 675 besides of shear rate, other factors, such as weight ratio host-guest (80:20 w/w and 85:15 w/w) and types of 676 wall materials ( $\alpha$ - and  $\gamma$ -CD); solvent quantity (KM: 0.25 ml and FDM: 2.5 ml); and mixing time (KM:18 677 minutes towards FDM  $\Box$  48 h for the formation of inclusion complex) might affects the properties of the 678 obtained complexes such as encapsulation efficiency. KM revealed to be the most efficient method for 679 encapsulation of oregano essential oil in CD cavity, and moreover it added value also in terms of rapidity (KM: 680 18 minutes towards FDM  $\Box$  48 h for the formation of inclusion complex), and the desired characteristics of the 681 final product ( $\gamma$ -CD: oregano essential oil (80:20 w/w) – EE, % of 98.5 ± 0.7 and LC, % of 19.6 ± 0.1). 682 The inclusion complexes presented a high antimicrobial and antioxidant activity, which allowed their addition 683 to the PHBV fibers for film formation. The best concentration of inclusion complexes for homogeneous and 684 continuous film formation were 25 wt%  $\gamma$ -CD:OEO inclusion complexes and 15 wt%  $\alpha$ -CD:OEO inclusion

685 complexes. The addition of the inclusion complexes in the PHBV matrix improved the mechanical and thermal

687 the encapsulation system, that protects bioactive compounds. The improved properties of PHBV films allow

properties. Likewise, the antimicrobial and antioxidant activity of the films were maintained by 15 days, due to

their application in the design of biodegradable active packaging systems to contain food and extend their shelf

689 life.

690

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Molecular dimensions and physical properties of  $\alpha$ - and  $\gamma$ -cyclodextrins (CDs) (Szejtli, 1998).

Fig. 1. (a) Chemical structure and (b) the toroidal shape of  $\alpha$ - and  $\gamma$ -cyclodextrins (CDs).

#### Table 2

Encapsulation efficiency (EE,%) and loading capacity (LC,%) values of the  $\alpha$ - and  $\gamma$ -cyclodextrin: oregano essential oil inclusion complexes ( $\alpha$ -CD:OEO and  $\gamma$ -CD:OEO) by kneading method (KM) and freeze-drying method (FDM).

**Fig. 2.** Scanning electron microscopy images of (**a**) empty "*as-received*"  $\alpha$ -CD (10671x and 1014x), (**a**<sub>1</sub>) empty "*kneaded for18 minutes at R.T.*"  $\alpha$ -CD (7226x and 696x), (**a**<sub>2</sub>) empty "*kneaded for18 minutes with 0.25 mL distilled water at R.T.*"  $\alpha$ -CD (7546x and 828x); (**b**)  $\alpha$ -CD:OEO inclusion complex (10965x and 1313x); (**c**) empty "*as-received*"  $\gamma$ -CD (12152x and 700x), (**c**<sub>1</sub>) empty "*kneaded for 18 minutes at R.T.*"  $\gamma$ -CD) (8924x and 776x), (**c**<sub>2</sub>) empty "*kneaded for 18 minutes with 0.25 mL distilled water at R.T.*"  $\gamma$ -CD (6979x and 755x); (**d**)  $\gamma$ -CD:OEO inclusion complex (9379x and 1036x).

Fig. 2. Scanning electron microscopy images of (e)  $\alpha$ -CD:OEO inclusion complex, and (f)  $\gamma$ -CD:OEO inclusion complex.

**Fig. 2.** Scanning electron microscopy images of (g)  $\alpha$ -CD:oregano essential oil (80:20 w/w, KM) inclusion complex, (h)  $\gamma$ -CD:oregano essential oil (80:20 w/w, KM) inclusion complex; (i) empty "*as-received*"  $\alpha$ - CD and (j) empty "*as-received*"  $\gamma$ -CD.

**Fig. 3**. TEM micrographs of (a) 0.1% (w/v)  $\gamma$ -CD:OEO inclusion complex aqueous suspension vortex 10 minutes at 2500 rpm, R.T.; (**b**<sub>1-3</sub>) 1 % (w/v)  $\gamma$ -CD:OEO inclusion complex aqueous suspension vortex 10 minutes at 2500 rpm, R.T.; (**c**<sub>1-4</sub>) empty "as-received"  $\gamma$ - CD aqueous solution vortex 10 minutes at 2500 rpm, R.T.

Fig. 4. X-Ray patterns of (a) empty  $\alpha$ -CD and  $\alpha$ -CD:OEO inclusion complexes, (b) empty  $\gamma$ -CD and  $\gamma$ -CD:OEO inclusion complexes (80:20 w/w, KM).

**Fig. 5**. Scanning electron microscopy micrographs of electrospun fibers of poly(3-hydroxybutyrate-*co*-3-hydroxyvalerate) (PHBV) containing oregano essential oil α- and γ-cyclodextrin inclusion complexes (α-CD:OEO and γ-CD:OEO): (a) 10 wt% γ-CD:OEO, (b) 15 wt% γ-CD:OEO, (c) 20 wt% γ-CD:OEO, (d) 25 wt% γ-CD:OEO, (e) 30 wt% γ-CD:OEO, (f) 10 wt% α-CD:OEO, (g) 15 wt% α-CD:OEO, (h) 20 wt% α-CD:OEO, (i) 25 wt% α-CD:OEO, and (j) 30 wt% α-CD:OEO. Scale markers of 10 µm in all cases.

#### Table 3

Mean diameters and thickness of the electrospun fibers and film thicknesses of poly(3-hydroxybutyrate-*co*-3-hydroxyvalerate) (PHBV) containing oregano essential oil  $\alpha$ - and  $\gamma$ -cyclodextrin inclusion complexes ( $\alpha$ -CD:OEO and  $\gamma$ -CD:OEO).

**Fig. 6.** Scanning electron microscopy micrographs of electrospun films of poly(3-hydroxybutyrate-*co*-3-hydroxyvalerate) (PHBV) containing oregano essential oil  $\alpha$ -cyclodextrin inclusion complexes ( $\alpha$ -CD:OEO): (**a**, **b**) 10 wt%  $\gamma$ -CD:OEO, (**c**, **d**) 15 wt%  $\gamma$ -CD:OEO, (**e**, **f**) 20 wt%  $\gamma$ -CD:OEO, (**g**, **h**) 25 wt%  $\gamma$ -CD:OEO, and (**i**, **j**) 30 wt%  $\gamma$ -CD:OEO. Scale markers of 50 µm in all cases.

**Fig. 7.** Scanning electron microscopy (SEM) micrographs of electrospun films of poly(3-hydroxybutyrate-*co*-3-hydroxyvalerate) (PHBV) containing oregano essential oil  $\alpha$ -cyclodextrin inclusion complexes ( $\alpha$ -CD:OEO): (**a**, **b**) 10 wt%  $\alpha$ -CD:OEO, (**c**, **d**) 15 wt%  $\alpha$ -CD:OEO, (**e**, **f**) 20 wt%  $\alpha$ -CD:OEO, (**g**, **h**) 25 wt%  $\alpha$ -CD:OEO, and (**i**, **j**) 30 wt%  $\alpha$ -CD:OEO. Scale markers of 10 µm in all cases. Scale markers of 50 µm in all cases.

**Fig. 8.** Visual aspect of electrospun films of poly(3-hydroxybutyrate-*co*-3-hydroxyvalerate) (PHBV) containing oregano essential oil  $\alpha$ - and  $\gamma$ -cyclodextrin inclusion complexes ( $\alpha$ -CD:OEO and  $\gamma$ -CD:OEO). Films are 2 x 2 cm<sup>2</sup>.

**Fig. 9.** Thermogravimetric analysis (TGA) curves for the oregano essential oil  $\alpha$ - and  $\gamma$ -cyclodextrin inclusion complexes ( $\alpha$ -CD:OEO and  $\gamma$ -CD:OEO) and the electrospun films of poly(3-hydroxybutyrate-*co*-3-hydroxyvalerate) (PHBV) containing  $\gamma$ -CD:OEO and  $\alpha$ -CD:OEO inclusion complexes.

#### Table 4

Thermal properties defined as mass loss at 5% (T<sub>5%</sub>), mass at 160 °C, degradation temperature (T<sub>deg</sub>), weight loss at T<sub>deg</sub>, and residual mass at 700 °C for oregano essential oil (OEO),  $\alpha$ - and  $\gamma$ -cyclodextrins ( $\alpha$ -CD and  $\gamma$ -CD), their inclusion complexes ( $\alpha$ -CD:OEO and  $\gamma$ -CD:OEO), and the electrospun films of poly(3-hydroxybutyrate-*co*-3-hydroxyvalerate) (PHBV) and PHBV containing  $\gamma$ -CD and  $\alpha$ -CD and  $\alpha$ -CD:OEO and  $\gamma$ -CD:OEO inclusion complexes.

#### Table 5

Mechanical properties in terms of elastic modulus (E), tensile strength at break ( $\sigma_b$ ), elongation at break ( $\varepsilon_b$ ) for the electrospun films of poly(3-hydroxybutyrate-*co*-3-hydroxyvalerate) (PHBV) and PHBV containing  $\alpha$ - and  $\gamma$ -cyclodextrins ( $\alpha$ -CD and  $\gamma$ -CD) and the oregano essential oil (OEO) inclusion complexes ( $\alpha$ -CD:OEO and  $\gamma$ -CD:OEO).

#### Table 6

Minimum inhibitory concentration (MIC) and minimum bactericidal concentration (MBC) of the oregano essential oil  $\alpha$ - and  $\gamma$ -cyclodextrin inclusion complexes ( $\alpha$ -CD:OEO and  $\gamma$ -CD:OEO) against *S. aureus* and *E. coli*.

**Fig. 10.** Antimicrobial activity of the electrospun films of poly(3-hydroxybutyrate-*co*-3-hydroxyvalerate) (PHBV) containing 25 wt% oregano essential oil  $\gamma$ -cyclodextrin inclusion complex ( $\gamma$ -CD:OEO) and 15 wt% OEO  $\alpha$ -cyclodextrin inclusion complex ( $\alpha$ -CD:OEO) in an open and closed system for 15 days against *S. aureus* and *E. coli*.

**Fig. 11.** Inhibition percentage (%) of 2,2-diphenyl-1-picrylhydrazyl radical (DPPH) for pure oregano essential oil (OEO), oregano essential oil  $\gamma$ - and  $\alpha$ -cyclodextrin inclusion complex ( $\gamma$ -CD:OEO and  $\alpha$ -CD:OEO) and the electrospun poly(3-hydroxybutyrate-*co*-3-hydroxyvalerate) (PHBV) films containing 25wt%  $\gamma$ -CD:OEO and 15 wt%  $\alpha$ -CD:OEO inclusion complexes in an open a closed system for 15 days.

#### Table 7

Concentration (eq. trolox/g sample) of 2,2-diphenyl-1-picrylhydrazyl radical (DPPH) for the electrospun poly(3-hydroxybutyrate-*co*-3-hydroxyvalerate) (PHBV) films containing 25 wt% oregano essential oil  $\gamma$ -cyclodextrin inclusion complex ( $\gamma$ -CD:OEO) and 15 wt% OEO  $\alpha$ -cyclodextrin inclusion complex ( $\alpha$ -CD:OEO) in an open a closed system for 15 days.





Hydrophilic Exterior

Fig. 1





20 HV spot det nag ⊞ WD H™V - 5 µm -











Figs. 2a, 2b, 2c and 2d



Figs. 2e and 2f





Figs. 2g, 2h, 2i and 2j





Fig. 3



empty γ-CD γ-CD:OEO

50

40

60

Fig. 4



Fig. 5



Fig. 6



Fig. 7

| <b>T</b> = 5.73 ± 0.34   | <b>T</b> = 6.11 ± 0.14   | <i>T</i> = 6.45 ± 0.11   | <b>T</b> = 6.54 ± 0.35   | $T = 9.23 \pm 0.59$      |
|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|
| γ-CD:OEO<br>10%          | γ-CD:OEO<br>15%          | γ-CD:OEO<br>20%          | γ-CD:OEO<br>25%          | γ-CD:OEO<br>30%          |
| <b>O</b> = 0.039 ± 0.002 | <b>O</b> = 0.042 ± 0.001 | <b>O</b> = 0.047 ± 0.001 | <b>O</b> = 0.048 ± 0.002 | <b>O</b> = 0.065 ± 0.004 |
| $T = 6.36 \pm 0.63$      | <b>T</b> = 7.00 ± 1.56   | <b>T</b> = 7.50 ± 0.97   | <b>T</b> = 8.81 ± 0.71   | <b>T</b> = 12.72 ± 0.33  |
| α-CD:OEO<br>10%          | α-CD:OEO<br>15%          | α-CD:OEO<br>20%          | α-CD:OEO<br>25%          | α-CD:OEO<br>30%          |
| <b>O</b> = 0.047 ± 0.005 | <b>O</b> = 0.052 ± 0.009 | <b>O</b> = 0.055 ± 0.006 | <b>O</b> = 0.067 ± 0.005 | <b>O</b> = 0.078 ± 0.002 |

Fig. 8



Fig. 9



Fig. 10



Fig. 11

| CD | No of   | Molecular | Molecular Dimensions (Å) |          |        | Solubility at 25 °C         |
|----|---------|-----------|--------------------------|----------|--------|-----------------------------|
|    | Glucose | weight    | Inside                   | Outside  | Height | (g/100 mL H <sub>2</sub> O) |
|    | units   | (g/mol)   | diameter                 | diameter |        |                             |
| α  | 6       | 973       | 5.7                      | 13.7     | 7.0    | 14.50                       |
| γ  | 8       | 1297      | 9.5                      | 16.9     | 7.0    | 23.20                       |

Molecular dimensions and physical properties of  $\alpha$ - and  $\gamma$ -cyclodextrins (CDs) (Szejtli, 1998).

Encapsulation efficiency (EE,%) and loading capacity (LC,%) values of the  $\alpha$ - and  $\gamma$ -cyclodextrin: oregano essential oil inclusion complexes ( $\alpha$ -CD:OEO and  $\gamma$ -CD:OEO) by kneading method (KM) and freeze-drying method (FDM).

| Method | Inclusion Complex | EE (%)*             | LC (%)*                 |
|--------|-------------------|---------------------|-------------------------|
|        |                   |                     |                         |
|        | γ-CD:OEO          | $98.50\pm0.7^{a}$   | $19.60 \pm 0.1^{a}$     |
|        | 80: 20 w/w        |                     |                         |
|        | α-CD:OEO          | $92.60\pm3.6^a$     | $18.60\pm0.7^{\rm a}$   |
| KM     | 80: 20 w/w        |                     |                         |
|        | γ-CD:OEO          | $71.20 \pm 6.5^{a}$ | $10.80 \pm 1.0^{a}$     |
|        | 85: 15 w/w        |                     |                         |
|        | α-CD:OEO          | $96.20\pm1.4^{a}$   | $14.40\pm0.2^{a}$       |
|        | 85: 15 w/w        |                     |                         |
|        | γ-CD:OEO          | $93.60 \pm 2.5^{b}$ | $18.70 \pm 0.5^{b}$     |
|        | 80: 20 w/w        |                     |                         |
|        | α-CD:OEO          | $36.03 \pm 1.2^{b}$ | $7.20\pm0.2^{\rm b}$    |
| FDM    | 80: 20 w/w        |                     |                         |
|        | γ-CD:OEO          | $96.70\pm0.6^{b}$   | $14.50\pm0.1^{b}$       |
|        | 85: 15 w/w        |                     |                         |
|        | α-CD:OEO          | $50.02\pm2.6^{b}$   | $7.50\pm0.4^{\text{b}}$ |
|        | 85: 15 w/w        |                     |                         |

\*Values given are averages of triplicate samples  $\pm$  standard deviations. Average values with different superscript letters differ statistically ( $p \square 0.05$ ); (a and b: indicate statistically difference among formulations KM vs FDM, values followed by the same letter are not statistically different according to Tukey's Multiple Range Test).

Mean diameters and thickness of the electrospun fibers and film thicknesses of poly(3-hydroxybutyrate-*co*-3-hydroxyvalerate) (PHBV) containing oregano essential oil  $\alpha$ - and  $\gamma$ -cyclodextrin inclusion complexes ( $\alpha$ -CD:OEO and  $\gamma$ -CD:OEO).

| Sample                         | Fiber diameter (µm) | Film thickness (µm) |
|--------------------------------|---------------------|---------------------|
| PHBV                           | $0.89\pm0.30$       | $64 \pm 0.75$       |
| PHBV + 10 wt% γ-CD:OEO         | $0.87 \pm 0.15$     | $61 \pm 1.10$       |
| PHBV + 15 wt% $\gamma$ -CD:OEO | $0.88\pm0.22$       | $63 \pm 0.98$       |
| PHBV + 20 wt% $\gamma$ -CD:OEO | $0.86\pm0.18$       | $70 \pm 0.94$       |
| PHBV + 25 wt% $\gamma$ -CD:OEO | $0.85 \pm 0.27$     | $72 \pm 0.72$       |
| PHBV + 30 wt% $\gamma$ -CD:OEO | $0.91 \pm 0.32$     | $77 \pm 0.68$       |
| PHBV + 10 wt% <i>α</i> -CD:OEO | $0.89\pm0.20$       | $73 \pm 0.99$       |
| PHBV + 15 wt% <b>α</b> -CD:OEO | $0.90 \pm 0.17$     | $75 \pm 0.77$       |
| PHBV + 20 wt% <b>α</b> -CD:OEO | $1.03 \pm 0.25$     | $81 \pm 0.91$       |
| PHBV + 25 wt% <b>α</b> -CD:OEO | $1.17 \pm 0.19$     | $83 \pm 0.86$       |
| PHBV + 30 wt% <b>α</b> -CD:OEO | $1.22 \pm 0.12$     | $85 \pm 0.69$       |

Thermal properties defined as mass loss at 5% ( $T_{5\%}$ ), mass at 160 °C, degradation temperature ( $T_{deg}$ ), weight loss at  $T_{deg}$ , and residual mass at 700 °C for oregano essential oil (OEO),  $\alpha$ - and  $\gamma$ -cyclodextrins ( $\alpha$ -CD and  $\gamma$ -CD), their inclusion complexes ( $\alpha$ -CD:OEO and  $\gamma$ -CD:OEO), and the electrospun films of poly(3-hydroxybutyrate-*co*-3-hydroxyvalerate) (PHBV) and PHBV containing  $\gamma$ -CD and  $\alpha$ -CD and  $\alpha$ -CD:OEO and  $\gamma$ -CD:OEO inclusion complexes.

| Sample                         | T <sub>5%</sub> (°C) | Mass at | T <sub>deg</sub> | Mass loss | Residual mass |
|--------------------------------|----------------------|---------|------------------|-----------|---------------|
|                                |                      | 160°C   | (°C)             | (%)       | (%)           |
|                                |                      | (%)     |                  |           |               |
| PHBV                           | 245.03               | 0.15    | 278.70           | 97.73     | 2.10          |
| OEO                            | 46.26                | 40.3    | 178.40           | 74.16     | 0.14          |
| <b>γ-</b> CD                   | 49.23                | 8.86    | 323.12           | 83.01     | 4.15          |
| <b>α-</b> CD                   | 55.21                | 9.42    | 326.43           | 86.39     | 3.38          |
| <b>γ-</b> CD:OEO               | 81.60                | 16.9    | 326.28           | 86.11     | 5.23          |
| <b>α-</b> CD:OEO               | 62.97                | 9.63    | 330.50           | 85.70     | 5.51          |
| PHBV + 25 wt% γ-CD             | 240.95               | 1.21    | 320.11           | 95.13     | 1.56          |
| PHBV + 25 wt% γ-CD:OEO         | 252.02               | 1.71    | 322.52           | 96.12     | 1.48          |
| PHBV + 15 wt% $\alpha$ -CD     | 241.55               | 1.31    | 309.27           | 96.28     | 1.71          |
| PHBV + 15 wt% <b>α</b> -CD:OEO | 247.23               | 1.63    | 313.70           | 96.98     | 1.11          |

Mechanical properties in terms of elastic modulus (E), tensile strength at break ( $\sigma_b$ ), elongation at break ( $\varepsilon_b$ ) for the electrospun films of poly(3-hydroxybutyrate-*co*-3-hydroxyvalerate) (PHBV) and PHBV containing  $\alpha$ - and  $\gamma$ -cyclodextrins ( $\alpha$ -CD and  $\gamma$ -CD) and the oregano essential oil (OEO) inclusion complexes ( $\alpha$ -CD:OEO and  $\gamma$ -CD:OEO).

| Sample                         | E (MPa)                   | σ <sub>b</sub> (MPa) | <i>Eb</i> (%)           |
|--------------------------------|---------------------------|----------------------|-------------------------|
| Neat PHBV                      | $1252 \pm 79^{a}$         | $18.1 \pm 2.1^{a}$   | $2.4\pm0.3^{a}$         |
| PHBV + 25 wt% $\gamma$ -CD     | $1692 \pm 434^{\text{b}}$ | $9.04\pm4.2^{b}$     | $0.78\pm0.3^{\text{b}}$ |
| PHBV + 25 wt% γ-CD:OEO         | $1472 \pm 136^{\circ}$    | $9.83\pm0.8^{\rm b}$ | $1.25\pm0.2^{\circ}$    |
| PHBV + 15 wt% $\alpha$ -CD     | $1594\pm260^{d}$          | $9.10\pm2.5^{\rm b}$ | $0.95\pm0.3^{\text{d}}$ |
| PHBV + 15 wt% $\alpha$ -CD:OEO | $1698 \pm 764^{b}$        | $9.77\pm4.6^{b}$     | $0.85\pm2.6^{\text{e}}$ |
|                                |                           |                      |                         |

a–e Different letters in the same column indicate a significant difference (p < 0.05).

Minimum inhibitory concentration (MIC) and minimum bactericidal concentration (MBC) of the oregano essential oil  $\alpha$ - and  $\gamma$ -cyclodextrin inclusion complexes ( $\alpha$ -CD:OEO and  $\gamma$ -CD:OEO) against *S. aureus* and *E. coli*.

| Sample           | Microorganism | MIC         | MBC         |
|------------------|---------------|-------------|-------------|
|                  | E. coli       | 0.078 μg/mL | 0.078 µg/mL |
| <b>γ-</b> CD:OEO | S. aureus     | 0.039 µg/mL | 0.039 µg/mL |
|                  | E. coli       | 0.156 µg/mL | 0.156 μg/mL |
| <b>α-</b> CD:OEO | S. aureus     | 0.078 µg/mL | 0.078 µg/mL |

Concentration (eq. trolox/g sample) of 2,2-diphenyl-1-picrylhydrazyl radical (DPPH) for the electrospun poly(3-hydroxybutyrate-*co*-3-hydroxyvalerate) (PHBV) films containing 25 wt% oregano essential oil  $\gamma$ -cyclodextrin inclusion complex ( $\gamma$ -CD:OEO) and 15 wt% OEO  $\alpha$ -cyclodextrin inclusion complex ( $\alpha$ -CD:OEO) in an open a closed system for 15 days.

| Sample                        | Day | Open system                 | Closed system             |
|-------------------------------|-----|-----------------------------|---------------------------|
|                               | _   | Eq. trolox/g                | Eq. trolox/g              |
|                               |     | sample) of DPPH             | sample) of DPPH           |
| Pure OEO                      | 1   | $91.96\pm0.03^{\text{a}}$   |                           |
| γ-CD:OEO                      | 1   | $82.51 \pm 0.06^{b}$        |                           |
| α-CD:OEO                      | 1   | $76.32 \pm 0.22^{\circ}$    |                           |
|                               | 1   | $26.48\pm0.04^{\text{d}}$   | $26.48\pm0.04^{d}$        |
| PHBV + 10 wt% OEO             | 8   | $16.82 \pm 0.09^{e}$        | $17.57\pm0.04^{\text{e}}$ |
|                               | 15  | $15.75\pm0.06^{\text{e}}$   | $16.47\pm0.01^{\text{e}}$ |
|                               | 1   | $57.40\pm0.07^{\rm f}$      | $57.40\pm0.07^{\rm f}$    |
|                               | 3   | $53.24\pm0.17^{\rm g}$      | $56.03\pm0.09^{\rm f}$    |
| <b>PHBV + 25 wt% γ-CD:OEO</b> | 8   | $51.42\pm0.17^{\text{g}}$   | $52.95\pm0.09^{\rm g}$    |
|                               | 15  | $48.17\pm0.09^{\rm h}$      | $49.95\pm0.51^{h}$        |
|                               | 1   | $47.48\pm0.07^{h}$          | $47.48\pm0.07^{h,i}$      |
|                               | 3   | $41.86\pm0.09^{\rm i}$      | $45.89\pm0.58^{\rm i}$    |
| PHBV + 15 wt% α-CD:OEO        | 8   | $40.13\pm0.08^{\mathrm{i}}$ | $42.91 \pm 0.17^{i,j} \\$ |
|                               | 15  | $38.42\pm0.09^{\rm i}$      | $39.26\pm0.17^{j}$        |

Means  $\pm$  S.D.

<sup>a-j</sup> Different letters indicate a significant difference among the samples (p < 0.05).

## **Declaration of interests**

**X** The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

□The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

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